

1 Adopt 17 Cal. Code of Regs. section 100302 to read:

2 **§ 100302. Invention Reporting Requirements.**

3 (a) Grantee organizations are required to have written agreements with researchers
4 requiring prompt disclosure of inventions made in the performance of CIRM-funded research.

5 (b) Within 60 days after an inventor discloses a CIRM-funded invention to a grantee
6 organization, the grantee organization must notify CIRM of the invention through the use of the
7 CIRM Invention Disclosure Form which will be received in confidence by CIRM. The
8 Invention Disclosure Form shall identify the grant under which the invention was made and the
9 inventor(s). It shall be sufficiently complete in technical detail to convey a clear understanding,
10 to the extent known at the time of the disclosure, of the nature, purpose, operation, and physical,
11 chemical, biological or electrical characteristics of the invention. The disclosure shall also
12 identify whether a manuscript describing the invention has been submitted for publication. If
13 so, the disclosure shall identify the publication to which the manuscript has been submitted and
14 the submission date.

15 (c) Grantee organizations must notify CIRM on an annual basis regarding the filing of
16 patent applications that claim inventions made in the performance of CIRM-funded research.

17 (d) Grantee organization must notify CIRM on an annual basis regarding execution of
18 any licensing agreements of inventions made in the performance of CIRM-funded research.

19 (e) Grantee organizations must submit annually an Invention Utilization Report that lists
20 all CIRM-funded inventions, patents claiming such inventions and a statement of efforts made to
21 utilize CIRM-funded inventions. Such reports shall include information about the status of
22 development, date of first commercial sale or use and all licensing fees and/or gross royalties
23 received by the grantee organization under licenses of CIRM-funded patented inventions.

- 1 Note: Authority cited: Article XXXV, California Constitution; Section 125290.40(j), Health and
- 2 Safety Code. Reference: Section 125290.30, Health and Safety Code.