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Vice President, Portfolio Development and Review Grants Working Group Recommendations CLIN February 22, 2024





Mission Statement



OUR MISSION

Accelerating world class science to deliver transformative regenerative medicine treatments in an equitable manner to a diverse California and world





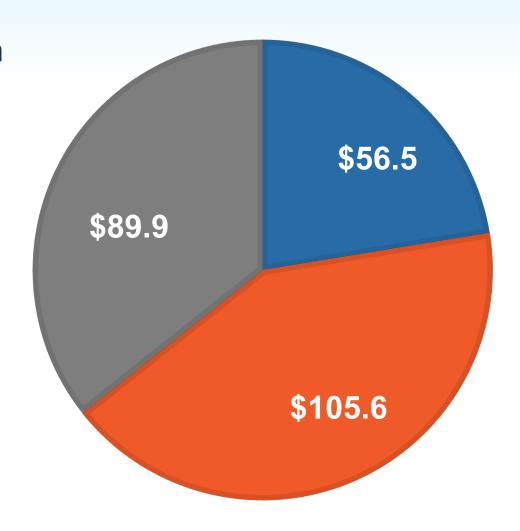
2023/24 Clinical Budget Status



Annual Allocation: \$ 252 million

- Amount Requested Today
- Approved Awards
- Unused Balance

Amounts are shown in millions





Scientific Scoring System



Score of "1"

Exceptional merit and warrants funding.

May have minor recommendations and adjustments that do not require further review by the GWG

Score of "2"

Needs improvement and does not warrant funding at this time but could be resubmitted to address areas for improvement.

GWG should provide recommendations that are achievable (i.e., "fixable changes") or request clarification/information on key concerns.

Score of "3"

Sufficiently flawed that it does not warrant funding and the same project should not be resubmitted **for at least 6 months**.

Applications are scored by all scientific members of the GWG with no conflict.



Scientific Review Criteria



- Does the project hold the necessary significance and potential for impact? (what value does it offer; is it worth doing?)
- 2. Is the rationale sound? (does it make sense?)
- 3. Is the project well planned and designed?
- 4. Is the project feasible? (can they do it?)
- Does the project uphold principles of diversity, equity, and inclusion (DEI)? (e.g., does it consider patient diversity?)



CLIN DEI Scoring



| | Score of 0 to 2 | Score of 3 to 5 | Score of 6 to 8 | Score of 9 to 10 |
|----------------------|---|--|---|---|
| CRITERIA | Not Responsive | Not Fully Responsive | Responsive | Outstanding Respons |
| . Commitment to DEI | Fails to address how success of this project would lead to a therapy that positively impacts underserved or disproportionately affected communities. | Inadequately addresses how success of this project would lead to a therapy that positively impacts underserved or disproportionately affected communities. | Adequately describes how success of this project would likely lead to a therapy that positively impacts underserved or disproportionately affected communities. | Convincingly and clearly describes how success this project would lead to therapy that positively impacts underserved or disproportionately affect communities. |
| | Does not set goals for diverse trial population enrollment and provides no justification for the target enrollment. | May set trial population enrollment goals that are inappropriate or infeasible relative to the population affected or at risk for the indication. | Sets adequate goals for trial population enrollment relative to the population affected or at risk for the indication. | Trial population goals at based on a deep understanding of health disparities and disease burden. |
| | Inadequate personnel/expertise or budget to implement DEI- oriented activities. | May have inadequate personnel/expertise or budget to implement DEI-oriented activities. | Adequate personnel/expertise or budget to implement DEI-oriented activities. | Strong personnel/exper and appropriate budget implement DEI-oriented activities. |
| . Project Plans | Planned activities do not reflect a good faith effort and are unlikely to be effective in outreach and engagement. | Planned activities are incomplete or inadequate and may not reflect a good faith effort for outreach and engagement. | Planned activities reflect a good faith effort and have the potential to be effective in outreach and engagement. | Planned activities reflection outstanding and comprehensive effort for outreach and engagement |
| | Does not demonstrate an understanding of the potential barriers to participation in the clinical trial. | Does not fully demonstrate an understanding of the potential barriers to participation in the clinical trial. | Demonstrates an understanding of the potential barriers to participation in the clinical trial. | Demonstrates a clear understanding of the potential barriers to participation in the clinic trial. |
| | Inadequate plan to address potential barriers to participation. | May not have an adequate plan to address potential barriers to participation. | Has an adequate plan to address potential barriers to participation. | Has a strong plan to address potential barries to participation. |
| | Unlikely to achieve the recruitment of trial participants from underserved or disproportionately affected populations. | May not be able to achieve the recruitment of trial participants from underserved or disproportionately affected populations. | Likely to achieve the recruitment of trial participants from underserved or disproportionately affected populations. | Very likely to achieve the recruitment of trial participants from underserved or disproportionately affect populations. |
| Cultural Sensitivity | Does not include activities to increase cultural sensitivity on the team or at partner institutions, or activities proposed are not appropriate. | Proposed activities may not be effective or sufficient to increase cultural sensitivity on the team or at partner institutions. Activities may not match the needs of the project. | Has appropriate plans to increase cultural sensitivity on the team or at partner institutions. Activities match the needs of the project. | Outstanding plans to increase cultural sensiti on the team or at partne institutions. Activities ar well matched to the nee of the project. |

DEI Scores

Applications are scored for adherence to principles of DEI by all GWG Board Members with no conflict.

- DEI Score of 9-10
 Outstanding Response
- DEI Score of 6-8Responsive
- DEI Score of 3-5
 Not Fully Responsive
- DEI Score of 0-2
 Not Responsive



GWG Composition and Roles



Scientific GWG
Member



Scientific evaluation (disease area expert, regulatory, CMC, product development)

Provides scientific score on all applications

GWG Board Member (Patient Advocate/Nurse)



DEI evaluation, patient perspective on significance and potential impact, oversight on process

Provides DEI score on all applications

Provides a suggested scientific score

Scientific Specialist (non-voting)



Scientific evaluation (specialized expertise as needed)

Provides initial but not final scientific score





| Title | Stem-Derived IL13Ra2 Chimeric Antigen Receptor T cells for Patients with Melanoma and Advanced Solid Tumors |
|-----------------|---|
| Therapy | Engineered CAR T cells targeting IL13Ra2 |
| Indication | Advanced cancers that express IL13Ra2, including melanoma |
| Goal | Complete first in human clinical trial |
| Funds Requested | \$10,211,085 Co-funding: \$0 (None required) |

Maximum funds allowable for this category: \$12,000,000



CLIN2-14801: Background Information



Clinical Background: Many solid tumors express the IL13Ra2 molecule such as gliomas, melanoma and breast cancer. Melanoma, in particular, is the deadliest form of skin cancer with over 100,000 estimated cases in the US alone in 2020 and 6,850 deaths.

Value Proposition of Proposed Therapy: The proposed T cell therapy targets tumor cells expressing IL13Ra2 and the applicants intend to initially test safety and efficacy in patients with melanoma. The therapy offers a potentially more practical, cost-effective, and innovative option for patients with tumors expressing IL13Ra2.

Why a stem cell or gene therapy project: The therapy is composed of T memory stem cells and involves genetic manipulation of the cells.



CIRM CLIN2-14801: Similar CIRM Portfolio Projects



| Application/ Award | Project Stage | Project End Date | Indication | Candidate | Mechanism of Action |
|-----------------------|-----------------|---------------------|--|--|--|
| TRAN1 | Pre-IND meeting | Dec 2024 | Melanoma | T cell immunotherapy | T cells genetically modified to detect and kill melanoma cells that express a specific protein |
| CLIN1 | IND enabling | Dec 2024 | Melanoma, breast cancer, head/neck carcinomas | Mesenchymal stem cells loaded with oncolytic virus | Modifies tumor environment to support effective viral amplification and killing of cancer cells. |



Previous CIRM Funding to Applicant Team



| Project Stage | Indication | Project Outcome | Project Duration | Award Amount | Milestones/Aims |
|----------------------|------------------------|--------------------------|---------------------|-----------------|--|
| CLIN2 | Malignant glioma | Phase 1 clinical trial | 3 years | \$12,753,854 | Five milestones proposed, all were achieved on time. |
| CLIN2 | Malignant brain tumors | Phase 1 clinical trial | 4 years | \$8,401,309 | Award currently launching. |
| TRAN | Malignant glioma | Translational activities | 3 years | \$5,215,447 | Six aims proposed, all completed. |



CLIN2-14801: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 14 |
| 2 | 0 |
| 3 | 0 |

DEI Score: 7 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$10,211,085*

*Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.





| Title | Neural stem cell delivered oncolytic viro-immunotherapy for ovarian cancer |
|-----------------|--|
| Therapy | Neural stem cells loaded with oncolytic virus |
| Indication | Chemo-resistant, metastatic ovarian cancer |
| Goal | Complete IND-enabling studies |
| Funds Requested | \$5,314,547 Co-funding: \$0 (none required) |

Maximum funds allowable for this category: \$6,000,000



CLIN1-14845: Background Information



Clinical Background: Approximately 22,000 women are diagnosed with ovarian cancer each year in the US. The 5-year survival rate is about 50%, and for most who experience recurrence, survival is typically less than 3 years. The standard of care includes aggressive chemotherapy, which results in toxic side effects. The tumor environment also becomes immunosuppressed making it difficult to treat.

Value Proposition of Proposed Therapy: If successful, the proposed therapy would provide a potentially safer and more effective therapeutic option for patients with ovarian cancer where other approaches been less successful.

Why a stem cell or gene therapy project: The therapy involves neural stem cells.



CLIN1-14845: Similar CIRM Portfolio Projects



CIRM does not currently have any active TRAN or CLIN awards addressing ovarian cancer.



CLIN2-14845: Similar CIRM Portfolio Projects



| Application/ Award | Project Stage | Project End Date | Indication | Candidate | Mechanism of Action |
|-----------------------|------------------------|---------------------|--------------|--|---|
| CLIN2 | Phase 1 clinical trial | May 2025 | Solid tumors | Cytokine-induced killer cells with oncolytic virus | CIK cells loaded with oncolytic virus target and kill tumor cells |



Previous CIRM Funding to Applicant Team



| Project Stage | Indication | Project Outcome | Project Duration | Award Amount | Milestones/Aims |
|----------------------|----------------|--------------------|---------------------|-----------------|---|
| TRAN1 | Ovarian cancer | Pre-IND meeting | 3 years | \$2,873,262 | Five milestones were proposed, and all milestones completed resulting in a pre-IND meeting. |
| Disease Team | Glioblastoma | IND enabling | 5 years | \$17,890,623 | Twenty-four milestones (pre-CIRM 2.0) were set. All achieved, some with delay. |



CLIN1-14845: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 15 |
| 2 | 0 |
| 3 | 0 |

DEI Score: 8.0 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$5,314,547*

*Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.





| Title | Chimeric TGFB Signaling Receptor (CTSR) Enabled Anti-B7H3 CAR T-cell Therapy in Children and AYA with Recurrent Solid Tumors |
|-----------------|--|
| Therapy | Engineered CAR T cells |
| Indication | Children, adolescent, young adults with solid tumors |
| Goal | Complete IND-enabling activities and file an IND |
| Funds Requested | \$6,000,000 Co-funding: \$0 (none required) |

Maximum funds allowable for this category: \$6,000,000



CLIN1-15337: Background Information



Clinical Background: Recurrent solid tumors such as metastatic sarcomas in children and young adults represents an unmet medical need given the less than 30% overall survival and complications from the standard chemotherapy and radiation treatments.

Value Proposition of Proposed Therapy: CAR T cell therapies have demonstrated promise in treating blood cancers but has proven more challenging in solid tumors. The applicants propose the development of a CAR T cell therapy that addresses several key factors that have limited effectiveness of this approach such as T cell suppression in the tumor microenvironment. The therapy offers the possibility of a new approach that would provide an effective option for patients.

Why a stem cell project: The therapeutic candidate is a genetic therapy



CLIN1-15337: Similar CIRM Portfolio Projects



CIRM does not currently have any active TRAN or CLIN awards addressing metastatic sarcomas in children and young adults.



Previous CIRM Funding to Applicant Team



Applicant has not previously received a CIRM award.



CLIN1-15337: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 11 |
| 2 | 4 |
| 3 | 0 |

DEI Score: 10 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$6,000,000*

*Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.





| Title | Phase 1/2a Dose Escalation Study of Autologous Neuron Replacement in Sporadic Parkinson Disease |
|-----------------|---|
| Therapy | Autologous stem cell-derived dopaminergic (DA) neurons |
| Indication | Parkinson's disease |
| Goal | Complete first in human clinical trial |
| Funds Requested | \$8,000,000 Co-funding: \$3,641,502 (30% required) |

Maximum funds allowable for this category: \$8,000,000



CLIN2-15547: Background Information



Clinical Background: Parkinson's disease (PD) is a progressive neurological disorder affecting almost 1 million Americans. PD is caused by dopaminergic neuronal cell death in regions of the brain, especially the substantia nigra. Patients experience motor symptoms such as tremors, limb stiffness and impaired balance and non-motor symptoms affecting cognition and behavior.

Value Proposition of Proposed Therapy: There is no cure for PD. Levodopa medication controls motor symptoms but loses efficacy as the disease progresses. Deep brain stimulation surgery controls motor symptoms in patients non-responsive to medication. The proposed cell therapy has the potential to replace lost dopaminergic neurons and improve disease outcomes.

Why a stem cell or gene therapy project: The therapy is derived from induced pluripotent stem cells.



CIRM CLIN2-15547: Similar CIRM Portfolio Projects



| Application/ Award | Project Stage | Project End Date | Indication | Candidate | Mechanism of Action |
|-----------------------|------------------------|---------------------|------------------------|---|--|
| TRAN1 | Pre-IND meeting | Jan 2026 | Parkinson's Disease | Autologous iPSC-derived dopaminergic progenitor cells | The iPSC derived cells replace cells lost in Parkinson's Disease |
| CLIN1 | IND enabling | April 2024 | Parkinson's Disease | Allogeneic iPSC-derived dopaminergic progenitor cells | The iPSC derived cells replace cells lost in Parkinson's Disease |
| CLIN2 | Phase 1 clinical trial | Jan 2024 | Parkinson's Disease | Gene therapy for GDNF | The gene therapy stimulates production of a growth factor expected to stimulate neurons and lead to improved neuron function |



Previous CIRM Funding to Applicant Team



| Project Stage | Indication | Project Outcome | Project Duration | Award Amount | Milestones/Aims |
|----------------------|------------------------|-----------------------|---------------------|-----------------|--|
| DISC2 | Parkinson's Disease | Translational Tool | 1 year | \$ 177,579 | All milestones completed except for a late time point, which was found to be not helpful/relevant. |



N CLIN2-15547: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 13 |
| 2 | 0 |
| 3 | 0 |

DEI Score: 8 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$8,000,000*

*Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.





| Title | Phase 1 Study of Autologous E-SYNC T Cells in Adult Participants with EGFRvIII+ Glioblastoma | |
|-----------------|--|--|
| Therapy | Engineered CAR T cells targeting EGFRvIII | |
| Indication | Glioblastoma | |
| Goal | Complete first-in-human trial | |
| Funds Requested | \$10,927,618 Co-funding: \$311,890 (None required) | |

Maximum funds allowable for this category: \$12,000,000



CLIN2-15562: Background Information



Clinical Background: Glioblastoma is a critical unmet need as it is the most common malignant primary brain tumor in adults, and each year about 12,000 Americans are diagnosed. Because of the diffuse nature of GBM, treatment is challenging, and recurrence is high. The 5-year survival rate is less than 10%.

Value Proposition of Proposed Therapy: The current standard of care involves resection of the tumor followed by radiation and chemotherapy. Despite these treatments, survival remains low. The proposed therapy has the potential to improve survival and quality of life for patients with glioblastoma via a CAR T cell approach that might only require a one-time treatment.

Why a stem cell or gene therapy project: The therapy involves genetic manipulation of the autologous T cells.



CIRM CLIN2-15562: Similar CIRM Portfolio Projects



| Application/ Award | Project Stage | Project End Date | Indication | Candidate | Mechanism of Action |
|-----------------------|------------------------|---------------------|--|------------------------|---|
| CLIN2 | Phase 1 clinical trial | Jul 2024 | Brain metastasis from breast cancer | Autologous CAR-T cells | Chimeric antigen receptor T cells engineered to target HER-2 positive tumor cells that have metastasized to the brain |
| CLIN2 | Phase 1 clinical trial | Mar 2025 | Pediatric malignant brain tumors | Autologous CAR-T cells | Chimeric antigen receptor T cells engineered to target tumor cells via IL13R alpha2 |
| CLIN2 | Phase 1 clinical trial | Dec 2025 | Gliomas | Autologous CAR-T cells | Chimeric antigen receptor T cells engineered to target tumor cells via GD2 |
| CLIN2 | Phase 1 clinical trial | Oct 2027 | Glioblastoma | Autologous CAR-T cells | Chimeric antigen receptor T cells engineered to target B7-H3 |



CIRM Previous CIRM Funding to Applicant Team



| Project Stage | Indication | Project Outcome | Project Duration | Award Amount | Milestones/Aims |
|---------------|----------------------|---------------------|---------------------|-----------------|---|
| TRAN1 | Glioblastoma | Pre-IND meeting | 2 years | \$4,556,536 | The team has completed all milestones and finished the award ahead of schedule. |
| DISC2 | Pediatric gliomas | Candidate discovery | 3 years | \$900,000 | Candidates were tested but were not effective in animal models. |



CLIN2-15562: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 15 |
| 2 | 0 |
| 3 | 0 |

DEI Score: 8 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$10,927,618*

*Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.





| Title | A phase 1/2 study to evaluate a bispecific CD19/CD20-directed CAR T cell, in refractory lupus nephritis and systemic lupus erythematosus | | | |
|-----------------|--|--|--|--|
| Therapy | Engineered CAR T cells | | | |
| Indication | Lupus nephritis and systemic lupus erythematosus | | | |
| Goal | Complete first in human clinical trial | | | |
| Funds Requested | \$8,000,000 Co-funding: \$10,005,801 (30% required) | | | |

Maximum funds allowable for this category: \$8,000,000



CLIN2-16063: Background Information



Clinical Background: Systemic lupus erythrematosus (SLE) is an autoimmune disorder that affects multiple organ systems and causes widespread chronic inflammation that leads to end-organ damage and death. SLE affects all people but is most prevalent among African American and Hispanic women. The standard of care is largely focused on immunosuppression including use of corticosteroids.

Value Proposition of Proposed Therapy: B cells that generate autoantibodies are a key contributor to the disease, and a depletion of these B cells could significantly affect outcomes. The proposed therapy uses CAR T cells to target and deplete B cells in patients with SLE.

Why a stem cell or gene therapy project: The therapy involves genetic manipulation of cells to create autologous CAR T cells.



CLIN2-16063: Similar CIRM Portfolio Projects



CIRM does not currently have any active TRAN or CLIN awards addressing systemic lupus erythematosus.



Previous CIRM Funding to Applicant Team



Applicant has not previously received a CIRM award.



CLIN2-16063: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 9 |
| 2 | 2 |
| 3 | 1 |

DEI Score: 9 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$8,000,000*

*Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.





| Title | A Phase 1 Study of CAR T cells in Participants with Moderate to Severe Active Systemic Lupus Erythematosus | | |
|-----------------|--|--|--|
| Therapy | iPSC-derived CAR T cells | | |
| Indication | Systemic lupus erythematosus | | |
| Goal | Complete first in human clinical trial | | |
| Funds Requested | \$7,934,448 Co-funding: \$3,400,478 (30% required) | | |

Maximum funds allowable for this category: \$8,000,000



CLIN2-16303: Background Information



Clinical Background: Systemic lupus erythrematosus (SLE) is an autoimmune disorder that affects multiple organ systems, and causes widespread chronic inflammation that leads to end-organ damage and death. SLE affects all people but is most prevalent among African American and Hispanic women. The standard of care is largely focused on immunosuppression including use of corticosteroids.

Value Proposition of Proposed Therapy: B cells that generate autoantibodies are a key contributor to the disease, and a depletion of these B cells could significantly affect outcomes. The proposed therapy uses CAR T cells to target and deplete B cells in patients with SLE.

Why a stem cell or gene therapy project: The therapy is derived from induced pluripotent stem cells.



CLIN2-16303: Similar CIRM Portfolio Projects



CIRM does not currently have any active TRAN or CLIN awards addressing systemic lupus erythematosus.



Previous CIRM Funding to Applicant Team



| Project Stage | Indication | Project Outcome | Project Duration | Award Amount | Milestones/Aims |
|----------------------|------------|--------------------|---------------------|-----------------|--|
| CLIN1 | Cancer | IND filing | 2 years | \$4,000,000 | Four milestones proposed, all completed on time. |



CLIN2-16303: GWG Review



GWG Recommendation: Exceptional merit and warrants funding

| Scientific Score | GWG Votes |
|------------------|-----------|
| 1 | 14 |
| 2 | 0 |
| 3 | 0 |

DEI Score: 8 (scale 1-10)

CIRM Team Recommendation: Fund (concur with GWG recommendation)

CIRM Award Amount: \$7,934,448*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's final assessment of allowable costs and activities.