CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

(month, day, year)

STATEMENT OF ECONOMIC INTERESTS **COVER PAGE**

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Please type or print in ink. NAME OF FILER (LAST) (FIRST) WINOKUR DIANE K. 1. Office, Agency, or Court Agency Name (Do not use acronyms) CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE Division, Board, Department, District, if applicable Your Position **GOVERNING BOARD MEMBER** ▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms) 2. Jurisdiction of Office (Check at least one box) ✓ State Judge or Court Commissioner (Statewide Jurisdiction) Multi-County ___ County of ____ City of _____ Other ___ 3. Type of Statement (Check at least one box) Annual: The period covered is January 1, 2013, through Leaving Office: Date Left ____/___ December 31, 2013. (Check one) -or-01 , 16 , The period covered is _ O The period covered is January 1, 2013, through the date of through December 31, 2013. leaving office. Assuming Office: Date assumed _____/____ ○ The period covered is ______, through the date of leaving office. Candidate: Election year ____ and office sought, if different than Part 1: _____ 4. Schedule Summary Check applicable schedules or "None." ► Total number of pages including this cover page: ___ Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached None - No reportable interests on any schedule 5. Verification MAILING ADDRESS STREET CITY STATE ZIP CODE (Business or Agency Address Recommended - Public Document) 765 Market St. #31D San Francisco CA 94103 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL) (415) 974-1687 dianewinokur@comcast.net I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Date Signed _____02/28/2014 Signature

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICIES COMMISSION
Name
Winokur, Diane K.

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		4.0,00. 9100,000	vn	3/20/2012	414114113
ive Surgical Inc. Fechnologies Corp.	Healthcare Healthcare	\$10,001 - \$100,000 \$10,001 - \$100,000	Stock Stock	3/13/2013 3/28/2012	2/21/2013
ina inc.	Healthcare	\$10,001 - \$100,000	Stock		
CM Intl Select Fund CI I	Developed International Large Cap Fund	\$10,001 - \$100,000	Equity Fund	5/31/2013	
or International Fund Inst'l	Developed International Large Cap Fund	\$10,001 - \$100,000	Equity Fund	5/31/2013	
ga Healthcare Invs, Inc.	Real estate	\$2,000 - \$10,000	REIT	7/12/2013	
, Inc. y Realty Corp.	Real estate	\$2,000 - \$10,000 \$2,000 - \$10,000	REIT REIT	7/12/2013 7/12/2013	
rican Tower Corp	Real estate	\$2,000 - \$10,000	REIT	7/12/2013	10/2/2013
Cap Australia 7.5% 14F Notes due 9/1/14 rio 6.25% 15F Notes dues 6/16/15	Financial services Government	\$10,001 - \$100,000 \$10,001 - \$100,000	Bond Bond		
				. 5/20/2013	
St. Fin CP 6.125% Senior Note due 4/30/28	Financial services	\$2,000 - \$10,000	Bond	. 3/28/2013	
St. Fin CP 6.125% Senior Note due 4/30/28	Financial services	\$2,000 - \$10,000	Bond	3/28/2013	4/15/2013
ralian Govt 4.5% 20F Notes due 4/15/20	Government	\$100,001 - \$1,000,000	Bond	8/31/2010	5/28/2013 5/29/2013
s Fargo Ptd Dep Shs rles Schwab Flt Perpetual Bonds	Financial services Financial services	\$10,001 - \$100,000 \$10,001 - \$100,000	Stock Bond	1/24/2012	5/28/2012
Bancorp 6% Pfd s Fargo Pfd Dep Shs	Financial services	\$2,000 - \$10,000	Stock	3/19/2013	
Republic Bank 6.2% Dep Shs	Financial services	\$10,001 - \$100,000	Stock		5,5172010
Republic Bank 5.5% Pfd	Financial services	\$2,000 - \$10,000	Stock	5/10/2013	5/31/2013
sche Bank Cap 8.05% Pfd F sche Bank Cap 7.60% Pfd F	Financial services Financial services	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock		
on Communications, Inc. sche Bank Cap 8.05% Pfd F	Telecommunication Services	\$2,000 - \$10,000	Stock	9/6/2013	
on Communications, Inc.	Telecommunication Services	\$2,000 - \$10,000	Stock	7/12/2013	
d Parcel Service	Industrial	\$2,000 - \$10,000	Stock	5/31/2013 7/12/2013	
data Corp ed Parcel Service	Technology Industrial	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	9/6/2013	11/18/2013
data Corp	Technology	\$2,000 - \$10,000	Stock	7/12/2013	11/18/2013
il Oppty Investments	Real estate	\$2,000 - \$10,000	Stock	7/12/2013	
ons Financial CP	Financial	\$2,000 - \$10,000	Stock	5/31/2013 7/12/2013	
r Incororporated ons Financial CP	Healthcare Financial	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	9/6/2013	
er Incororporated	Healthcare	\$2,000 - \$10,000	Stock	5/31/2013	
bina Pipeline Corp.	Energy	\$2,000 - \$10,000	Stock	9/6/2013	
Pharmaceuticals, Inc.	Healthcare	\$2,000 - \$10,000	Stock Stock	9/6/2013 7/3/2013	10/8/2013
o-Nordisk A-S o-Nordisk A-S	Healthcare Healthcare	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock	5/31/2013	
artis AG Spon ADR	Healthcare	\$2,000 - \$10,000	Stock	9/6/2013	
Inc.	Consumer Discretionary	\$2,000 - \$10,000	Stock	7/12/2013	
Inc.	Consumer Discretionary	\$2,000 - \$10,000	Stock	7/12/2013 5/31/2013	
York Cmnty Bancorp York Cmnty Bancorp	Financial Financial	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	5/31/2013	
onal Oil Well Varco, Inc.	Energy	\$2,000 - \$10,000	Stock	7/12/2013	
onal Oil Well Varco, Inc.	Energy	\$2,000 - \$10,000	Stock	5/31/2013	
dian Bioscience Inc	Healthcare	\$2,000 - \$10,000	Stock	9/6/2013	
idian Bioscience Inc	Healthcare	\$2,000 - \$10,000	Stock	7/12/2013 6/17/2013	
ndelibaseli industries NV	Materials	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	5/31/2013	
CP of America Holding	Healthcare Materials	\$2,000 - \$10,000	Stock	9/6/2013	
CP of America Holding	Healthcare	\$2,000 - \$10,000	Stock	5/31/2013	
der Morgan Inc.	Basic Materials (oil & gas)	\$2,000 - \$10,000	Stock	7/12/2013	
der Morgan Inc.	Basic Materials (oil & gas)	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	6/12/2013 5/31/2013	
Pharmaceuticals Inc.	Healthcare Healthcare	\$2,000 - \$10,000	Stock	9/6/2013	
itive Surgical tive Surgical	Healthcare	\$2,000 - \$10,000	Stock	5/31/2013	
me Depot Inc.	Consumer Discretionary	\$2,000 - \$10,000	Stock	7/12/2013	***************************************
ne Depot Inc.	Consumer Discretionary	\$2,000 - \$10,000	Stock	9/6/2013 5/11/2013	10/2/2011
S Holdings Corp	Services Services	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	5/31/2013	10/2/201
neral Electric Company S Holdings Corp	Industrial Services	\$2,000 - \$10,000	Stock	7/12/2013	
neral Electric Company	Industrial	\$2,000 - \$10,000	Stock	5/31/2013	1.7107201
C Corp Mass	Technology	\$2,000 - \$10,000	Stock	9/6/2013	11/18/201
C Corp Mass	Technology	\$2,000 - \$10,000	Stock	7/12/2013 5/31/2013	11/18/201
ney Walt Co.	Consumer Discretionary	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	5/31/2013	
ney Walt Co.	Healthcare Consumer Discretionary	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock	5/31/2013	
S Caremark Corp ner Corp	Consumer Staples	\$2,000 - \$10,000	Stock	7/12/2013	
S Caremark Corp	Consumer Staples	\$2,000 - \$10,000	Stock	5/31/2013	
gen IDEC, Inc.	Healthcare	\$2,000 - \$10,000	Stock	9/6/2013	
gen IDEC, Inc.	Healthcare	\$2,000 - \$10,000	Stock	6/12/2013	
ytex Energy Corp	Energy	\$2,000 - \$10,000	Stock	5/31/2013 7/12/2013	
ytex Energy Corp	Energy	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock Stock	9/6/2013	
&T Inc	Telecommunication Services Telecommunication Services	\$2,000 - \$10,000	Stock	7/12/2013	
ple, Inc. &T Inc	Information Technology	\$2,000 - \$10,000	Stock	7/12/2013	
ple, Inc.	Information Technology	\$2,000 - \$10,000	Stock	5/31/2013	
ngen Incorporated	Healthcare	\$2,000 - \$10,000	Stock	11/18/2013	
nazon.com, Inc.	Consumer Discretionary	\$2,000 - \$10,000	Stock	7/12/2013	
mazon.com, Inc.	Consumer Discretionary	\$2,000 - \$10,000	Stock	11/18/2013 5/31/2013	
ramai Technologies	Information Technology	\$2,000 - \$10,000 \$2,000 - \$10,000	Stock	9/16/2013	
centure PLC	Information Technology Information Technology	\$2,000 - \$10,000	Stock	7/12/2013	
centure PLC centure PLC	Information Technology	\$2,000 - \$10,000	Stock	5/31/2013	

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Winokur, Diane K.

jo-	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY	SECURITY
	Foothill Investment Company		MPI, Itd.	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS	
	Real estate-apt. building rental		Real estate - apt. building	rental
	FAIR MARKET VALUE	2	FAIR MARKET VALUE	
	\$2,000 - \$10,000 \$10,001 - \$100,000 \$\times\$ \$100,001 - \$1,000,000 Over \$1,000,000		\$2,000 - \$10,000	
	<u>EZJ \$100,001 - \$1,000,000</u>		X \$100,001 - \$1,000,000	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT	
	Stock Other(Describe)		Stock Other (Describe)	
	Partnership Oncome Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule	C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:	
-	ACQUIRED DISPOSED		ACQUIRED DISPOSED	
•	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTITY	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS	
	The bosiness		SEMENAL DESCRIPTION OF THIS BUSINESS	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000		\$2,000 - \$10,000\$10,001 - \$100,000	
	\$100,001 - \$1,000,000 Over \$1,000,000		S100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT Stock Other		NATURE OF INVESTMENT	
	(Describe)		Stock Other(Describe)	
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule	01
	G meaning to good of thore proport on distribute by		Uncome Received of \$500 of More (Report on Schedule	C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE:	
			//_13/ / 13	
	ACQUIRED DISPOSED		ACQUIRED DISPOSED	
▶	NAME OF BUSINESS ENTITY	$\ \cdot\ $	► NAME OF BUSINESS ENTITY	Martin and American
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION OF THIS BUSINESS	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000 \$10,001 - \$100,000		\$2,000 - \$10,000 \$10,001 - \$100,000	
	\$100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT	
	Stock Other (Describe)		Stock Other	
	Partnership O Income Received of \$0 - \$499		(Describe) Partnership (Describe)	
	O Income Received of \$500 or More (Report on Schedule C)		O Income Received of \$500 or More (Report on Schedule	C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE; LIST DATE:	
	// 13 / / 13		// 13 / / 13	
	ACQUIRED DISPOSED		ACQUIRED DISPOSED	
		1 1		
C	imments.			

SCHEDULE C

Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION

Name

Winokur, Diane K.

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* Select from drop down list	lown list				business on terms av loans and loans recei	ailable to memb ved not in a lend	ers of the pu der's regular	ublic withou course of I	it regard to ousiness mi	business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:
1. Income Received					2. Loans Received or Outstanding	Outstanding				
NAME AND ADDRESS OF SOURCE	S BUSINESS ACTIVITY, IF ANY	YOUR BUSINESS POSITION	GROSS INCOME RECEIVED*	CONSIDERATION FOR WHICH INCOME WAS RECEIVED* (if "other," describe)	CONSIDERATION OF LENDER** (Business Aus RECEIVED* (if "other," describe) And GUARANTOR, IF ANY	BUSINESS ACTIVITY, IF ANY	HIGHEST BALANCE*	INTEREST RATE (%)	TERM (Mos/Yrs)	SECURITY FOR LOAN REAL PROPERTY ADDRESS/OTHER INFORMATION*
Foothill Investment Company, 125 Willow St., Menlo Park, CA 94025	Apartment building rental	Limfied partner \$10,001- \$100,000	\$10,001- \$100,000	Partnership	Lucia Marin		\$10,001- \$100,000	0.000% Until paid	Until paid	None
MPL, Ltd., 4080 Campbell Ave., Menlo Park, CA 94025	Apartment building rental	Limited partner \$10,001-\$100,000	\$10,001-	Partnership	Gerardo Rodriguez		\$10,001-	0.000% Until paid	Until paid	None
							57900000			