Adopt 17 Cal. Code of Regs. section 100402 to read:

§ 100402. Invention and Licensing Reporting Requirements.

A Grantee must annually report to CIRM all patenting and Licensing Activities relating to CIRM-funded Research during and for 15 years after the Project Period of the Grant as follows:

(a) A Grantee must report all patent applications filed with respect to any inventions arising out of CIRM-funded Research, including the application serial number(s), and detailed description(s) of the invention(s). These reports shall be marked confidential in accordance with Health and Safety Code section 125290.30, subdivision (e)(2)(B).

(b) A Grantee must report the issuance or nonissuance of any patent applied for with respect to inventions arising out of CIRM-funded Research, including the patent number and date of issuance.

(c) At the time of filing of a patent application, a Grantee must report the percentage of support provided by CIRM and by all other sources of funding that contributed in whole or in part to the discovery of the CIRM-funded invention. CIRM may audit all such co-funding reports. This information shall be marked confidential in accordance with Health and Safety Code section 125290.30, subdivision (e)(2)(B).

(d) A Grantee must report to CIRM the execution of any Licensing Agreement pertaining to CIRM-funded Patented Inventions. This information shall be marked confidential in accordance with Health and Safety Code section 125290.30, subdivision (e)(2)(B).

(e) In the event that a CIRM-funded Patented Invention generates revenue (whether from a License Agreement or otherwise), a Grantee must report such revenue received during the preceding 12 month period or since the last report, whichever is longer. This information shall be...
marked confidential in accordance with Health and Safety Code 125290.30, subdivision (e)(2)(B).

(f) CIRM reserves the right to itself and its agents to conduct an audit of the Grantee to ensure compliance with this section and the Grantee agrees to maintain and provide such documentation as necessary to establish compliance.