CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS COVER PAGE

MAR 2 2 2016

Please type or print in ink.

NAME OF FILER (LAST)	(FIRST)	В	(MIDDLE)			
THOMAS	JONATHAN		YORK			
1. Office, Agency, or Court		·				
Agency Name (Do not use acronyms)						
CALIFORNIA INSTITUTE FOR REGER	RNATIVE MEDICINE					
Division, Board, Department, District, if applicable	Your Pos	ition				
INDEPENDENT CITIZENS OVERSIGHT COMMITTEE ICOC CHAIRMAN						
▶ If filing for multiple positions, list below or on an a	attachment. (Do not use acronyms)					
Agency:	Position:					
2. Jurisdiction of Office (Check at least one	box)					
	☐ Judge (or Court Commissioner (S	tatewide Jurisdiction)			
Multi-County		•	,			
City of						
	Other _					
3. Type of Statement (Check at least one box)					
Annual: The period covered is January 1, 2015 December 31, 2015.	5, through Leavin (Check	g Office: Date Left				
The period covered is/	, unough	e period covered is Janua ving office.	ry 1, 2015, through the date of			
Assuming Office: Date assumed/		e period covered is date of leaving office.	, through			
Candidate: Election year	and office sought, if different than Par	t 1:				
4. Schedule Summary (must complete)	► Total number of pages inc	luding this cover pa	age: 10			
Schedules attached						
Schedule A-1 - Investments - schedule attac			s Positions - schedule attached			
	Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached					
Schedule B - Real Property – schedule attact	hed Schedule E - II	ncome – Gifts – Travel Pa	ayments - schedule attached			
☐ None - No reportable interests on any	schedule					
5. Verification	uomoudio					
MAILING ADDRESS STREET	CITY	STATE	ZIP CODE			
(Business or Agency Address Recommended - Public Document) 1999 HARRISON STREET, SUITE 165	0 OAKLAND	CA	0.4040			
DAYTIME TELEPHONE NUMBER	E-MAIL ADDRESS	CA	94612			
(510) 340-9111	JTHOMAS@	©CIRM.CA.GOV				
I have used all reasonable diligence in preparing this herein and in any attached schedules is true and cor	statement. I have reviewed this statemer mplete. I acknowledge this is a public do	nt and to the best of my krocument.	nowledge the information contained			
I certify under penalty of perjury under the laws of	of the State of California that the foreg	joing is true and correct	t			
Data Signad 22 March 2016	\bigcap	an the	Thomas			
Date Signed (month, day, year)	Signature	(File the originally signed statem	nent with your filing official.)			

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION	
Name	
THOMAS JONATHAN	
	-

► NAME OF BUSINESS ENTITY	NAME OF BUSINESS FAITTY
LRN	► NAME OF BUSINESS ENTITY
	LOYAL 3
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
LEGAL//ETHICAL TRAINING - CONSULTING	STOCK BROKERAGE
FAIR MARKET VALUE	FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000	
✓ \$100,001 - \$1,000,000 ☐ Over \$1,000,000	\$2,000 - \$10,000
NATURE OF INVESTMENT LIMITED PARTNERSHIP Stock Other LIMITED PARTNERSHIP	NATURE OF INVESTMENT LIMITIED PARTNERSHIP Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
//_15/ / 15	
ACQUIRED DISPOSED	/
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
AMERPRISE FINANCIAL	I WHILE OF BOOMESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FINANCIAL ADVISOR	
FAIR MARKET VALUE	FAID MADICET VALUE
\$2,000 - \$10,000	FAIR MARKET VALUE
✓ \$100,001 - \$1,000,000 ☐ Over \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000
₩ \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock	Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 15 / / 15	
//_15/	
VOMOUVED DISPOSED	ACQUIRED DISPOSED
NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
SCHWAB INC	
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FIANCIAL ADIVSOR	
FAIR MARKET VALUE	FAID MADVET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	FAIR MARKET VALUE
✓ \$100,001 - \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000
₩ 1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
✓ Stock Other	Stock Other
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499	Partnership O Income Received of \$0 - \$499
O Income Received of \$500 or More (Report on Schedule C)	○ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
ACQUIRED DISPOSED	
DIOI OGED	ACQUIRED DISPOSED
Comments:	

	SCHEDULE A-1			CALIFORNIA	EOPM 7	700
	Investments			FAR POLITICAL PRACT		
·	Stocks, Bonds, and Other I			Name TH	OMAS JO	
	(Ownership Interest is Less Than 10%)					
<blue> is a required field</blue>	Do not attach brokerage or financi	ial statements.		 		
CDCC2 IS a required tierd				ļ	}	
NAME OF BURINGO FARITY	GENERAL DESCRIPTION OF	FAIR	NATURE	IF APPLIC	CABLE.	
NAME OF BUSINESS ENTITY	THIS BUSINESS ACTIVITY	MARKET	OF	LIST DAT		
		\$2,000 -	Stock			
2U INC	Technology Services	\$10,000	Stock	9/21/15		
зм	Capital Goods : Constr Supplies & Fix	\$2,000 -	Stock			
		\$10,000			}	
AAC HOLDINGS INC	Healthcare Facilities	\$2,000 -	Stock			
		\$10,000 \$2,000 -				
Abbott Laboratories	Biotechnology & Drugs	\$10,000	Stock			
		\$2,000 -	·			
ABRAXAS	Oil and Gas Operations	\$10,000	Stock		11/6/15	
ACCENTURE DI CIREI AND CLASS A N		· ^ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~		†		
ACCENTURE PLC IRELAND CLASS A N	Business Management and Consulting	\$10,000	Stock			
ACTAVIS INC CHG	Hoolth core Dietach - I C D	\$2,000 -	6. 1			
ACTAVIS INC. CHG	Healthcare: Biotechnology & Drugs	\$10,000	Stock			NAME CHANGE TO ALLERGAN PLC
ALCOA	Metal Mining	\$2,000 -	Charle	÷	2/26/45	
	Wicker Willing	\$10,000	Stock		2/26/15	
ALEXION PHARMACEUTICALS INC	Pharmaceuticals	\$2,000 -	Stock			
		\$10,000	Stock			
ALLEGIANT TRAVEL CO	Airline	\$2,000 -	Stock			
		\$10,000	ļ	ļ		
ALPS Tru	Trust	\$10,001 -	Stock		7/29/15	
		\$100,000		<u> </u>		
AMAZON.COM INC	:Unline Ketali	\$2,000 -	Stock	2/6/15		
	*	\$10,000 \$2,000 -	}			
AMERICAN EXPRESS COMPANY	: Financiai	\$10,000	Stock			
		\$2,000 -				
AMERISOURCEBERGEN CORP	•	\$10,000	Stock	5/1/15		
ADDI F INC		\$10,001 -		3/1/13		
APPLE INC	:Computer rechnology	\$100,000	Stock			
		\$2,000 -	c			<u> </u>
APPLIED OPTOELECTRONICS INC	Electronic Technology	\$10,000	Stock	8/6/15		
AT&T	Telecom	\$10,001 -	Stock		***************************************	
***************************************	relecom	\$100,000	Stock			
AVAGO TECHNOLOGIES XXX LTD	:	\$2,000 -	Stock			
	·	\$10,000	J	5/29/15		
AVIS BUDGET GROUP INC	Services Kental & Leasing :	\$2,000 -	Stock			
		\$10,000				
BB&T Corporation	: Kegioriai Banks :	\$2,000 -	Stock			
	۹	\$10,000				
BCE INC NEW	: Communications services :	\$2,000 -	Stock			
••••••		\$10,000 \$2,000 -			•••••	
Becton Dickinson & Co	: Medical Edulpment & Supplies	\$10,000	Stock			
DELL'ATRIM EVEN DE L'ELLE	·	\$10,000			••••••	
BELLATRIX EXPLORATION LTD		\$100,000	Stock		6/15/15	
Diagon Idea In-		\$2,000 -				
Biogen Idec Inc	: meallificare: Blotechnology & Firings - 3	\$10,000	Stock		10/16/15	
ROOT BARN HOLDINGS INC		\$2,000 -	c. I			
BOOT BARN HOLDINGS INC	retali :	\$10,000	Stock		1/8/15	

	SCHEDULE A-1				FORM 70	0	
	Investments		CALIFORNIA TAR POLITICAL PRACT			***************************************	
	Stocks, Bonds, and Other Interests				OMAS JO		
	(Ownership Interest is Less Than 10%)						
	Do not attach brokerage or financ	cial statements.					••••••
<blue> is a required field</blue>					ļ		
NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET	NATURE OF	IF APPLIC			
BRISTOL MYERS SQUIBB COMPANY	Pharmaceuticals	\$2,000 - \$10,000	Stock				
BROOKDALE SENIOR LIV INC	Healthcare Facilities	\$2,000 - \$10,000	Stock		1/27/15		
CABOT OIL & GAS CORP	Oil and Gas	\$2,000 - \$10,000	Stock	5/11/15	8/7/15		••••••
Canadian PAC Railway Ltd	Transportation Railways LTD	\$2,000 - \$10,000	Stock		5/20/19		
CAPITAL ONE FINANCIAL CORP	Financial	\$2,000 - \$10,000	Stock	3/18/15			•••••
CELGENE CORP	Biotechnology	\$2,000 - \$10,000	Stock	,, 25	5/1/15		·
CHIPOTLE MEXICAN GRI INC	Restaurants	\$2,000 - \$10,000	Stock		5/28/15		
Chubb Corporation	Insurance	\$2,000 - \$10,000	Stock	••••••	7/15/15		
CISCO SYSTEMS INC	Computer Communications	\$2,000 - \$10,000	Stock				*************
Coca-Cola Company	Beverages, Production and Sales	\$2,000 - \$10,000	Stock				
COGNIZANT TECHNOLOGY SOLUTION	Information Technology Services	\$2,000 - \$10,000	Stock	5/19/15	7/6/15		
Colgate-Pamolive	Consumer Cyclical: Personal Househol	\$2 000 -	Stock	-,,	.,-,25		~~~~~
CONFORMIS INC	Medical Specialties	\$2,000 - \$10,000	Stock	7/1/15	12/17/15	•••••••••••••••••••••••••••••••••••••••	
CONSTELLATION BRANDS INC	Beverages	\$2,000 - \$10,000	Stock		,		***************************************
COPA HOLDINGS S A CL A	Transportation Airline	\$2,000 - \$10,000	Stock		5/17/15		
COSTAR GROUP INC	Technology Computer Services	\$2,000 - \$10,000	Stock				
DAKOTA PLAINS HOLDINGS INC	Holdings Company	\$2,000 - \$10,000	Stock		11/6/15		***************************************
Deere & Company	Agricultural Equipment	\$2,000 - \$10,000	Stock				
Delta Airlines Inc	Transportation Airline	\$2,000 - \$10,000	Stock				
DEXCOM INC		\$2,000 - \$10,000	Stock	8/12/15	10/16/15		
Diageo	consumer cyclical peverages	\$10,001 - \$100,000	Stock				***************************************
DIRECTV		\$2,000 - \$10,000	Stock				
DIRTT ENVIRONMENTAL SOLUTIONS	Dusilless Services	\$2,000 - \$10,000	Stock			••••••	••••••
Discover Financial Services	Financial	\$10.001 -	Stock		10/16/15		••••••••••••
ECO STIM ENERGY SOLUTIONS		\$2.000 -	Stock	7/10/15	11/6/15		

	SCHEDULE A-1	·····		CALIFORNIA	FORM 700
	Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Do not attach brokerage or financial statements.			CALIFORNIA FAR POLITICAL PRAC	CIRCESCOMMISSON
				Name TI	HOMAS JO
<blue> is a required field</blue>	Do not attach brokerage or finan	cial statements.	ļ		
			- 		
NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET	NATURE OF	IF APPLI	The state of the s
EDWARDS LIFESCIENCES CORP	Medical Services Equipment	\$2,000 - \$10,000	Stock		
Emerson Electronic	Scientific & Technical Instr	\$2,000 - \$10,000	Stock		
ENPHASE ENERGY INC	Semiconducters	\$2,000 - \$10,000	Stock		11/6/15
EPAM SYSTEMS INC	Technology Services	\$2,000 - \$10,000	Stock	8/6/15	5
Estee Lauder Company, Inc.	Cosmetics	\$2,000 - \$10,000	Stock		11/2/15
Exxon Mobile Coproration	Oil and Gas	\$10,001 - \$100,000	Stock		
Facebook	Technology Computer Services	\$2,000 - \$10,000	Stock		
FIESTA RESTAURANT GROUP INC	Services Restaurants	\$10,001 - \$100,000	Stock	***************************************	1/8/15
FIRST REPUBLIC BANK, SAN FRANCI	SCBanking	\$2,000 - \$10,000	Stock	3/13/15	
FLEETCOR TECHNOLOGIES INC	Miscellaneous Commercial Services	\$2,000 - \$10,000	Stock		
GALLAGHER ARTHUR J & CO COM	Insurance	\$2,000 - \$10,000	Stock	~~~	
GARMIN LTD	Communications Equipment	\$2,000 - \$10,000	Stock	·····	2/20/15
General Electric Company	Industrial Conglomerates	\$2,000 - \$10,000	Stock		
General Mills	Consumer Cyclical :Food Processing	\$2,000 - \$10,000	Stock		
GENUINE PARTS CO COM	Auto Parts	\$2,000 - \$10,000	Stock		
GIGAMON INC	Communications Equipment	\$10,000	Stock		12/17/15
Gildan Activewear Inc	Apparel/Footward	\$10,000	Stock	1/8/15	
Gilead Sciences, Inc.	Pharmaceuticals	\$10,000	Stock		
Glaukos Corporation	Medical Specialties	\$10,000	Stock	6/26/15	11/6/15
Good Times Resteraunts INC	Restaurants	\$10,000	Stock	5/8/15	11/6/15
Google Inc / Alphabet	Internet Software/Services	\$100,000	Stock		
I&E EQUIPMENT SERVICES	Miscellaneous Commercial Services	\$10,000	Stock	2/27/15	12/17/15
IALLIBURTON COMPANY	Oilfield Services/Equipment	\$10,000	Stock		
ICA HOLDINGS INC	Healthcare Facilities	\$10,000	Stock		3/17/15
HILTON WORLDWIDE HLDGS INC	Hotels/Resorts/Cruiselines	\$2,000 - \$10,000	Stock	2/12/15	

	SCHEDULE A-1				FORM 700
	Investments			CALIFORNIA	
***************************************	Stocks, Bonds, and Other Interests				IOMAS JOI
	(Ownership Interest is Less Than 10%)				
	Do not attach brokerage or financ	cial statements.			
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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF	FAIR		IF APPLI	ČABI.E,
	THIS BUSINESS ACTIVITY	MARKET	OF	LIST DAT	TE
ILLINOIS TOOL WKS INC COM	Auto Parts	\$2,000 -	Stock		
		\$10,000		<u>.</u>	
	Scientific Industry	\$2,000 -	Stock		10/7/15
ILLUMINA INC	,	\$10,000	Stock		10///13
INFOBLOX INC	Technology Sofware Programming	\$2,000 -	Ctool		14/5/45
	reamondly solware riogramming	\$10,000	Stock		11/6/15
Intel Corp	Semiconducters	\$2,000 -			
	Semiconducters	\$10,000	Stock		
INTERCONTINENTAL EVOLUNIOS INC		\$2,000 -	·		
INTERCONTINENTAL EXCHANGE INC	Investment Banks/Brokers	\$10,000	Stock	2/26/15	7/2/15
NITUTIVE CUR CLER CO.		\$2,000 -		2/20/13	7/2/15
NTUITIVE SURGICAL I NEW	Medical Equipment & Supplies	\$10,000	Stock		
			-}	<u> </u>	
JOHNSON & JOHNSON COM	Biotechnology & Drugs	\$2,000 -	Stock		
		\$10,000	}	ļ	
IPMORGAN CHASE & CO COM	Money Center Banks	\$2,000 -	Stock		
		\$10,000	Joedan		
KANSAS CITY SOUTHERN	Transportation Railways LTD	\$10,001 -	Stock		
	The state of the s	\$100,000	SLOCK		
		\$2,000 -	C4- !		
(INDER MORGAN INC	Oil and Gas Pipelines	\$10,000	Stock	12/1/15	12/17/15
(OHL'S CORP	Poteil	\$2,000 -	1		
COIL 3 CORF	Retail	\$10,000	Stock		9/22/15
		\$2,000 -	}		
Kornit Digital	Industrial Machinary	\$10,000	Stock	7/1/15	11/6/15
······································	1	\$2,000 -	 	7/1/15	11/6/15
BRANDS INC	Retail	:	Stock		
. =	<u> </u>	\$10,000			
AM RESEARCH CORP	Electronic Production Equipment	\$2,000 -	Stock		
		\$10,000			
EGGETT & PLATT INC		\$2,000 -	Stock		
	i ,	\$10,000	JULIA	}	
GI HOMES INC	Capital Goods : Construction Services	\$2,000 -	Stock		
-	Social deficition services	\$10,000	Stock		
ILLY ELI & COMPANY		\$2,000 -	C+!		
	Pharmaceuticals	\$10,000	Stock	9/21/15	
OCKHEED MARTIN CORP	!	\$2,000 -			
OCKITEED WAKTIN CORP	Capital Goods : Aerospace & Defense	\$10,000	Stock		
OWER COMPANIES IN S		\$2,000 -			
OWES COMPANIES INC	Retail Home Improvements	\$10,000	Stock		
ululemon Athletic Inc	: Netali Stores	\$2,000 -	Stock	-	6/8/15
yondell Basell Industries N Vord		\$10,000			
	:Criefficals: Specialty	\$2,000 -	Stock	1	3/18/15
HS CL A		\$10,000			-, -, -,
MALIBU BOATS INC	Recreational Equipment	\$2,000 -	Stock		11/6/15
		\$10,000	JUUCK		11/6/15
MARTIN MARIETTA MATERIALS INC	Construction Raw Materials	\$2,000 -	Caral		
W. WIET IA MATERIALS INC	: Construction Naw Materials :	\$10,000	Stock		
Asso Corn		\$2.000 -			
lasco Corp	: Dunume Products	\$10,000	Stock	8/7/15	
AACONUTE INTERPRETATION		\$2,000 -			
ASONITE INTL CORP NEW COM	HIDIUHIES COMBANY :	5	Stock		6/15/15
		\$10,000			

	SCHEDULE A-1			CALIFORNIA	FORM	700
	Investments			CALIFORNIA FAIR POLITICAL PRAC		700
	Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)			Name T	HOMASJO	O(
<blue> is a required field</blue>	Do not attach brokerage or final	ncial statements.				
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NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF	FAIR	NATURE	IF APPLI	CABLE,	
	THIS BUSINESS ACTIVITY	MARKET	OF.	LIST DA	ŢĘ	
Mastercard, Inc.	Financial	\$2,000 - \$10,000	Stock			
MCDONALDS CODD		\$2,000 -				
MCDONALDS CORP	Restaurants	\$10,000	Stock	11/10/15		
MCGRAW-HILL FINANCIA INC	Drinting Dublishing	\$2,000 -			<u></u>	
	Printing Publishing	\$10,000	Stock			
MCKESSON CORP	Medical Distributors	\$2,000 -			-	
	Wedled Distributors	\$10,000	Stock			
MEG ENERGY CORP	Energy	\$2,000 -	C+I.		2/26/45	
		\$10,000	Stock		2/26/15	
MICROSOFT CORP	Software & Programming	\$2,000 -	Stock			
		\$10,000	Stock			
MONDELEZ INTERNATIONAL INC CL	A	\$2,000 -	Stock			
	FoodL Major Diversified	\$10,000		10/14/15	}	
MORGAN STANLEY	Investment Banks/Brokers	\$2,000 -	Stock		10/21/15	
		\$10,000		*************	10,21,13	
MYLAN N V	Pharmaceuticals: Generic	\$2,000 -	Stock			
		\$10,000		3/13/15	9/24/15	5
National Oilwell Varco, Inc.	Oil and Gas Drilling Equipment \$2,000 - Stock	Stock				
155715.04.000		\$10,000 \$2,000 -		~~~~~		
NESTLE SA ORD	Consumer Cyclical :Beverages	\$10,000	Stock			
IFTELIX COM INC		\$2,000 -				
IETFLIX COM INC	Services: Broadcasting & Cable TV	\$10,000	Stock			
like, Inc	Date 11.51	\$2,000 -				
ince, inc	Retail Stores	\$10,000	Stock			
IOKIA CORPORATION	Communications	\$2.000 -	-		~~~~	
TORIA COM ONATION	Communications Equipment	\$10,000	Stock		2/26/15	
Vortheast Utilities	Energy	\$10,001 -	i		·	
***************************************	Livergy	\$100,000	Stock			NAME CHANGE TO EVERSOURCE
IOVARTIS	Healthcare: Major Drugs	\$2,000 -	C+I.		~~~~~	- CONTROL TO EVERSOONEE
		\$10,000	Stock			
V5 Global Inc	Miscellaneous Commercial Services		Stock	5/29/15	11/6/15	
XP SEMICONDUCTORS N V		\$2,000 -	Stock			
	Semiconducters	\$10,000		1/29/15	12/16/15	
ccidental Petroleum Corporation	Petroleum Exploration and	\$2,000 -	Stock			
	Production	\$10,000				
MNICOM GROUP INC	Advertising	\$2,000 -	Stock			
	\$10,000	\$10,000				
RACLE CORPORATION	Software & Programming	\$2,000 -	Stock			
		\$10,000				
ALO ALTO NETWORKS INC	\$2,000 - Stock Computer Communications	Stock	2/1/25			
ADVED HANKIEW		\$10,000 \$2,000 -		3/4/15		
ARKER HANNIFIN CORP	Misc. Fabricated Products	\$10,000	Stock			
AVDAL HOLDINGS INC		\$2,000 -				
AYPAL HOLDINGS INC	Data Processing Services	\$10,000	Stock	7/22/15		
Fizor Inc		\$2.000 -		7/22/15		***************************************
fizer, Inc.	Pharmaceuticals	\$10,000	Stock			

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	SCHEDULE A-	1		CALIFORNIA	EODM .	700	
	Investments Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)			FAIR POLITICAL PRAC	TICES COMMISSION		
				Name Ti	HOMAS JO	OI .	
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OLOCA IS A required field			.				
	GENERAL DESCRIPTION OF	FAIR	X		5481		
NAME OF BUSINESS ENTITY	THIS BUSINESS ACTIVITY	MARKET	NATURE OF	LIST DA			
PHILIP MORRIS INTL	Tobacco	\$2,000 -	}	LIGILIA	<u> </u>		
	TODACCO	\$10,000	Stock				
Pioneer Natrual Resources Compan	Oil I C	\$2,000 -		}	·		
	y Oil and Gas	\$10,000	Stock		4/1/15		
PPG Industries Inc		\$2,000 -	·	<u> </u>			
-r-G ilidastries ilic	Industrial Specialties	\$10,000	Stock		11/16/15		
DRICE T DOWN CROUP		\$2,000 -	·	ļ	·		
PRICE T ROWE GROUP	Investment Services	\$10,000	Stock				
		\$2,000 -	·		}		
Priceline.com, Inc.	Online Travel Sales	\$10,000	Stock	5/6/15			
		·····	}				
Procter & Gamble	Household/Personal Care	\$2,000 -	Stock				
		\$10,000					
PROOFPOINT	Tochnology	\$2,000 -	Stock				
NOOTI OIIVI	Technology Services	\$10,000	ļ	2/26/15			
AYTHEON CO	Aerospace & Defense	\$2,000 -	Stock				
		\$10,000					
ROYAL CARIBBEAN CRUISES LIMITED	Water Transportation	\$2,000 -	Stock	7/3/15	10/10/15		
		\$10,000	JUCK	//3/13	10/16/15		
alesforce.com, Inc.	Data Management Systems	\$2,000 -	Ctook		2/47/45		
cardiorer careerin, me.	- and management systems	\$10,000	Stock		3/17/15		
SERVICENOW INC	Information Technology Services	\$2,000 -	<u> </u>	***************************************		<u> </u>	
	mornation reclinology services	\$10,000	Stock				
		\$2,000 -					
HAKE SHACK	Restaurants	\$10,000	Stock	1/30/15	5/27/15		
herwin Williams Co		\$2.000 -		1/30/13	3/2//13	!	
nerwiii wiiiiams Co	Industrial Specialties	\$10,000	Stock				
		\$2,000 -					
hopify	Information Technology Services	\$10,000	Stock	E /24 /4E	7/24/45		
•		\$10,000		5/21/15	7/31/15		
PIRIT AIRLINES INC	Transportation Airline	: }	Stock				
		\$100,000					
PLUNK INC	Information Technology Services	\$2,000 -	Stock		6/25/15		
		\$10,000			-,, 20	SOLD on GGHC/Hold on AMER	
tarbucks Corporation	Coffee Retailer	\$2,000 -	Stock		1/25/15		
		\$10,000			-1 1 + - 1	SOLD on GGHC/Hold on AMER	
NCHRONY FINANCIAL		\$2,000 -	Stock				
	Finance/Rental/Leasing	\$10,000	- COOK	11/10/15			
MOBILE US INC		\$2,000 -	Stock				
	Wirless Communications	\$10,000	Stock	8/17/15	11/2/15		
ABLEAU SOFTWARE INC	Software and Programming	\$2,000 -	C+1				
		\$10,000	Stock	}			
ARGET CORP	Retail	\$2,000 -					
	netall	\$10,000	Stock				
		\$2,000 -					
XAS INSTRUMENTS	Electronic Technology	\$10,000	Stock	7/1/15			
		\$2,000 -	······.	7/1/15			
IFFANY AND COMPANY NEW	Specialty Stores	: 34	Stock		1/31/15		
		\$10,000					
X COMPANIES INC NEW	Retail	\$2,000 -	Stock	_,,			
	inctall	\$10,000	-	8/14/19			
OWER WATSON & COMPANY CLA	LID Computein -	\$2,000 -	Stock		İ		
	HR Consulting	\$10,000		2/9/15	7/2/15		

	SCHEDULE A	-1				700	
	Investments			CALIFORNIA FORM 700			
	Stocks, Bonds, and Other Interests			Name THOMAS JO			***************************************
	(Ownership Interest is Les	s Than 10%)	***************************************				
	Do not attach brokerage or fina	ncial statement	S.		1		
<blue> is a required field</blue>				<u> </u>	<u> </u>		***************************************
			•••••	·	·		••••••
NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF	FAIR	NATURE	IF APPLI	CABLE,	<u> </u>	~~~~~
	THIS BUSINESS ACTIVITY	MARKET	OF	LIST DAT	E		
Tractor Supply Company	Specialty Stores	\$2,000 -	Stock				
		\$10,000					
TEO2 INC		\$2,000 -	Stock				***************************************
TSO3 INC	Health Technology	\$10,000	JUGER	11/26/15			
UBIQUITI NETWORKS	Communications Services	\$2,000 -	Stock		7/6/15	·	
		\$10,000	Stock		7/6/15		
Ulta Salon and Cosmetics	Retail Stores	<\$2000	Stock				***************************************
		\\$2000	SLOCK				
	Apparel/Accessories	\$2,000 -	Ctl				
UNDER ARMOUR INC CL A	Appareit/Accessories	\$10,000	Stock				
UNITED CONTINENTAL H INC	Airline	\$2,000 -					
	Airine	\$10,000	Stock				
UNITED STATES STEEL NEW	Iron & Steel	\$2,000 -	Stock		}		•••••
	non & Steel	\$10,000			1/26/15		
UNITED TECHNOLOGIES CORP	Aerospace & Defense	\$2,000 -	Stock	·····			
ONITED TECHNOLOGIES CORP		\$10,000					
		\$2,000 -		<u> </u>			
USA TRUCK INC	Trucking	\$10,000	Stock	5/15/15	11/25/15		
VEDIZON COMMUNICATIONS		\$2,000 -		3/13/13	11/23/13		
VERIZON COMMUNICATIONS	Telecom	\$10,000	Stock				
VF C		\$2,000 -					
VF Corporation	Retail	\$10,000	Stock				
		\$2,000 -				·····	••••
Visa, Inc.	Financial	\$10,000	Stock				
		\$2,000 -				••••••	
WALGREENS BOOTS ALLIANCE INC	Retail Drugs	\$10,000	Stock		4/20/15		
		\$2,000 -					
WALT DISNEY CO	Media Conglomerates		Stock				
	interia congionnerates	\$10,000		2/5/15	8/25/15		
WELLS FARGO & CO NEW	W Regional Banks \$2,000 - Stock	Stock					
		\$10,000				***************************************	~~~~~~~~~~~
WESTERN DIGITAL CORP	Computer Storage Devices	\$2,000 -	Stock		4/30/15		
		\$10,000			,,	~~~~	***************************************
Workday	Information Technology Services	\$2,000 -	Stock		3/5/15		
	-	\$10,000			-, -, -,		
Yum Brands	Restaurants	\$2,000 -	Stock		11/2/15		***************************************
		\$10,000	JUCK	}	11/2/12		

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)



▶ 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
Saybrook Capital LLC	
Name	Name
11400 W.Olympic Blvd, Ste. 1400 Los Angles, CA	
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one Trust, go to 2 Business Entity, complete the box, then go to 2	Check one □ □ Trust, go to 2 □ Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
\$0 - \$1,999 \$2,000 - \$10,000//	\$0 - \$1,999 \$2,000 - \$10,000/ / 15 / / 15
\$10,001 - \$100,000 ACQUIRED DISPOSED	\$2,000 - \$10,000/
\$100,001 - \$1,000,000	\$100,001 - \$1,000,000
Over \$1,000,000	Over \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Partnership Sole Proprietorship Other	Partnership Sole Proprietorship Other
YOUR BUSINESS POSITION	
TOOK BOOKESS FOOTHON	YOUR BUSINESS POSITION
► 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA
	SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
☐ \$0 - \$499 ☐ \$10,001 - \$100,000 ☐ \$500 - \$1,000 ☐ OVER \$100,000	\$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000
\$1,001 - \$10,000	\$300 - \$1,000 SVER \$100,000
▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF	▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF
INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or Names listed below	INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
	None or Names listed below
N/A	
▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR	▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR
LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST	LEASED BY THE BUSINESS ENTITY OR TRUST
Check one box:	Check one box:
☐ INVESTMENT ☐ REAL PROPERTY	INVESTMENT REAL PROPERTY
Name of Business Entity, if Investment, or	Name of Pusinger Entity if Investment or
Assessor's Parcel Number or Street Address of Real Property	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity or City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:	FAIR MARKET VALUE IF APPLICABLE, LIST DATE:
<u>\$2,000 - \$10,000</u>	\$2,000 - \$10,000
\$10,001 - \$100,000	\$10,001 - \$100,000/
Over \$1,000,000	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
NATURE OF INTEREST	NATURE OF INTEREST
Property Ownership/Deed of Trust Stock Partnership	☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership
Leasehold Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached
	FPPC Form 700 (2015/2016) Sch. A-2
Comments:	1776 FORM 700 (2013) 2010) SCN. A-2