

ICOC MEETING
Draft Minutes
September 24th, 2015

Main Location

210 King Street San Francisco, CA 94107

Other Locations

Stanford University School of Medicine 291 Campus Drive, LK321, Stanford, CA 94305-5101

- 517 Mrak Hall, 1 Shields Ave., Davis, CA 95616
- USC Keck School of Medicine 2250 Alcazar St. CSC 135K, Los Angeles, CA 90033 - SITE NO LONGER AVAILABLE
- University of Southern California Willard Keith Administration Building 1975 Zonal Ave. KAM 400A Los Angeles, CA 90033
- UCLA 10833 Le Conte Avenue, Room 8-684, Los Angeles, CA 90095
- 2007 Redwood Rd., Napa, CA.
- 170 Harbor Way, South San Francisco
- Cedars-Sinai Medical Center, 8700 Beverly Blvd 2015, Los Angeles, CA 90048.
- 1028 Edgewood Ave NE, Atlanta, GA 30307
- 3440 Viking Drive Sacramento, CA 95827
- 4005 N. Fresno St Fresno, CA. 93726
- UC Berkeley, 119 California Hall, Berkeley, CA 94720
- 15775 Gum Tree Lane, Los Gatos, CA 95032
- Room 1105, Gillespie Neuroscience Research Facility, 837 Health Science Road Irvine, CA 92697-4292
- Sanford Consortium, 2880 Torrey Pines Scenic Road - Room 3803, La Jolla, CA 92037
- The Scripps Research Institute 10550 North Torrey Pines Rd, TPC-16, La Jolla, CA 92037
- 765 Market St. #31D SF 94103
- 1701 Divisadero, San Francisco, CA 94115

MEMBERS	ATTENDANCE
Linda Boxer	P
Sue Bryant	NP
Ken Burtis	P
Jack Dixon	P
Anne-Marie Duliege	NP
Elizabeth Fini	P
Michael Friedman	NP
Judy Gasson	P
David Higgins	P

Steve Juelsgaard	P
Sherry Lansing	NP
Kathy LaPorte	P
Bert Lubin	NP
Shlomo Melmed	P
Lauren Miller	P
Adriana Padilla	P
Joe Panetta	NP
Robert Price	P
Francisco Prieto	NP
Robert Quint	P
Al Rowlett	P
Jeff Sheehy	P
Os Steward	P
Jonathan Thomas	P
Art Torres	NP
Kristiina Vuori	P
Donna West	P
Diane Winokur	P
Bruce Wintroub	P
	21 P
	8 NP

PROPOSED CONSENT CALENDAR ITEMS 4-6

4. Consideration of appointment of new scientific members to the Grants Working Group.
5. Consideration of final adoption of amendments to Grants Working Group Conflict of Interest Policy.
6. Consideration of minutes from the July ICOC Board meeting.

Motion #1

- Motion made by Steve Juelsgaard to Approve items on consent calendar: (1) appointment of new GWG members; (2) final approval of amendments to GWG COI Policy; (3) July Board meeting minutes.
- Motion Seconded by Judy Gasson

Vote

- The ICOC by voice vote approved the motion
- 20 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #7

Consideration of amendments to the concept plans for the Translation and Clinical Programs regarding loans and to the Translation and Discovery Programs regarding schedules.

Motion #2

- Motion made by Diane Winokur to Approve amendments to the concept plans for the Clinical, Discovery and Translation Programs to: (1) offer an option only to therapeutic development awardees under the Clinical and Translation Programs to treat their award as a loan under terms to be presented to the Board as part of the Clinical and Discovery and Translation Program Grant Administration Policies; and (2) eliminate the specificity detailed in the Discovery and Translation concept plans regarding the schedule for submission of applications in response to program announcements issued under these programs.
- Motion Seconded by David Higgins

Vote

- The ICOC by voice vote approved the motion
- 20 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #8

Consideration of applications submitted in response to PA 15-02: Partnering Opportunity for Clinical Trial Stage Projects.

Motion #3

- Motion made by Kathy LaPorte to Approve funding for CTS1-08280
- Motion Seconded by Al Rowlett

Vote

- The Application Subcommittee approved voice vote approved the motion
- 11 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #9

Consideration of amendments to Loan Administration Policy to permit existing loan recipient whose loan has been forgiven to convert its award to a grant.

Motion #4

- Motion made by Diane Winokur to Approve amendment to Loan Administration Policy to authorize a loan recipient whose loan has been forgiven to convert the loan to a grant.
- Motion seconded by Steve Juelsgaard

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #10

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to applications for PA 15-02: Partnering Opportunity for Clinical Trial Stage Projects. (Health & Safety Code 125290.30(f) (3) (B) and (C)).

- No Closed Session

Agenda Item #11

Update on plan of action in response to the Moss Adams recommendations from the Performance Audit.

- Presented by Alex Campe

Agenda Item #12

Update on Strategic Plan

- Presented by Randy Mills

Agenda Item #13

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting.

- No Public Comment made at this time

Main Location

- 210 King Street, San Francisco, CA 94107

Other Locations

- 517 Mrak Hall, 1 Shields Ave., Davis, CA 95616
- 2619 Montclair Street, San Diego, CA 92104.
- Sanford Burnham, 10901 North Torrey Pines Road La Jolla, CA 92037
- 765 Market St. #31D SF 94103
- Cedars-Sinai Medical Center, 8700 Beverly Blvd 2015, Los Angeles, CA 90048
- USC, Keck School of Medicine 2250 Alcazar St. CSC 135K Los Angeles, AC 90033
- 3440 Viking Dr. Sacramento, CA 95827
- 201 Redwood Shores Blvd, Redwood City, CA
- 5111 Nat Sci II, Francisco J. Ayala School of Biological Sciences
- UC Irvine, Irvine CA 92697-1450
- 9500 Gilman Drive, BioMedical Science Building #1313A La Jolla, CA 92093-0602
- 747 52nd Street Oakland, CA 94609-1809
- 4005 N. Fresno St, Fresno, CA. 93726
- 10996 Torreyana Road, Suite 200 San Diego, CA 92121
- 2121 Avenue of the Stars, Ste. 2020 Los Angeles, CA 90067
- UC Berkeley, 119 California Hall, Berkeley, CA 94720
- 8170 Laguna Bl, Elk Grove 95758
- 170 Harbor Way, South San Francisco, 94080
- 136 Roanoke, San Francisco, CA 94131
- 291 Campus Drive, Stanford, CA
- 953 N. Edinburgh Ave Los Angeles, CA 90046
- 173 N Morrison Ave San Jose

MEMBER	ATTENDANCE
David Brenner	NP
Sue Bryant	P
Ken Burtis	P
Jack Dixon	P
Anne-Marie Duliege	P
Elizabeth Fini	P
Michael Friedman	NP
Judy Gasson	P
Sam Hawgood	NP
David Higgins	P
Steve Juelsgaard	NP
Sherry Lansing	P
Kathy LaPorte	P
Bert Lubin	P
Shlomo Melmed	P
Lauren Miller	NP
Lloyd Minor	P
Adriana Padilla	P
Joe Panetta	P

Robert Price	P
Francisco Prieto	P
Robert Quint	P
Al Rowlett	P
Jeff Sheehy	P
Os Steward	NP
Jonathan Thomas	P
Art Torres	P
Kristiina Vuori	P
Donna Weston	P
Diane Winokur	P
	24 P
	6 NP

Agenda Item #3

Consideration of appointment of new scientific members to the Grants Working Group

Motion #1

- Motion made by Art Torres to Approve appointments of GWG members
- Motion Seconded by Sherry Lansing

Vote

- The ICOC by voice vote approved the motion
- 22 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #4

Consideration of amendments to the Conference Grants concept proposal

Motion #2

- Motion made by Art Torres to Approve amendment to Concept Plan for Conference Grant Program
- Motion Seconded by Sherry Lansing

Vote

- The ICOC by voice vote approved the motion
- 22 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #5

Consideration of adoption of the Grants Administration Policy for Clinical Stage Programs, including loan election policy

Motion #3

- Motion made by Art Torres to Approve amendments to Grants Administration Policy for Clinical Stage Projects, including loan election policy
- Motion Seconded by Diane Winokur

Vote

- The ICOC by voice vote approved the motion
- 22 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #6

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting

- No Public Comment

ICOC/Application Subcommittee Meeting
 Draft Minutes
 November 19th, 2015

Main Location

210 King Street, San Francisco, CA 94107

Other Locations:

- 9301 Wilshire Blvd. Beverly Hills CA 90210
- 2619 Montclair Street San Diego, CA 92104
- 170 Harbor Way, South San Francisco, 94080
- 2007 Redwood Rd., Napa, CA 94558
- Room 1105, Gillespie Neuroscience Research Facility, 837 Health Science Road Open Session
- 1601 Exposition Blvd, Sacramento CA 95815
- 15775 Gum Tree Lane, Los Gatos
- 3440 Viking Dr. Suite 114 Sacramento, CA 95827
- 201 Redwood Shores Parkway, RC 94065
- 8170 Laguna Bl, Elk Grove 95758
- 10901 North Torrey Pines Road La Jolla, CA 9203
- UCLA 10833 Le Conte Avenue, Room 8-684, Los Angeles, CA 90095
- 4005 N. Fresno St Fresno, CA. 93726
- 11440 San Vicente, Los Angeles CA 90049
- 1907 Holmby Ave, Los Angeles, 90025

MEMBER	ATTENDANCE
Anne-Marie Duliege	P
David Higgins	P
Stephen Juelsgaard	P
Sherry Lansing	NP
Kathy LaPorte	P
Lauren Miller	P
Adriana Padilla	P
Joe Panetta	NP
Francisco Prieto	P
Robert Quint	P
Al Rowlett	P
Jeff Sheehy	NP
Oswald Steward	P
Jonathan Thomas	P
Art Torres	P
Diane Winokur	NP
	12 P
	4 NP

Agenda Item #3

Consideration of applications submitted in response to CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects (formerly PA 15-01) and CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects (formerly PA 15-02).

- Presented by Gil Sambrano

Motion #1

- Motion made by Art Torres to Accept the recommendation of the GWG not to fund CLIN1-08295
- Motion Seconded by Al Rowlett

Vote

- The Application Subcommittee approved motion by individual voice vote
- 12 YES; 0 NO; 0 ABSTENTION; 1 CONFLICTS

Agenda Item #4

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to applications CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects (formerly PA 15-01) and CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects (formerly PA 15-02). (Health & Safety Code 125290.30(f) (3) (B) and (C)).

- No Closed Session

Agenda Item #5

Public Comment

- No Public Comment

**Application Subcommittee
 Review Meeting (11/19/2015)**

		VOTE
		MOTION 1
		Maker: Torres Second: Rowlett
		Accept the recommendation of the GWG not to fund CLIN1-08295
MEMBERS	ATTENDANCE	
Anne-Marie Duliege	P	Y
David Higgins	P	Y
Stephen Juelsgaard	P	Y
Sherry Lansing	NP	
Kathy LaPorte	P	Y
Lauren Miller	P	Y
Adriana Padilla	P	Y
Joe Panetta	NP	
Francisco Prieto	P	Y
Robert Quint	P	Y
Al Rowlett	P	Y
Jeff Sheehy	NP	
Oswald Steward	P	Y
Jonathan Thomas	P	Y
Art Torres	P	Y
Diane Winokur	NP	
	12 P	12 Y
	4 NP	0 N
		0A
		0 C

LEGEND
Attendance
NP – Not Present
P – Present
Votes
A – Abstain
C – Conflict
N – No
VV – Voice Vote
Y – Yes
Y/C – Yes, except for those which I have a conflict

ICOC Meeting
 Draft Minutes
 December 17th, 2015

Main Location

- Sheraton Gateway Los Angeles Hotel, 6101 West Century Boulevard, Los Angeles, CA 90045

Other Locations

- Office of the Dean Stanford University School of Medicine Li Ka Shing Center for Learning and Knowledge (LKSC) 291 Campus Drive, Suite LK3C02 Stanford, CA 94305
- University of California San Diego 9500 Gilman Drive, # 0602 La Jolla, CA 92093-0602 - Site No Longer Available
- Sanford Consortium 2880 Torrey Pines Scenic Dr, La Jolla, CA 92037
- 765 Market Street, San Francisco, CA 94103
- 170 Harbor Way, South San Francisco
- 747 52nd Street, Oakland, CA 94609

MEMBER	ATTENDANCE
David Brenner	NP
Linda Boxer <i>by telephone</i>	P
Ken Burtis	NP
Anne-Marie Duliege	P
Michael Friedman	P
Judy Gasson	P
Sam Hawgood	NP
David Higgins	P
Steve Juelsgaard	P
Sherry Lansing	P
Kathy LaPorte <i>by telephone</i>	P
Bert Lubin <i>by telephone</i>	P
Shlomo Melmed	P
Lauren Miller	P
Adriana Padilla	P
Joe Panetta	P
Robert Price	P
Francisco Prieto	P
Carmen Puliafito	P
Robert Quint	NP
Al Rowlett	P
Jeff Sheehy	P
Os Steward	P
Jonathan Thomas	P
Art Torres	P
Kristiina Vuori	P
Diane Winokur <i>by telephone</i>	P
	23 P
	4 NP

Agenda Item #4

Chairman's Report

- Report given by Jon Thomas

Agenda Item #5

President's Report

- Report given by Randy Mills

PROPOSED CONSENT CALENDAR ITEMS

Agenda Item #6

Consideration of appointment of new scientific members to the Grants Working Group

Motion #1

- Motion made by Art Torres to Approve consent calendar items (new GWG Members)
- Motion Seconded by Sherry Lansing

Vote

- The ICOC by voice vote approved the motion
- 23 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #7

Consideration of the CIRM Strategic Plan

- Presented by Randy Mills

Motion #2

- Motion made by Sherry Lansing to Approve the CIRM Strategic Plan
- Motion Seconded by Art Torres

Vote

- The ICOC by voice vote approved the motion
- 22 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda #8

Consideration of Accelerating Center Concept Plan

- Presented by Maria Millan

Motion #3

- Motion made by Sherry Lansing to Approve Accelerating Center Concept Plan, with budget of up to \$15 million to fund single award
- Motion Seconded by Art Torres

Vote

- The ICOC by voice vote approved the motion
- 22 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #9

Consideration of Translating Center Concept Plan

- Presented by Maria Millan

Motion #4

- Motion made by Jeff Sheehy to Approve Translating Center Concept Plan, with budget of up to \$15 million to fund single award
- Motion Seconded by Robert Price

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #10

Consideration of Accelerated Therapies Public Private Partnership Concept Plan.

Motion #5

- Motion made by Jeff Sheehy to Approve ATP3 Concept Plan, with budget of up to \$75 million to fund single award, and delegate authority to Science and IP & Industry Subcommittee to approve award (loan) terms
- Motion Seconded by Sherry Lansing

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

Agenda Item #11

Consideration of applications submitted in response to PA Clin 1: Partnering Opportunity for Late Stage Preclinical Projects (formerly PA:15-01). - *ITEM NO LONGER UNDER CONSIDERATION*

- No action taken

Agenda Item # 12

Consideration of amendments to ICOC Governing Board Bylaws and Internal Governance Policy

- Presented by James Harrison

Motion #6

- Motion made by Kristiina Vuori to Approve amendment to patient advocate per diem cap in Board Bylaws, retroactive to 1/1/15
- Motion Seconded by Anne Marie Duilege

Vote

- The ICOC by voice vote approved the motion
- 13 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Motion #7

- Motion made by Steve Juelsgaard to Approve amendments to Board Bylaws and Internal Governance Policy Bylaws
- Motion Seconded by Joe Panetta

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Agenda Item #13

Consideration of amendments to Employee Conflict of Interest Policy

- Presented by James Harrison

Motion #8

- Motion made by Al Rowlett to Approve Amendments Approve amendments to Employee COI Policy
- Motion Seconded by Judy Gasson

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Agenda Item #14

Consideration of amendments to Employee Compensation Policy

- Presented by James Harrison

Motion #9

- Motion made by Jeff Sheehy to Approve Amendments Approve amendments to Employee Compensation Policy
- Motion Seconded by Oswald Steward

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Agenda Item #15

Consideration of amendments to Grants Working Group Bylaws

- Presented by Gil Sambrano

Motion #10

- Motion made by Sherry Lansing to Approve amendments to GWG bylaws
- Motion Seconded by Jeff Sheehy

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Agenda Item #16

Consideration of amendments to CIRM 2.0 concept plans to: (1) include past performance as CIRM grantee as review criterion; (2) include accuracy and completeness of application as eligibility criterion; and (3) modify eligibility requirements for applicant institution and principal investigator

- Presented by Gil Sambrano

Motion #11

- Motion made by Jeff Sheehy to Approve amendments to concept plans for CIRM 2.0 programs
- Motion Seconded by Steve Juelsgaard

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Agenda Item #17

Consideration of acceptance of donor funds

- Presented by Jon Thomas

Motion #12

- Motion made by Jeff Sheehy to Approve acceptance of gift from Bowes Foundation
- Motion Seconded by Steve Juelsgaard

Vote

- The ICOC by voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 8 CONFLICTS

Agenda Item #18

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to applications CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects (formerly PA 15-01) (Health & Safety Code 125290.30(f) (3) (B) and (C)).

- No Closed Session

Agenda Item #19

Clinical Advisory Panel Update

- Update given my Ramon Doyle

Agenda Item #20

Summary of external contracts and interagency agreements

- No discussion

Agenda Item #21

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting

- No Public Comment made

ICOC Board Meeting December 17, 2015			
MEMBERS			
David Brenner			
Linda Boxer <i>by telephone</i>	Y	Y	Y
Ken Burtis			
Anne-Marie Duliege			
Michael Friedman			
Judy Gasson			
Sam Hawgood			
David Higgins			
Steve Juelsgaard			
Sherry Lansing			
Kathy LaPorte <i>by telephone</i>	Y	Y	Y
Bert Lubin <i>by telephone</i>	Y	Y	Y
Shlomo Melmed			
Lauren Miller			
Adriana Padilla			
Joe Panetta			
Robert Price			
Francisco Prieto			
Carmen Puliafito			
Robert Quint			
Al Rowlett			
Jeff Sheehy			
Os Steward			
Jonathan Thomas			
Art Torres			
Kristiina Vuori			
Diane Winokur <i>by telephone</i>			
	3 Y	3 Y	3 Y
	0 N	0 N	0 N
	0 A	0 A	0 A
	0 C	0 C	0 C
	Approved on voice vote	Approved on voice vote	Approved by voice vote
LEGEND			
Attendance			
NP – Not Present			
P – Present			
Votes			
A – Abstain			
C – Conflict			
N – No			
VV – Voice Vote			
Y – Yes			
Y/C – Yes, except for those which I have a conflict			

TE		
MOTION 7	MOTION 8	MOTION 9
Maker: Juelsgaard Second: Panetta	Maker: Rowlett Second: Gasson	Maker: Sheehy Second: Steward
Approve amendments to Board Bylaws and Internal Governance Policy	Approve amendments to Employee COI Policy	Approve amendments to Employee Compensation Policy

