





now it's personal

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Vice President Portfolio Development and Review Agenda Item # 7 Clinical Presentation





Clinical Stage Programs



CLINICAL STAGE







CLIN₁

CLIN 2

CLIN 3

Scoring System for 2.0 Applications



Score of "1"

Exceptional merit and warrants funding.

Score of "2"

Needs improvement and does not warrant funding at this time but could be resubmitted to address areas for improvement.

Score of "3"

Sufficiently flawed that it does not warrant funding and the same project should not be resubmitted for at least 6 months.

Applications are scored by all scientific members of the GWG with no conflict.

CLIN2-09574: Phase 2 Clinical Trial of Therapy for Neutropenia in AML Patients now it's personal



Therapy: Umbilical cord blood stem cells

Indication: Neutropenia arising from high-dose chemotherapy for Acute Myeloid Leukemia

Goal: Complete a phase 2 clinical trial to assess safety and efficacy of the therapy in AML patients

Major Proposed Activities:

- Preparation for scale-up of product manufacturing
- GMP manufacture of cell therapy
- Conduct of phase 2 clinical trial

Funds Requested: \$6,922,109 (\$16,852,313 Co-funding)

CLIN2-09574: Phase 2 Clinical Trial of Therapy for Neutropenia in AML Patients now it's personal



GWG Score: 1 Exceptional merit and warrants funding

- Votes for score of 1: 12
- Votes for score of 2: 2
- Votes for score of 3: 0

CIRM Team Recommendation: Fund (concur with GWG recommendation)

Award Amount: \$6,922,109*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's assessment of allowable costs and activities.

CLIN2-09672: Phase 1 Trial of a Cell Therapy for High-Risk Type 1 Diabetes



Therapy: Human ESC-derived pancreatic progenitors in a delivery device

Indication: High-risk type 1 diabetes

Goal: Complete a phase 1/2 clinical trial to assess safety and efficacy of the cell therapy in patients

Major Proposed Activities:

- Manufacture and quality control of the cell/device product
- Launch and conduct of clinical trial
- Assay development

Funds Requested: \$20,000,000 (\$8,571,429 Co-funding)

CLIN2-09672: Phase 1 Trial of a Cell Therapy for High-Risk Type 1 Diabetes



GWG Score: 1 Exceptional merit and warrants funding

- Votes for score of 1: 15
- Votes for score of 2: 0
- Votes for score of 3: 0

CIRM Team Recommendation: Fund (concur with GWG recommendation)

Award Amount: \$20,000,000*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's assessment of allowable costs and activities.

CLIN2-09688: Phase 3 Clinical Trial of Human Acellular Vessel for Hemodialysis now it's personal



Therapy: Human Acellular Vessel (HAV)

Indication: Conduit for Vascular Access for Hemodialysis

Goal: Complete a phase 3 clinical trial comparing HAV to gold standard AV fistula

Major Proposed Activities:

- Manufacture and distribution of HAV for clinical testing
- Enrollment of patients requiring vascular access for hemodialysis
- Longitudinal test subject follow-up, data collection and analysis

Funds Requested: \$14,082,865 (\$26,425,033 Co-funding)

CLIN2-09688: Phase 3 Clinical Trial of Human Acellular Vessel for Hemodialysis now it's personal



GWG Score: 1 Exceptional merit and warrants funding

- Votes for score of 1: 8
- Votes for score of 2: 2
- Votes for score of 3: 5

CIRM Team Recommendation: Fund (concur with GWG recommendation)

Award Amount: \$14,082,865*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's assessment of allowable costs and activities.

CLIN1-10084: IND-Enabling Studies of a Therapy for Sickle Cell Disease



Therapy: Genetically modified autologous blood stem cells

Indication: Severe sickle cell disease

Goal: Complete a IND-enabling activities and file an IND to test the therapy in a future clinical trial

Major Proposed Activities:

- Generate viral vector for gene correction
- Establish reproducibility of manufacturing process
- Prepare and file IND to begin a phase 1/2 trial

Funds Requested: \$5,194,431 (\$0 Co-funding)

CLIN1-10084: IND-Enabling Studies of a Therapy for Sickle Cell Disease



GWG Score: 1 Exceptional merit and warrants funding

- Votes for score of 1: 13
- Votes for score of 2: 1
- Votes for score of 3: 0

CIRM Team Recommendation: Fund (concur with GWG recommendation)

Award Amount: \$5,194,431*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's assessment of allowable costs and activities.

CLIN2-10144: Phase 1b Clinical Trial of an Antibody Therapy for AML



Therapy: Monoclonal antibody targeting cancer stem cells

Indication: AML

Goal: Complete a phase 1b clinical trial to assess safety and efficacy of the therapy

Major Proposed Activities:

- Assess safety and tolerance of antibody alone or with azacitidine
- Assess efficacy of antibody alone or with azacitidine
- Assess optimal dosing regimen

Funds Requested: \$5,000,000 (\$7,390,868 Co-funding)

CLIN2-10144: Phase 1b Clinical Trial of an Antibody Therapy for AML



GWG Score: 1 Exceptional merit and warrants funding

- Votes for score of 1: 14
- Votes for score of 2: 0
- Votes for score of 3: 0

CIRM Team Recommendation: Fund (concur with GWG recommendation)

Award Amount: \$5,000,000*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's assessment of allowable costs and activities.

CLIN2-10248: Phase 1 Clinical Trial of CAR T-Cell Therapy for Malignant Glioma now it's personal



Therapy: T cells engineered to target cancer stem cells

Indication: Malignant glioma

Goal: Complete a phase 1 clinical trial to assess safety and preliminary efficacy of the therapy

Major Proposed Activities:

- Manufacture product and evaluate route of administration
- Evaluate safety and preliminary safety of product
- Develop and establish reagents and methods for phase 2

Funds Requested: \$12,753,854 (\$0 Co-funding)

CLIN2-10248: Phase 1 Clinical Trial of CAR T-Cell Therapy for Malignant Glioma now it's personal



GWG Score: 1 Exceptional merit and warrants funding

- Votes for score of 1: 15
- Votes for score of 2: 0
- Votes for score of 3: 0

CIRM Team Recommendation: Fund (concur with GWG recommendation)

Award Amount: \$12,753,854*

^{*}Final award shall not exceed this amount and may be reduced contingent on CIRM's assessment of allowable costs and activities.