

Conflict of Interest Code of The California Institute for Regenerative Medicine

The terms of 2 Cal. Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission except as set forth in chapter 3, article 1, subdivision (g), of Proposition 71, which was approved by the voters on November 2, 2004, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the California Institute for Regenerative Medicine (“CIRM”).

The Board Members, including and the President-Chairman of the Independent Citizens Oversight Committee, and the President of the CIRM, shall file statements of economic interests with the ~~California Institute for Regenerative Medicine~~CIRM, which shall make and retain a copy and forward the original to the Fair Political Practices Commission, which shall be the filing officer.

All other designated employees shall file statements of economic interests with the California Institute for Regenerative Medicine, which shall be the filing officer.

APPENDIX A

<u>Designated Employee</u>	<u>Assigned Disclosure Category</u>
Chair, Independent Citizens Oversight Committee	1
Vice Chair, Independent Citizens Oversight Committee	1
Member, Independent Citizens Oversight Committee	1
Executive Assistant to the Chair	<u>13</u>
<u>Office of the Chair</u>	
Chief of Staff	1
Deputy Chief of Staff	1
Senior Administrative Coordinator	4
Senior Aide to the Chair	1
Executive Assistant to the Chair	1
Director, Legislation and Research Policy	1
Director, ICOC Board Relations	<u>21</u>
Administrative Coordinator	4
<u>Office of the Vice Chair</u>	
Chief Deputy to the Vice Chair	1
Chair's Liaison to the Working Groups	2
<u>Office of the President</u>	
President, Independent Citizens Oversight Committee <u>CIRM</u>	1
Chief Finance and Administrative Officer/ Controller	1
Chief Human Resources Officer	4
Director, Legislation and Research Policy	1
Chief Communication Officer	1
Senior Executive Assistant to the President	3
Senior Communications Specialist	3
General Counsel	1
Legal Associate/Ethics Officer	1
Paralegal	1
Director, Patient & Medical Organizations Relations	2
Chief Scientific Officer	1
Director, Scientific Program and Review Activities	1
Director, Grants Management System	1
For-Profit Director	1
Scientific Program Officer, I, II	1
Grants Management Officer	1
Grants Technical Assistant	4
Scientific Review Officer	1
Senior Officer, Scientific & Medical Research Funding Working Group	1
Scientific Analyst	1
Technical Officer	2

<u>Grants Management Specialist, I, II</u>	<u>2</u>
Senior Officer for Medical & Ethical Standards	1
<u>Senior Medical Facilities Planner</u>	<u>1</u>
<u>Capital Asset Manager</u>	<u>1</u>
<u>Senior Officer for Scientific and Medical Research Facilities</u>	<u>1</u>
<u>Finance Officer</u>	<u>3</u>
<u>Financial Services Officer</u>	<u>3</u>
<u>Office Manager</u>	<u>4</u>
Consultant	1* ¹

¹ Consultants shall disclose pursuant to the broadest disclosure category in this conflict of interest code subject to the following limitations: The agency Chief of Staff/President may determine in writing that a particular consultant, although a designated employee, is hired to perform a range of duties that are limited in scope and thus is not required to comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The determination of the Chief of Staff/President is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. Nothing herein excuses any such consultant from any other provision of this Conflict of Interest Code.

APPENDIX B

Disclosure Categories

Category 1

A designated employee in this category shall report:

All investments, business positions, and income, including gifts, loans and travel payments; and all interests in real property located in the state of California, as defined in the Political Reform Act and the FPPC's regulations.

Category 2

A designated employee in this category shall report:

All investments, business positions and income, including gifts, loans and travel payments, if the business entity or source of income is of the type to receive grants or other monies from or through the California Institute for Regenerative Medicine; ~~and~~

All investments, business positions and income, including gifts, loans and travel payments, if the business entity or the source of income is of the type to offer or provide facilities, services, goods or products purchased or used, or contracted for, by the California Institute for Regenerative Medicine, and is providing or planning to provide such facilities, services, goods or products; and

All investments, business positions and income, including gifts, loans and travel payments, if the business entity or source of income is engaged in biomedical research or manufacturing biomedical pharmaceuticals.

Category 3

A designated employee in this category shall report:

All investments, business positions and income, including gifts, loans and travel payments, if the business entity or source of income is engaged in biomedical research or manufacturing biomedical pharmaceuticals.

All investments, business positions and income, including gifts, loans and travel payments, if the business entity or the source of income is of the type to offer or provide facilities, services, goods or products purchased or used, or contracted for, by the California Institute for Regenerative Medicine, and is providing ~~or~~ is planning to provide such facilities, services, goods or products.

Category 4

A designated employee in this category shall report:

All investments, business positions and income, including gifts, loans and travel payments, if the business entity or the source of income is of the type to offer or provide

facilities, services, goods or products purchased or used, or contracted for, by the California Institute for Regenerative Medicine, and is providing or is planning to provide such facilities, services, goods or products.