CALIFORNIA FORM 700

STATEMENT OF ECONOMIC INTERESTS

Date Initial Filing Received Official Use Only

A PUBLIC DOCUMENT	C	OVER PAGE	Filed Da	te: 02/12/2017 08:31 PM
Please type or print in ink.	·			SAN: FPPC
NAME OF FILER (LAST)	(FIRST)			(MIDDLE)
Higgins	David			R
1. Office, Agency, or Court				
Agency Name (Do not use acronyms)				
California Institute of Regenerative M	ledicine			
Division, Board, Department, District, if applicable	9	Your Position		
		ICOC Board	Member	
► If filing for multiple positions, list below or on	an attachment. (Do not us	e acronyms)		
Agency:		Position:		
2. Jurisdiction of Office (Check at least	one box)			
X State		Judge or Court	Commissioner (Stat	ewide Jurisdiction)
Multi-County		County of		
☐ City of		Other		
3. Type of Statement (Check at least one		_		
Annual: The period covered is January 1, 2	2016, through	Leaving Offic (Check one)	e: Date Left	II
December 31, 2016.		(encor one)		
December 31, 2016. -or- The period covered is/ December 31, 2016.	, through	 The period leaving official 	-	1, 2016, through the date of
-or- The period covered is/	-	 The period leaving offi or- The period 	ce.	1, 2016, through the date of
-or- The period covered is/ December 31, 2016.		 The period leaving offi -or- The period the date of 	ce. covered is/_ leaving office.	-
-or- The period covered is/ December 31, 2016. Assuming Office: Date assumed/_	/ and office sought, if	 The period leaving offi -or- The period the date of 	ce. covered is/_ f leaving office.	, through
-or- The period covered is/ December 31, 2016. Assuming Office: Date assumed/_ Candidate: Election year	/ and office sought, if	 The period leaving officient The period the date of the date. 	ce. covered is/_ f leaving office.	, through
-or- The period covered is/ December 31, 2016. Assuming Office: Date assumed/_ Candidate: Election year 4. Schedule Summary (must completed)	and office sought, if te) ► Total number	 The period leaving officient or- The period the date of the dat	ce. covered is/_ leaving office.	, through
-or- The period covered is/ December 31, 2016. Assuming Office: Date assumed/_ Candidate: Election year 4. Schedule Summary (must complete Schedules attached	and office sought, if te) ► Total number	 The period leaving officient or- The period the date of the dat	ce. covered is/_ leaving office. this cover pag Loans, & Business (, through
-or- The period covered is/ December 31, 2016. Assuming Office: Date assumed/_ Candidate: Election year Candidate: Election year Schedule Summary (must completed schedules attached Schedules attached Schedule A-1 - Investments – schedule	and office sought, if te) ► Total number attached [attached [The period leaving officient correction of the date o	ce. covered is/_ leaving office. this cover pag Loans, & Business f – Gifts – schedule a	, through
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SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

Name

David Higgins

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Apple	Bank of America
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Technology	Finance
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$10,000 \$
S100,001 - \$1,000,000	S100,001 - \$1,000,000
Stock Other (Describe)	Stock Other (Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>//16/16_</u>	<u>/1616_</u>
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
BP	Chevron
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Energy	Energy
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	□ \$2,000 - \$10,000 X \$10,001 - \$100,000
X \$100,001 - \$1,000,000	S100,001 - \$1,000,000
NATURE OF INVESTMENT	NATURE OF INVESTMENT
(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)	(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 16 / / 16	/ / 16 / / 16
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Freeport McMoran	HCP, Inc
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Mining	Real Estate
FAIR MARKET VALUE	FAIR MARKET VALUE
S2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$10,000 \$
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
Stock Other (Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 16 , , , 16	/ / 16 / / 16
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: _

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

Name

David Higgins

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
JP Morgan Chase & Co.	MetLife
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Finance	Insurance
FAIR MARKET VALUE	FAIR MARKET VALUE
S2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
Stock Other (Describe)	Stock (Describe)
Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u>/ 16/ 16 _</u>	<u>1616</u>
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Qualcomm	Carey Watermark Invs
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Communications	Real Estate
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT	
Stock Other	Stock X Other Real Estate
(Describe)	(Describe)
Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 16 / / 16	/ / 16 / / 16
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Corp Property Assoc 17 Global Inc	CNL Lifestyle Properties - REIT
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate	Real Estate
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	□ \$2,000 - \$10,000 □ \$10,001 - \$100,000
X \$100,001 - \$1,000,000	X \$100,001 - \$1,000,000
NATURE OF INVESTMENT Real Estate	NATURE OF INVESTMENT Stock
(Describe)	(Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<u> </u>	<u>1616_</u>
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: _

SCHEDULE A-1 Investments

CALIFORNIA FORM 700

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

David Higgins

Name

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
Monogram Residential Trust Inc - REIT	
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
Real Estate	
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	□ \$2,000 - \$10,000 □ \$10,001 - \$100,000
X \$100,001 - \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
Stock X Other (Describe)	Describe)
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 16 / / 16	/ / 16 / / 16
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
\$2,000 - \$10,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
\$100,001 - \$1,000,000Over \$1,000,000	
NATURE OF INVESTMENT	NATURE OF INVESTMENT
Stock Other	Stock Other
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (<i>Report on Schedule C</i>)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
/ / 16 / / 16	/ / 16 / / 16
ACQUIRED DISPOSED	ACQUIRED DISPOSED
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE	FAIR MARKET VALUE
\$2,000 - \$10,000 \$10,001 - \$100,000	\$2,000 - \$10,000 \$10,001 - \$100,000
S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT
Stock Other (Describe)	Stock Other
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
, , 16 , , , 16	/ / 16 / / 16
ACQUIRED DISPOSED	ACQUIRED DISPOSED

Comments: _

Subject: Notification - Your Submitted Form 700

Date: Sunday, February 12, 2017 at 8:31:53 PM Pacific Standard Time

From: Form700@fppc.ca.gov

- To: David R Higgins
- CC: Maria Bonneville, Amy Cheung

Dear David Higgins,

Congratulations! Your Statement of Economic Interest, Form 700 has been successfully filed with our office on 02/12/2017 08:31 PM.

Electronic Confirmation #: 2791

Agency:California Institute of Regenerative MedicinePosition:ICOC Board MemberFiling Type:AnnualFiling Year:2016Number of pages: 4

If you need to view, print or amend your filed form, a copy of your filing has been saved in your profile under 'Previous Filings' in the eDisclosure System.

Hope you enjoyed your online filing experience.

System Logon Link: <u>https://form700.fppc.ca.gov/</u>