

New Award Management Policy

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Our Mission

Accelerating world class science
to deliver transformative
regenerative medicine treatments
in an equitable manner to a
diverse California and world.



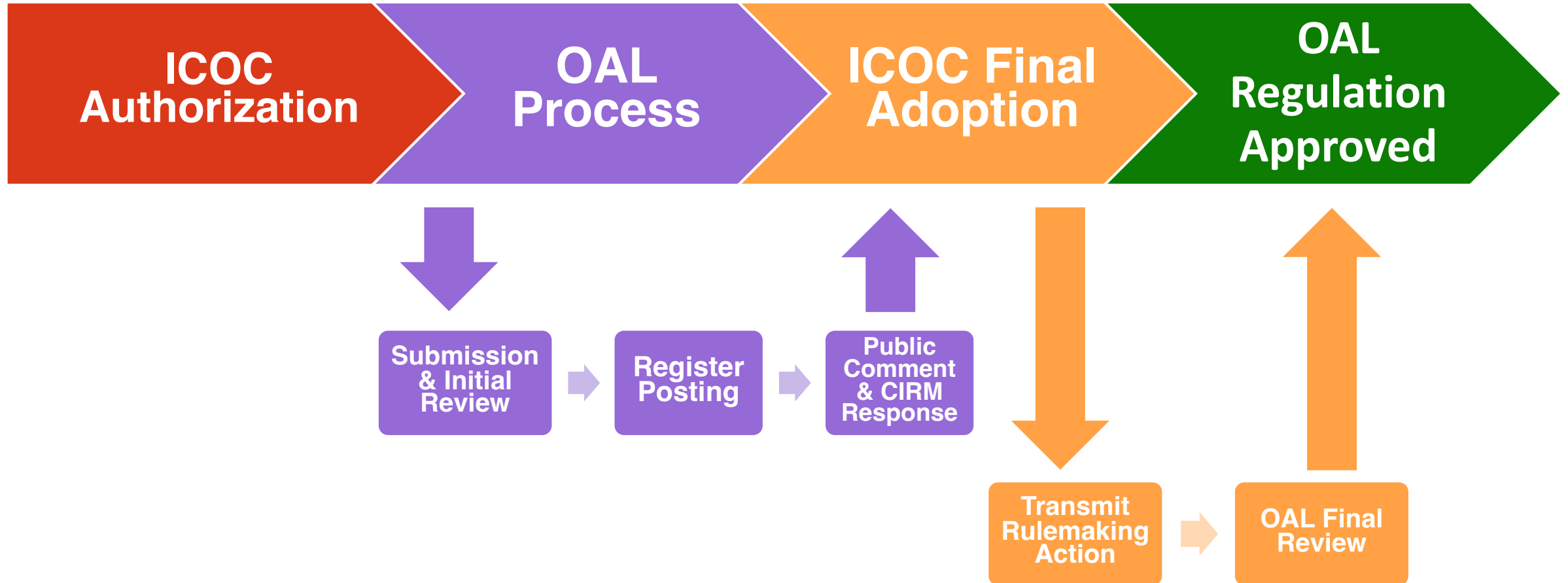
Background

The Grants Administration Policy is a set of regulations that govern the management of CIRM awards.

- 2006 – ICOC adopted first Grants Administration Policy
- 2008 – ICOC adopted Grants Administration Policy for Facilities & Equipment Grants
- 2016 – ICOC adopted the current active Grants Administration Policies
 - Grants Administration Policy for Clinical Trial Stage Projects
 - Grants Administration Policy for Discovery, Translation, and Education

CIRM must follow the California Office of Administrative Law process for creating any new regulation.

Process & Effective Date



Executive Summary

- Shifting from current use of 3 GAPs to one unified all-encompassing policy
 - High level policy that removes distinctions by program, organization type, or facilities components
 - Offers consistency and adaptability as the SAF is operationalized
- Maintains the chronological organization of the grant-making process
- Removes duplicative reference to other policies
- Adds in new elements of Proposition 14 era of CIRM
- Streamlines language choice and definitions
- User-friendly, clear, enforceable and timeless
- Renames to Award Management Policy (AMP)

Highlights of the Changes

Definitions/Terminology

- New term, Critical Role, which is a subset of Key Personnel that have a minimum effort requirement as described in the PA/RFA and any change in the role could cause serious disruption to the project and its operations. Prior Approval will be required to change these roles or reduce effort requirements.
- Clinical Advisory Panels changed to CIRM Experts. CIRM Experts will serve as strategic advisors to CIRM staff on an ad-hoc basis to guide project direction and ensure alignment with program goals. This role can be deployed in individual PA/RFAs.

Application Review

- Removes terms associated with application budget review process and scoring criteria section that are and will continue to be enforced in the relevant PA, GWG Bylaws or Concept Plans.

Terms & Conditions

- Adds requirement to acknowledge CIRM in any public communications (press release, publications, conference presentations, etc.).
- Contains outdated LIBOR loan conversion rates. Replaced by Secured Overnight Financing Rate (SOFR).

Highlights of the Changes

Allowable/Unallowable Costs

- Allowable maximum salary for Award personnel will align with UCOP Health Sciences Compensation Plan
- Addition of patient-qualified costs to Allowable Cost Policy for participation in a CIRM-funded trial by a donor, patient, or caregiver that include travel, housing, childcare, and medical care.
- Addition to Unallowable Cost Policy of payments to potential or enrolled research participants.
- Changes to Allowable Facilities costs:
 - Non-profit federally-negotiated rates will no longer be accepted by CIRM for Facilities A & B costs
 - Non-profits and for-profits without federally-negotiated rates will no longer get 35% automatically
 - ICOC will determine the allowable facilities costs based on analysis of federal, state, and/or comparative funder overhead rates.*

**Note: CIRM Staff would bring forth a recommendation to the ICOC in FY 25/26.*

Highlights of the Changes

Strategic Allocation Framework alignment

- Strengthens Equipment Management section to include requirement for Awardees to have procedures to leverage CIRM-funded equipment on any future CIRM Applications and Awards.
- Adds Data Sharing and Management section to specify reporting requirement, imposes non-compliance penalties and requires that the DSMP be fully executed by Award close

Funds Management

- Clarifies policy regarding award close-out with addition of:
 - Terminated awards relinquish unobligated balance owed by CIRM if final reporting is not submitted within 120 days
 - Closed awards cannot request a revision to financial reporting after 6 months of the award end date
- Specifies that CIRM funds must be placed in an interest-bearing account and Awardee must track accrued interest. Any interest earned can be used towards the award or must be returned to CIRM.

Highlight of Changes

Training Grants

- Removes specific policy limits for mentor to trainee ratios and allows PA/RFA to specify ratios
- Tuition & Fees section removes a detailed calculation in favor of the relevant PA/RFA setting limits
- Research Related Activities section includes specific indirect cost recovery rate for host institutions (internship sites)
- Trainee Travel specifies that funds are for travel to annual CIRM-sponsored conference and remaining funds can be used to cover other trainee travel related to the program
- CIRM approval will be required only when rebudgeting from Trainee-Related to another category: Program Admin or Indirect Cost. Allows flexibility to rebudget between trainee cost categories.
- No-Cost Extension allowed for up to 12 months if it is in service of Trainee completion of their training term

Request for Board Action

The CIRM Team requests the Subcommittees to recommend to the ICOC to initiate the rulemaking process to adopt the Award Management Policy.



Thank You

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