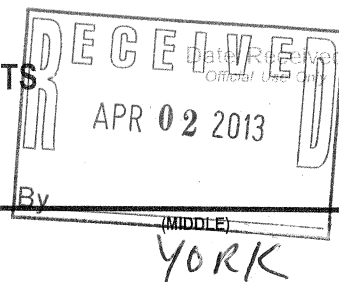


STATEMENT OF ECONOMIC INTERESTS
COVER PAGE



Please type or print in ink.

NAME OF FILER

(LAST) THOMAS

(FIRST) JONATHAN

(MIDDLE)

YORK

1. Office, Agency, or Court

Agency Name

CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE

Division, Board, Department, District, if applicable

Your Position

INDEPENDENT CITIZENS OVERSIGHT COMMITTEE

CHAIRMAN

► If filing for multiple positions, list below or on an attachment.

Agency: _____

Position: _____

2. Jurisdiction of Office (Check at least one box)

☒ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County _____

☐ County of _____

☐ City of _____

☐ Other _____

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2012, through December 31, 2012.

☐ Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is 01/01/12 through December 31, 2012.

☐ The period covered is January 1, 2012, through the date of leaving office.

☐ Assuming Office: Date assumed ____/____/____

☐ The period covered is ____/____/____, through the date of leaving office.

☐ Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 7

☒ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☐ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

210 KING ST.

SAN FRANCISCO

CA

94107

DAYTIME TELEPHONE NUMBER

(415) 396-9113

E-MAIL ADDRESS (OPTIONAL)

jthomas@cirm.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed

4/2/13
(month, day, year)

Signature

Jonathan Thomas
(File the originally signed statement with your filing official.)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**
FAIR POLITICAL PRACTICES COMMISSION

Name

Jonathan Thomas

► NAME OF BUSINESS ENTITY
LRN

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Legal/Ethical consulting

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Limited Partnership
 (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 12 / / 12
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Loyal 3

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Stock Brokerage

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☒ Other Limited Partnership
 (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
2/13/12 / / 12
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Ameriprise Financial *

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Financial Advisor

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____
 (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 12 / / 12
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY
Golden Gagner *

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Financial Advisor

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☒ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☒ Stock ☐ Other _____
 (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 12 / / 12
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____
 (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 12 / / 12
 ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT
☐ Stock ☐ Other _____
 (Describe)
☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:
 / / 12 / / 12
 ACQUIRED DISPOSED

* Comments: See Attachment A-1(a) for list of stocks held

Attachment A-1(a)

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

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CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION	700
Name	Jon Thomas

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list if "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/yyyy) ACQUIRED DISPOSED
Accenture, PLC	Business Management and Consulting	\$2,000 - \$10,000	Stock	
Advaiva, Inc	Healthcare: Biotechnology & Drugs	\$2,000 - \$10,000	Stock	
Amazon.com, Inc.	Online Retail	\$2,000 - \$10,000	Stock	
American International Group, INC New	Financial: Insurance (Prop & Casualty)	\$2,000 - \$10,000	Stock	8/6/2012
Apple, Inc.	Computer Technology	\$10,001 - \$100,000	Stock	
Augusta Resource Corporation	Metals Exploration and Mining	\$2,000 - \$10,000	Stock	
Avago Technologies LTD	Technology Semiconductors	\$2,000 - \$10,000	Stock	
Avis Budget Group Inc	Services Rental & Leasing	\$2,000 - \$10,000	Stock	
Bellatrix Exploration, Ltd.	Exploration, Development, and Production of Oil and Natural Gas	\$2,000 - \$10,000	Stock	
Biogen Idec Inc	Healthcare: Biotechnology & Drugs	\$2,000 - \$10,000	Stock	
Bolt Holdings Inc	Financial Services	\$2,000 - \$10,000	Stock	
Calot Oil & Gas Corporation	Oil and Gas Exploration and Production	\$2,000 - \$10,000	Stock	
Canadian PAC Railway Ltd	Transportation Railways LTD	\$2,000 - \$10,000	Stock	
Caterpillar, Inc.	Agricultural Equipment	\$2,000 - \$10,000	Stock	
Citigroup Inc New	Financial	\$2,000 - \$10,000	Stock	
Comcast Corp	Communications Services	\$2,000 - \$10,000	Stock	
Copa Holdings SA SA CIA	Transportation Airline	\$2,000 - \$10,000	Stock	
Costar Group Inc	Technology Computer Services	\$2,000 - \$10,000	Stock	8/23/2012
Cummins, Inc	Capital Goods	\$2,000 - \$10,000	Stock	
CVR Energy, Inc.	Petroleum Refining and Marketing	\$10,001 - \$100,000	Stock	
CVR Partners, LP	Private Equity Firm	\$2,000 - \$10,000	Stock	
Dakota Plains Holdings Inc	Holdings Company	\$2,000 - \$10,000	Stock	12/12/2012
Deere & Company	Agricultural Equipment	\$2,000 - \$10,000	Stock	
Delta Airlines Inc	Transportation Airline	\$2,000 - \$10,000	Stock	
Digital Globe Inc Com New	Business Services	\$2,000 - \$10,000	Stock	8/10/2012
Discover Financial Services	Financial	\$10,001 - \$100,000	Stock	
EBAY	Services Retail	\$10,001 - \$100,000	Stock	4/19/2012
EMC CORP MASS	Technology Computer Storage Devices	\$2,000 - \$10,000	Stock	
Estee Lauder Company, Inc.	Cosmetics	\$2,000 - \$10,000	Stock	
Express Scripts Holdings, CO	Services Retail (Drugs)	\$2,000 - \$10,000	Stock	
Facebook	Technology Computer Services	\$2,000 - \$10,000	Stock	
FedEx Corporation	Express Delivery	\$2,000 - \$10,000	Stock	8/14/2012
Fiesta Restaurant Group Inc	Services Restaurants	\$2,000 - \$10,000	Stock	
General Electric Company	Financial Services	\$2,000 - \$10,000	Stock	
Gilead Sciences, Inc.	Pharmaceuticals	\$2,000 - \$10,000	Stock	
Golden Minerals Company	Mining, Exploration, and Development of Minerals	\$2,000 - \$10,000	Stock	
Goldman Sachs Group Inc	Financial Services	\$2,000 - \$10,000	Stock	
Google Inc CIA	Technology Computer Services	\$10,001 - \$100,000	Stock	
Hertz Global Hldgs Inc	Services Rental & Leasing	\$2,000 - \$10,000	Stock	
Home Depot, Inc.	Retailer of Household Materials	\$2,000 - \$10,000	Stock	
ICI Bank, Ltd.	Financial Services	\$10,001 - \$100,000	Stock	

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

<BLUE> is a required field

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Jon Thomas

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list, if "other," describe)	IF APPLICABLE, LIST DATE	
				ACQUIRED	DISPOSED
Infoblox, Inc	Technology Software Programming	\$2,000 - \$10,000	Stock	5/15/2012	
Kansas City Southern New	Transportation Railways LTD	\$10,001 - \$100,000	Stock	4/24/2012	
Las Vegas Sands Corporation	Hotel, Resort & Casino	\$2,000 - \$10,000	Stock		
LinkedIn Corp CL A	Technology Computer Services	\$2,000 - \$10,000	Stock		
Lyondellbasell NV CL A	Basic Materials Chemical Manufacturing	\$2,000 - \$10,000	Stock		
Masco Corp	Capital Goods	\$2,000 - \$10,000	Stock		
Masonite Worldwide Holdings	Holdings Company	\$2,000 - \$10,000	Stock	10/3/2012	
Mastercard, Inc.	Financial	\$2,000 - \$10,000	Stock		
Michael Kors Hldgs Ltd	Retail	\$2,000 - \$10,000	Stock		
Monsanto Company	Agricultural Products	\$2,000 - \$10,000	Stock		
NetApp, Inc.	Software Storage Applications	\$2,000 - \$10,000	Stock		
Nike	Retail	\$2,000 - \$10,000	Stock		
Noble Energy Inc	Energy Oil and Gas	\$2,000 - \$10,000	Stock		
Pacira Pharmaceuticals Inc	Healthcare: Biotechnology & Drugs	\$10,001 - \$100,000	Stock	6/25/2012	
Pfizer, Inc.	Pharmaceuticals	\$2,000 - \$10,000	Stock		
Pioneer Natl Res Company	Energy Oil and Gas	\$2,000 - \$10,000	Stock		
Procter and Gamble	Consumer/Non-Cyclical	\$2,000 - \$10,000	Stock		
Qualcomm, Inc.	Wireless Communications Equipment	\$2,000 - \$10,000	Stock		
Salesforce.com, Inc.	Data Management Systems	\$2,000 - \$10,000	Stock		
Sandisk Corporation	Data Storage Products	\$2,000 - \$10,000	Stock		
SLM Corporation	Financial Services	\$2,000 - \$10,000	Stock		
Sherwin Williams Corp	Basic Materials Chemical Manufacturing	\$2,000 - \$10,000	Stock		
Sirius XM Radio	Services Broadcasting & Cable TV	\$2,000 - \$10,000	Stock		
Spirit Airlines Inc	Transportation Airline	\$2,000 - \$10,000	Stock		
Splunk Inc	Technology Software Programming	\$2,000 - \$10,000	Stock		
Starbucks Corporation	Coffee Retailer	\$2,000 - \$10,000	Stock		
Union Pacific Corp	Transportation Railways LTD	\$2,000 - \$10,000	Stock		
Urban Outfitters	Retail	\$2,000 - \$10,000	Stock		
Valant Pharm Inter	Healthcare: Biotechnology & Drugs	\$2,000 - \$10,000	Stock		
Validus Holdings, Ltd.	Insurance Underwriting	\$10,001 - \$100,000	Stock		
Visa, Inc.	Financial	\$2,000 - \$10,000	Stock		
Whirlpool Corp	Consumer Cyclical	\$2,000 - \$10,000	Stock		
W.R. Berkley Corporation	Retail Groceries	\$2,000 - \$10,000	Stock		
	Insurance Holding Company	\$2,000 - \$10,000	Stock		

Cell: A7

Comment: After completion, ensure that there are no blue fields on this schedule. If so, you must complete that cell.

Cell: A9

Comment: Disclose the name of the business entity.

Cell: B9

Comment: Provide a general description of the business activity of the entity (for example, pharmaceuticals, computers, automobile manufacturing, or communications).

Cell: C9

Comment: Select the highest fair market value of your investment during the reporting period. If you are filing a candidate or an assuming office statement, indicate the fair market value on the filing date or the date you took office, respectively.

Cell: D9

Comment: Identify the nature of your investment (for example, stocks, warrants, options, or bonds).

Cell: E9

Comment: If you initially acquired or entirely disposed of this interest during the reporting period, enter the date acquired or disposed.

SCHEDULE A-2
Investments, Income, and Assets
of Business Entities/Trusts
(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name <i>Jonathan Thomas</i>

1. BUSINESS ENTITY OR TRUST

Name *Saybrook Capital LLC*
11400 W Olympic Blvd Ste 1400
Los Angeles, CA 90064
Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☒ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

Private Equity

FAIR MARKET VALUE

- ☐ \$0 - \$1,999
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/12 ____/____/12
ACQUIRED DISPOSED

NATURE OF INVESTMENT

☒ Partnership ☐ Sole Proprietorship ☐ Other

YOUR BUSINESS POSITION *Partner*

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☒ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

☐ None

N/A

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

☒ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property

Saybrook Corporate Opportunity Fund
Distressed Debt Investment

Description of Business Activity or

City or Other Precise Location of Real Property

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☒ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/12 ____/____/12
ACQUIRED DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☐ Stock ☒ Partnership

☐ Leasehold Yrs. remaining

☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

1. BUSINESS ENTITY OR TRUST

Name

Address (Business Address Acceptable)

Check one

☐ Trust, go to 2 ☐ Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE

- ☐ \$0 - \$1,999
☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/12 ____/____/12
ACQUIRED DISPOSED

NATURE OF INVESTMENT

☐ Partnership ☐ Sole Proprietorship ☐ Other

YOUR BUSINESS POSITION

2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

- ☐ \$0 - \$499 ☐ \$10,001 - \$100,000
☐ \$500 - \$1,000 ☐ OVER \$100,000
☐ \$1,001 - \$10,000

3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

☐ None

4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:

☐ INVESTMENT ☐ REAL PROPERTY

Name of Business Entity, if Investment, or
Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or

City or Other Precise Location of Real Property

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000
☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000
☐ Over \$1,000,000

IF APPLICABLE, LIST DATE:

____/____/12 ____/____/12
ACQUIRED DISPOSED

NATURE OF INTEREST

☐ Property Ownership/Deed of Trust ☐ Stock ☐ Partnership

☐ Leasehold Yrs. remaining

☐ Other

☐ Check box if additional schedules reporting investments or real property are attached

Comments:

SCHEDULE C
Income, Loans, & Business
Positions
(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name <i>Jonathan Thomas</i>

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

Saybrook Capital LLC

ADDRESS (Business Address Acceptable)

*11400 W Olympic Blvd Ste. 1400
Los Angeles, CA 90064*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Private Equity

YOUR BUSINESS POSITION

Partner

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☒ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income

☐ Loan repayment ☒ Partnership

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

► **1. INCOME RECEIVED**

NAME OF SOURCE OF INCOME

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

YOUR BUSINESS POSITION

GROSS INCOME RECEIVED

- ☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000 ☐ OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED

☐ Salary ☐ Spouse's or registered domestic partner's income

☐ Loan repayment ☐ Partnership

☐ Sale of _____
(Real property, car, boat, etc.)

☐ Commission or ☐ Rental Income, list each source of \$10,000 or more

☐ Other _____
(Describe)

► **2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD**

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF LENDER

HIGHEST BALANCE DURING REPORTING PERIOD

- ☐ \$500 - \$1,000
☐ \$1,001 - \$10,000
☐ \$10,001 - \$100,000
☐ OVER \$100,000

INTEREST RATE

_____% ☐ None

TERM (Months/Years)

SECURITY FOR LOAN

☐ None ☐ Personal residence

☐ Real Property _____
Street address

City

☐ Guarantor _____

☐ Other _____
(Describe)

Comments: _____