

**CIRM CONFLICT OF INTEREST POLICY for MEDICAL
ETHICAL STANDARDS WORKING GROUP Members**

The formulation and recommendation of the medical and ethical standards that will guide the scientific research of the CIRM must not be compromised by any real or apparent conflict of interest on the part of Working Group members. The Working Group, the ICOC and CIRM should not be placed in a position in which others could reasonably question, dismiss or discount its recommendations on the basis of conflict of interest.

A conflict of interest exists when there is a financial or other interest that significantly impairs the individual's objectivity or that creates an unfair advantage for any person, institution or company. Except for specific exceptions in which the ICOC judges that the disadvantages of a person's conflict of interest are outweighed by the need for their particular expertise, individuals with a significant conflict of interest will not be permitted to serve on the Standards Working Group.

- 1) Do you, close family members, or those with whom you have a substantial common financial interest, have financial investments or property interests that that could be affected directly or indirectly by the activities of this Working Group? Financial investments include stocks, bonds and other financial instruments and investments exceeding \$10,000, but do not include diversified mutual funds. Property interests include real estate and other property interests as well as intellectual property, including patents and copyrights.
- 2) Could the employment or self-employment of you or your spouse or the financial interests of employers or clients of you or your spouse be directly affected by the outcome of the activities of this Working Group?
- 3) Could your current research funding and/or research support (e.g. equipment, facilities, industry partnerships, research partnerships, research assistants and other research personnel be affected by the outcome of any of the activities of this Working Group?
- 4) Could your service on this Working Group create a specific financial or commercial competitive advantage for you or for others with whom you have substantial financial interests?

Agenda Item 11

Appendix E

April 7, 2005 ICOC Meeting

- 5) Do you have any existing professional obligations that effectively require you to publicly defend a previously established position on an issue that is relevant to the functions to be performed by the Working Group?

- 6) To the best of your knowledge, will your participation on the Working Group enable you to obtain access to a competitor's or potential competitor's confidential proprietary information?

If the answer to all of the questions above is NO, please check here _____.

If the answer to any of the questions above is YES, please check here _____

EXPLANATION OF YES RESPONSES:

I certify, under penalty of perjury under the laws of the State of California, that to the best of my knowledge I have disclosed all conflicts of interest that I may have with respect to the activities of the Medical and Ethical Standards Working Group. During my period of service on the Working Group, I will promptly transmit any changes in the information reported or any new information that needs to be reported to the CIRM staff.

Signature: _____ Date: _____