

**ICOC Meeting  
Draft Minutes  
March 16, 2016**

**Main Location**

The Westin San Francisco Airport, 1 Old Bayshore Hwy, Millbrae, CA 94030

**Other Locations**

- Sanford Consortium 2880 Torrey Pines Scenic Dr, La Jolla, CA 92037 Room 2803, 2nd Floor
- UCSD Leitchtag Building, RM 284 - Site Available until 12:00
- 3440 Viking Dr. Sacramento, CA 95827
- Sanford Burnham Prebys Medical Discovery Institute, Building 12, 10901 North Torrey Pines Road La Jolla, CA 92037

<b>Member</b>	<b>Attendance</b>
Linda Boxer	P
Ken Burtis	P
Jack Dixon ( <i>by telephone</i> )	P
Anne-Marie Duliege	P
Elizabeth Fini	P
Howard Federoff	NP
Michael Friedman	NP
Judy Gasson	P
Sam Hawgood	NP
David Higgins	P
Steve Juelsgaard	P
Sherry Lansing	NP
Kathy LaPorte	P
Bert Lubin	P
Shlomo Melmed	P
Lauren Miller	P
Adriana Padilla	P
Joe Panetta	P
Robert Price	P
Francisco Prieto	NP
Robert Quint	P
Al Rowlett ( <i>by telephone</i> )	P
Jeff Sheehy	P
Os Steward	P
Jonathan Thomas	P
Art Torres	P
Carl Ware ( <i>by telephone</i> )	P
Diane Winokur	P
	<b>23 P</b>
	<b>5 NP</b>

## **PROPOSED CONSENT CALENDAR ITEMS7- 6**

7. Consideration of appointment of new scientific members to the Grants Working Group.
8. Consideration of minutes for January and February 2016 ICOC board meetings.

### **Motion # 1**

- Motion made by Diane Winokur to Approve consent calendar (appointment of new scientific members of GWG/January & February 2016 minutes
- Motion Seconded by Anne-Marie Duliege

### **Vote**

- The ICOC by voice vote approved the motion
- Rowlett and Ware voted "YES" by phone
- 23 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### **Agenda Item # 9**

Consideration of applications submitted in response to CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects and CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects.

### **Motion #2**

- Motion made by David Higgins to Approve funding for CLIN1-08334
- Motions seconded by Anne-Marie Duliege

### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 13 YES; 0 NO; 0 ABSTENTION; 1 CONFLICTS

### **Motion #3**

- Motion made by Steve Juelsgaard to Approve funding for CLIN1-08363
- Motions seconded by Al Rowlett

### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 10 YES; 3 NO; 0 ABSTENTION; 2 CONFLICTS

### **Agenda Item #10**

Consideration of applications submitted in response to the Translation Research Program Announcement (TRAN 1-4).

### **Motion #4**

- Motion made by Anne-Marie Duliege Defer consideration of TRAN1-08468 pending an accelerated review by the GWG of the material new information provided by the applicant in order to advise the Application Review Subcommittee whether the new information changes the GWG recommendation.
- Motions seconded by Joe Panetta

### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 8 YES; 4 NO; 2 ABSTENTION; 0 CONFLICTS

### **Motion #5**

- Motion made by Steve Juelsgaard to table Motion #4
- No second

**Vote**

- No vote taken

**Motion # 6**

- Motion made by Kathy LaPorte to Approve funding for TRAN applications in Tier 1, except TRAN1-08468 and TRAN1-08522, which are deferred, and do not fund remaining applications.
- Motion seconded by Lauren Miller

**Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 13 YES; 1 NO; 0 ABSTENTION; 3 CONFLICTS

**Agenda Item #11**

Consideration of amendments to Conference Grant Concept Plan.

**Motion #7**

- Motion made by Bert Lubin to Approve amendments to Conference Grant Concept Plan
- Motions seconded by Diane Winokur

**Vote**

- The ICOC by voice vote approved the motion
- Rowlett and Ware voted "YES" by phone
- 23 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #12**

**CLOSED SESSION**

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to applications CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects, CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects and TRAN1 - 4: Translation Research applications. (Health & Safety Code 125290.30(f)(3)(B) and (C)).

**Agenda Item #13**

Discussion of Personnel [Evaluation of CIRM President] (Government Code section 11126, subdivision (a); Health & Safety Code section 125290.30(f)(3)(D)).

- No Closed session taken

**Agenda Item #14**

Financial audit results from Macias Gini & O'Connell LLP.

- Presentation given by Macias Gini & O'Connell

**Agenda Item #15**

Conflict of Interest Law Update.

- Presentation given by James Harrison

**Agenda Item #15**

Update on CIRM Clinical Stage Projects.

- Presentation given by Ramona Doyle and Abba Creasey

**Agenda Item #16**

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting.

- No public comment given



<b>ICOC Board Meeting</b> <b>March 16, 2016</b>
<b>MEMBERS</b>
Anne-Marie Duliege
David Higgins
Steve Juelsgaard
Sherry Lansing
Kathy LaPorte
Lauren Miller
Adriana Padilla
Joe Panetta
Francisco Prieto
Robert Quint
Al Rowlett (by telephone)
Jeff Sheehy
Os Steward
Jonathan Thomas
Art Torres
Diane Winokur
<b>LEGEND</b>
<b>Attendance</b>
NP – Not Present
P – Present
<b>Votes</b>
A – Abstain
C – Conflict
N – No
VV – Voice Vote
Y – Yes
Y/C – Yes, except for those which I have a conflict

		<b>MOTION 2</b>	<b>MOTION 3</b>	<b>MOTION 4</b>	<b>MOTION 5</b>	<b>MOTION 6</b>
		Maker: Higgins Second: Duliege	Maker: Juelsgaard Second: Rowlett	Maker: Duliege Second: Panetta	Maker: Juelsgaard Second:	Maker: LaPorte Second: Miller
		Approve funding for CLIN1-08334	Approve funding for CLIN1-08363	Defer consideration of TRAN1-08468 pending an accelerated review by the GWG of the material new information provided by the applicant in order to advise the Application Review Subcommittee whether the new information changes the GWG recommendation.	Table motion 4	Approve funding for TRAN applications in Tier 1, except TRAN1-08468 and TRAN1-08522, which are deferred, and do not fund remaining applications.
	<b>ATTENDANCE</b>					
		Y	N	Y		Y
		Y	Y	Y		Y/C
		Y	Y	N		Y
			C			
		Y	N	N		Y
		Y	Y	Y		Y
		Y	Y	Y		Y
		Y	Y	Y		Y
		NP				
		Y	N	N		N
		Y	Y	Y		Y
		Y	C	A		Y/C
		Y	Y	A		Y/C
		Y	Y	N		Y
		C	Y	Y		Y
		Y	Y	Y		Y
		<b>15 P</b>	<b>13 Y</b>	<b>10 Y</b>	<b>8 Y</b>	<b>0 Y</b>
		<b>1 NP</b>	<b>0 N</b>	<b>3 N</b>	<b>4 N</b>	<b>0 N</b>
			<b>0 A</b>	<b>0 A</b>	<b>2 A</b>	<b>0 A</b>
			<b>1 C</b>	<b>2 C</b>	<b>0 C</b>	<b>3 C</b>
		<b>Approved</b>	<b>Approved</b>	<b>Approved</b>		<b>Approved</b>

ICOC Meeting  
Draft minutes  
April 19<sup>th</sup>, 2016

**Main Location**

- 1999 Harrison Street, Oakland, CA 94612

**Other Locations**

- UC San Diego | 9500 Gilman Drive, MC 0602 | La Jolla, CA 92093
- 517 Mrak Hall, 1 Shields Ave., Davis, CA 95616
- 1180 Veterans Blvd, South San Francisco 94080
- "270 Irvine Hall, 1001 Health Science Road  
Irvine, CA 92697-3950 "
- 3535 Ranch Top Road, Pasadena 91107
- UCLA 10833 Le Conte Avenue, Room 8-684, Los Angeles, CA 90095
- 1701 Divisadero St. Suite 426 San Francisco, CA 94143
- 2619 Montclair Street San Diego, CA 92104
- 8700 Beverly Blvd 2015, Los Angeles, CA 90048
- 9301 Wilshire Blvd. Suite 507 Beverly Hills CA 90210
- 4005 N. Fresno Street Fresno, CA 93726
- 8170 Laguna Blvd, Elk Grove 95758
- Room 1105, 837 Health Science Road Irvine, CA 92697-4292
- 575 Market Street, San Francisco 94103
- 10901 North Torrey Pines Road La Jolla, CA 92037

<b>Member</b>	<b>Attendance</b>
David Brenner	P
Ken Burtis	P
Anne-Marie Duliege	NP
Howard Federoff	P
Michael Friedman	P
Judy Gasson	P
David Higgins	P
Steve Juelsgaard	NP
Sherry Lansing	NP
Kathy LaPorte	P
Bert Lubin	P
Shlomo Melmed	NP
Lauren Miller	P
Adriana Padilla	P
Joe Panetta	P
Robert Price	NP

Francisco Prieto	P
Carmen Puliafito	NP
Robert Quint	P
Al Rowlett	NP
Jeff Sheehy	P
Os Steward	P
Jonathan Thomas	P
Art Torres	P
Karl Ware	P
Bruce Wintroub	P
Diane Winokur	P
	<b>20 P</b>
	<b>7 NP</b>

**Agenda Item #1**

Consideration of amendments to Loan Administration Policy

**Motion #1**

- Motion made by Bruce Wintroub to finally adopt LAP amendment
- Motions seconded by Michael Friedman

**Vote**

- The ICOC by individual voice vote approved the motion
- 20 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #2**

**PUBLIC COMMENT**

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting.

- No public comment made at this time



**ICOC Meeting  
Draft Minutes  
May 19<sup>th</sup>, 2016**

**Main Location**

- Oakland Marriott 1001 Broadway, Oakland, CA 94607 – Oakland Room

**Other Locations**

- UC San Diego | 9500 Gilman Drive, MC 0602 | La Jolla, CA 92093
- 517 Mrak Hall, 1 Shields Ave., Davis, CA 95616
- 1180 Veterans Blvd, South San Francisco 94080
- 270 Irvine Hall, 1001 Health Science Road Irvine, CA 92697-3950 "
- UCLA 10833 Le Conte Avenue, Room 8-684, Los Angeles, CA 90095
- 1701 Divisadero St. Suite 426 San Francisco, CA 94143
- 2007 Redwood Rd., Napa, CA.
- 170 Harbor Way, South San Francisco, 94080
- 4005 N. Fresno Street Fresno, CA 93726
- 3440 Viking Drive Sacramento, CA 95827
- 8170 Laguna Blvd, Elk Grove 95758
- Room 1105, 837 Health Science Road Irvine, CA 92697-4292
- 10901 North Torrey Pines Road La Jolla, CA 92037
- 2880 Torrey Pines Scenic Dr. La Jolla, CA 92037 - Divisible Conference Room

<b>Member</b>	<b>Attendance</b>
David Brenner	P
Linda Boxer	P
Lars Berglund	P
Anne-Marie Duliege	P
Howard Federoff	NP
Elizabeth Fini	P
Michael Friedman	NP
Judy Gasson	P
David Higgins	P
Steve Juelsgaard	P
Sherry Lansing	NP
Kathy LaPorte	P
Bert Lubin	NP
Shlomo Melmed	NP
Lauren Miller	NP
Adriana Padilla	P
Joe Panetta	P
Robert Price	P
Francisco Prieto	P
Robert Quint	P
Al Rowlett	P
Jeff Sheehy	P

Os Steward	P
Jonathan Thomas	P
Art Torres	P
Kristina Vuori	P
Bruce Wintroub	P
Diane Winokur	NP
	<b>21 P</b>
	<b>7 NP</b>

### Agenda Item #1

Consideration of appointment of new scientific members of the Grants Working Group

#### Motion #1

- Motion made by Jeff Sheehy to Approve appointment of new GWG members
- Motion seconded by Art Torres

#### Vote

- The ICOC by individual voice vote approved the motion
- 21 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### Agenda Item #2

Consideration of amendments to Translation Concept Plan

#### Motion #2

- Motion made by Steve Juelsgaard to Approve amendments to TRAN Concept Plan and allocate additional \$15M for a second round in 2016
- Motion seconded by Francisco Prieto

#### Vote

- The ICOC by individual voice vote approved the motion
- 20 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### Agenda Item #3

Consideration of amendments to Grants Working Group bylaws

- Motion made by Jeff Sheehy to Approve amendments to GWG bylaws, with 6-month period to refile CLIN application that receives a score of "3" running from date of GWG decision, and re-visit process for resubmission of single award applications.
- Motion seconded by Art Torres

#### Vote

- The ICOC by individual voice vote approved the motion
- 20 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

### Agenda Item #4

Consideration of Translation applications TRAN1-08468 and TRAN1-08522

#### Motion #4

- Motion made by Art Torres to Approve GWG recommendation to fund TRAN1-08522
- Motion seconded by Steve Juelsgaard

**Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 12 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #5**

Consideration of applications submitted in response to Discovery Stage Research Projects (DISC 1) Applications

**Motion #5**

- Motion made by Art Torres to Move Application DISC1-08643 into "Recommended for Funding" category
- Motion seconded by Robert Quint

**Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 10 YES; 2 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #6**

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting.

- No Public comment made

**ICOC/Application Subcommittee Meeting  
May 19, 2016**

	ATTENDANCE	VOTE		
		MOTION 1	MOTION 2	MOTION 3
		Maker: Sheehy Second: Torres	Maker: Juelsgaard Second: Prieto	Maker: Sheehy Second: Torres
MEMBERS		Approve appointment of new GWG members	Approve amendments to TRAN Concept Plan and allocate additional \$15M for a second round in 2016	Approve amendments to GWG bylaws, with 6-month period to refile CLIN application that receives a score of "3" running from date of GWG decision, and re-visit process for resubmission of single award applications.
David Brenner	P	Y		Y
Linda Boxer	P	Y	Y	Y
Lars Berglund	P	Y	Y	Y
Anne-Marie Duliege	P	Y	Y	Y
Howard Federoff	NP			
Elizabeth Fini	P	Y	Y	Y
Michael Friedman	NP			
Judy Gasson	P	Y	Y	Y
David Higgins	P	Y	Y	Y
Steve Juelsgaard	P	Y	Y	Y
Sherry Lansing	NP			
Kathy LaPorte	P	Y	Y	Y
Bert Lubin	NP			
Shlomo Melmed	NP			
Lauren Miller	NP			
Adriana Padilla	P	Y	Y	Y
Joe Panetta	P	Y	Y	
Robert Price	P	Y	Y	Y
Francisco Prieto	P	Y	Y	Y
Robert Quint	P	Y	Y	Y
Al Rowlett	P	Y	Y	Y
Jeff Sheehy	P	Y	Y	Y
Os Steward	P	Y	Y	Y
Jonathan Thomas	P	Y	Y	Y
Art Torres	P	Y	Y	Y
Kristina Vuori	P	Y	Y	Y
Bruce Wintroub	P	Y	Y	Y
Diane Winokur	NP			
	<b>21 P</b>	<b>21 Y</b>	<b>20 Y</b>	<b>20 Y</b>
	<b>7 NP</b>	<b>0 N</b>	<b>0 N</b>	<b>0 N</b>
		<b>0A</b>	<b>0A</b>	<b>0A</b>
		<b>0 C</b>	<b>0 C</b>	<b>0 C</b>
		<b>Approved</b>	<b>Approved</b>	<b>Approved</b>

**LEGEND**

**Attendance**

NP – Not Present

P – Present

**Votes**

A – Abstain

C – Conflict

N – No

VV – Voice Vote

Y – Yes

Y/C – Yes, except for those which I have a conflict

**Application Subcommittee Meeting  
May 19, 2016**

		VOTE		
		MOTION 4	MOTION 5	MOTION 6
		Maker: Torres Second: Juelsgaard	Maker: Torres Second: Quint	Maker: Torres Second: Laporte
		Approve GWG recommendation to fund TRAN1-08522	Move Application DISC1-08643 into "Recommended for Funding" category	Approve DISC Inception applications that are "Recommended for Funding" and do not fund remaining applications
MEMBERS	ATTENDANCE			
Anne-Marie Duliege	P	Y	Y	Y
David Higgins	P	Y	Y	Y
Steve Juelsgaard	P	Y	Y	Y
Sherry Lansing	NP			
Kathy LaPorte	P	Y	Y	Y
Lauren Miller	NP			
Adriana Padilla	P	Y	Y	Y
Joe Panetta	NP			
Francisco Prieto	P	Y	Y	Y
Robert Quint	P	Y	Y	Y
Al Rowlett	P	Y	Y	Y
Jeff Sheehy	P	Y	N	Y/C
Os Steward	P	Y	N	Y/C
Jonathan Thomas	P	Y	Y	Y
Art Torres	P	Y	Y	Y
Diane Winokur	NP			
	<b>12 P</b>	<b>12 Y</b>	<b>10 Y</b>	<b>12 Y</b>
	<b>4 NP</b>	<b>0 N</b>	<b>2 N</b>	<b>0 N</b>
		<b>0 A</b>	<b>0 A</b>	<b>0 A</b>
		<b>0 C</b>	<b>0 C</b>	<b>2 C</b>
		<b>Approved</b>	<b>Approved</b>	<b>Approved</b>

LEGEND
<b>Attendance</b>
NP – Not Present
P – Present
<b>Votes</b>
A – Abstain
C – Conflict
N – No
VV – Voice Vote
Y – Yes
Y/C – Yes, except for those which I have a conflict

**ICOC Meeting  
Draft Minutes  
June 15<sup>th</sup>, 2016**

**Main Location**

- 41 Tunnel Road, Berkeley, CA 94705

**Other Locations**

- 10996 Torreyana Road, Suite 200 San Diego, CA 92121
- 10901 N. Torrey Pines Road, La Jolla, CA 92037
- 765 Market Street, San Francisco 94103

<b>Members</b>	<b>Attendance</b>
David Brenner	P
Lars Berglund	P
Anne-Marie Duliege	P
Howard Federoff	NP
Elizabeth Fini	P
Michael Friedman	NP
Judy Gasson	P
Sam Hagwood	NP
David Higgins	P
Steve Juelsgaard	NP
Sherry Lansing	P
Kathy LaPorte	P
Bert Lubin	NP
Shlomo Melmed	NP
Lauren Miller <i>(by telephone)</i>	P
Lloyd Minor	P
Adriana Padilla	NP
Joe Panetta <i>(by telephone)</i>	P
Robert Price	P
Francisco Prieto	P
Robert Quint	NP
Al Rowlett <i>(by telephone)</i>	P
Jeff Sheehy	P
Os Steward	P

Jonathan Thomas	P
Art Torres	P
Carl Ware <i>(by telephone)</i>	P
Diane Winokur <i>(by telephone)</i>	P
	<b>20 P</b>
	<b>8 NP</b>

#### **Agenda Item #4**

Chairman's Report

- Report given by Jon Thomas

#### **Agenda Item #5**

President's Report.

- Report given by Randy Mills

#### **Agenda Item #6**

PROPOSED CONSENT CALENDAR ITEM 6

Consideration of appointment of new scientific members to the Grants Working Group.

- No Action taken

#### **Agenda Item #7**

Consideration of applications submitted in response to the INFR: Accelerating Center Program.

#### **Motion#1**

- Motion made by Art Torres to Approve funding of INFR1-09166 (Accelerating Center)
- Motion seconded by Anne-Marie Duliege

#### **Vote**

- The ICOC Application Subcommittee approved the motion
- 13YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

#### **Agenda Item #8**

Consideration of applications submitted in response to CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects and CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects.

#### **Motion #2**

- Motion made by David Higgins to Approve funding of CLIN1-08686
- Motion seconded by Kathy LaPorte

**Vote**

- The ICOC Application Subcommittee approved the motion
- 11 YES; 0 NO; 1 ABSTENTION; 1 CONFLICTS

**Motion #3**

- Motion made by Anne-Marie Duliege to Accept GWG recommendation not to fund CLIN1-09187
- Motion seconded by Diane Winokur

**Vote**

- The ICOC Application Subcommittee approved the motion
- 12 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #9**

Consideration of CIRM Budget for Fiscal Year 2016-2017.

**Motion #4**

- Motion made by Os Steward Approve FY 2016-17 CIRM budget
- Motion seconded by Jeff Sheehy

**Vote**

- The ICOC by voice vote approved the motion
- 19 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #10**

Consideration of amendments to Contracting Policy.

**Motion #5**

- Motion made by Os Steward to Approve amendments to CIRM contracting policy
- Motion seconded by Kathy LaPorte

**Vote**

- The ICOC by voice vote approved the motion
- Miller, Panetta, Rowlett, Ware and Winkour voted "YES" over the phone
- 19 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #11**

Consideration of renewal of contract with Remcho Johansen & Purcell, LLP

**Motion #6**

- Motion made by Art Torres to Approve RJP contract amendment
- Motion seconded by Robert Price

**Vote**

- The ICOC by voice vote approved the motion
- Miller, Panetta, Rowlett, Ware and Winkour voted "YES" over the phone

- 19 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

**Agenda Item #12**

Clinical Update

- Presented by Sohel Talib

**Agenda Item #13**

Public comment. The Committee will accept public testimony on any matter under its jurisdiction that is not on the agenda, but the Committee cannot act on any such matter at this meeting.

- Public comment made by Judy Roberson

**Agenda Item #14**

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to applications CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects, CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects and DISC 1: Translation Research applications. (Health & Safety Code 125290.30(f) (3) (B) and (C)).

- No Closed Session taken

**ICOC Board Meeting  
 June 15, 2016**

	ATTENDANCE	VOTE		
		MOTION 4	MOTION 5	MOTION 6
		Maker: Steward Second: Sheehy	Maker: Steward Second: LaPorte	Maker: Torres Second: Price
		Approve FY 2016-17 CIRM budget	Approve amendments to CIRM contracting policy	Approve RJP contract amendment
David Brenner	P	Y		
Lars Berglund	P	Y		
Anne-Marie Duliege	P	Y		
Howard Federoff	NP			
Elizabeth Fini	P	Y		
Michael Friedman	NP			
Judy Gasson	P	Y		
Sam Hagwood	NP			
David Higgins	P	Y		
Steve Juelsgaard	NP			
Sherry Lansing	P	Y		
Kathy LaPorte	P	Y		
Bert Lubin	NP			
Shlomo Melmed	NP			
Lauren Miller (by telephone)	P	Y		
Lloyd Minor	P	Y		
Adriana Padilla	NP			
Joe Panetta (by telephone)	P	NP	NP	NP
Robert Price	P	Y		
Francisco Prieto	P	Y		
Robert Quint	NP			
Al Rowlett (by telephone)	P	Y		
Jeff Sheehy	P	Y		
Os Steward	P	Y		
Jonathan Thomas	P	Y		
Art Torres	P	Y		
Carl Ware (by telephone)	P	Y		
Diane Winokur (by telephone)	P	Y		
	<b>20 P</b>	<b>19 Y</b>	<b>0 Y</b>	<b>0 Y</b>
	<b>8 NP</b>	<b>0 N</b>	<b>0 N</b>	<b>0 N</b>
		<b>0A</b>	<b>0A</b>	<b>0A</b>
		<b>0 C</b>	<b>0 C</b>	<b>0 C</b>
		<b>Approved 19-0</b>	<b>Approved on voice vote (Miller, Panetta, Rowlett, Ware and Winokur voting yes by phone)</b>	<b>Approved on voice vote (Miller, Panetta, Rowlett, Ware and Winokur voting yes by phone)</b>

LEGEND
<b>Attendance</b>
NP – Not Present
P – Present
<b>Votes</b>
A – Abstain
C – Conflict
N – No
VV – Voice Vote
Y – Yes
Y/C – Yes, except for those which I have a conflict

**Application Subcommittee Meeting  
 June 15, 2016**

		VOTE		
		MOTION 1	MOTION 2	MOTION 3
		Maker: Torres Second: Duliege	Maker: Higgins Second: LaPorte	Maker: Duliege Second: Winokur
MEMBERS	ATTENDANCE	Approve funding of INFR1-09166 (Accelerating Center)	Approve funding of CLIN1-08686	Accept GWG recommendation not to fund CLIN1-09187
Anne-Marie Duliege	P	Y	Y	Y
David Higgins	P	Y	Y	Y
Steve Juelsgaard	NP			
Sherry Lansing	P	Y	C	NP
Kathy LaPorte	P	Y	Y	Y
Lauren Miller	P	Y	Y	Y
Adriana Padilla	NP			
Joe Panetta	P	Y	Y	Y
Francisco Prieto	P	Y	Y	Y
Robert Quint	NP			
Al Rowlett	P	Y	Y	Y
Jeff Sheehy	P	Y	Y	Y
Os Steward	P	Y	A	Y
Jonathan Thomas	P	Y	Y	Y
Art Torres	P	Y	Y	Y
Diane Winokur	P	Y	Y	Y
	<b>13 P</b>	<b>13 Y</b>	<b>11 Y</b>	<b>12 Y</b>
	<b>3 NP</b>	<b>0 N</b>	<b>0 N</b>	<b>0 N</b>
		<b>0 A</b>	<b>1 A</b>	<b>0 A</b>
		<b>0 C</b>	<b>1 C</b>	<b>0 C</b>
		<b>Approved by a vote of 13-0</b>	<b>Approved by vote of 11-0-1</b>	<b>Approved 12-0</b>

<b>LEGEND</b>
<b>Attendance</b>
NP – Not Present
P – Present
<b>Votes</b>
A – Abstain
C – Conflict
N – No
VV – Voice Vote
Y – Yes
Y/C – Yes, except for those which I have a conflict

ICOC Meeting  
 Draft Minutes  
 July 21, 2016

**Main Location:**

- Sanford Consortium 2880 Torrey Pines Scenic Drive Room 1031/AB, San Diego, CA 92037

**Other Locations:**

- CIRM 1999 Harrison Street Suite 1650, Oakland, CA 94612
- 517 Mrak Hall, 1 Shields Ave., Davis, CA 95616
- 1180 Veterans Blvd, South San Francisco 94080
- 2007 Redwood Rd., Napa, CA.
- 170 Harbor Way, South San Francisco, 94080
- 15775 Gum Tree Lane Los Gatos 95032
- 3440 Viking Drive Sacramento, CA 95827
- Room 1105, Gillespie Neuroscience Research Facility, 837 Health Science Road Irvine, CA 92697-4292
- 136 Roanoke, San Francisco, CA 94131
- 765 Market, Apt. 31D, San Francisco, CA
- 8700 Beverly Blvd 2015, Los Angeles, CA 90048
- 9301 Wilshire Blvd. Ste. 507 Beverly Hills CA 90210
- 4005 N. Fresno Street Fresno, CA 93726
- 8170 Laguna Blvd, Elk Grove 95758

<b>Members</b>	<b>Attendance</b>
Anne-Marie Duliege	P
David Higgins	P
Steve Juelsgaard	P
Sherry Lansing	NP
Kathy LaPorte	P
Lauren Miller	P
Adriana Padilla	P
Joe Panetta	P
Francisco Prieto	P
Robert Quint	P
Al Rowlett	P
Jeff Sheehy	P
Os Steward	P
Jonathan Thomas	P
Art Torres	P
Diane Winokur	P
	<b>15 P</b>
	<b>1 NP</b>

### **Agenda Item #3**

Consideration of applications submitted in response to CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects and CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects.

#### **Motion #1**

- Motion made by Art Torres to Accept GWG recommendation to fund CLIN1-08671
- Motion seconded by Francisco Prieto

#### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 14 YES; 1 NO; 0 ABSTENTION; 3 CONFLICTS

#### **Motion #2**

- Motion made by Al Rowlett Accept GWG recommendation to fund CLIN2-08938
- Motion seconded by Diane Winokur

#### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 11 YES; 2 NO; 0 ABSTENTION; 3 CONFLICTS

### **Agenda Item #4**

Consideration of applications submitted in response to Discovery Stage Research Projects Quest (DISC 2) and Challenge (DISC 3) Applications.

#### **Motion #3**

- Motion made by David Higgins to Accept CIRM team recommendation to move DISC2-09073 into fundable category
- Motion seconded by Steve Juelsgaard

#### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 15 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

#### **Motion # 4**

- Motion made by Steve Juelsgaard to Accept CIRM team recommendation to move DISC2-00892 into fundable category (Tier 1)
- Motion seconded by Art Torres

#### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion
- 15 YES; 0 NO; 0 ABSTENTION; 0 CONFLICTS

#### **Motion #5**

- Motion made by Art Torres to Fund all projects in DISC Quest fundable category (Tier 1), including DISC2-09073 and DISC2-00892, and do not fund remainder of DISC Quest applications
- Motion seconded by Francisco Prieto

#### **Motion #6**

- Motion made by Jeff Sheehy not to fund any DISC Challenge applications
- Motion seconded by Francisco Prieto

#### **Vote**

- The ICOC Application Subcommittee by individual voice vote approved the motion  
9 YES; 3 NO; 0 ABSTENTION; 0 CONFLICTS

### **Agenda Item #5**

Discussion of confidential intellectual property or work product, prepublication data, financial information, confidential scientific research or data, and other proprietary information relating to applications CLIN 1: Partnering Opportunity for Late Stage Preclinical Projects, CLIN 2: Partnering Opportunity for Clinical Trial Stage Projects, Discovery Stage

Research Projects Quest (DISC 2) and Challenge (DISC 3) Applications (Health & Safety Code 125290.30(f) (3) (B) and (C)).

- No Closed Session taken

**Agenda Item #6**

Public Comment

- Public Comment by Jeanne Loring

Application Subcommittee Meeting  
July 21, 2016

MEMBERS	ATTENDANCE	VOTE					
		MOTION 1 Maker: Torres Second: Prieto	MOTION 2 Maker: Rowlett Second: Winokur	MOTION 3 Maker: Higgins Second: Juelsgaard	MOTION 4 Maker: Juelsgaard Second: Torres	MOTION 5 Maker: Torres Second: Prieto	MOTION 6 Maker: Sheehy Second: Prieto
		Accept GWG recommendation to fund CLIN1-08671	Accept GWG recommendation to fund CLIN2-08938	Accept CIRM team recommendation to move DISC2-09073 into fundable category (Tier 1)	Accept CIRM team recommendation to move DISC2-00892 into fundable category (Tier 1)	Fund all projects in DISC Quest fundable category (Tier 1), including DISC2-09073 and DISC2-00892, and do not fund remainder of DISC Quest applications	Do not fund any DISC Challenge applications
Anne-Marie Dullege	P	Y	Y	Y	Y	Y/C	
David Higgins	P	Y	Y	Y	Y	Y	N
Steve Juelsgaard	P	Y	Y	Y	Y	Y	Y
Sherry Lansing	NP		C				
Kathy LaPorte	P	Y	C	Y	Y	Y/C	
Lauren Miller	P	Y	Y	Y	Y		
Adriana Padilla	P	Y	Y	Y	Y	Y	Y
Joe Panetta	P	Y	Y	Y	Y	Y	N
Francisco Prieto	P	Y	N	Y	Y	Y	Y
Robert Quint	P	N	Y	Y	Y	Y	Y
Al Rowlett	P	Y	N	Y	Y	Y	Y
Jeff Sheehy	P	Y	Y	Y	Y	Y/C	Y
Os Steward	P	Y	C	Y	Y	Y/C	Y
Jonathan Thomas	P	Y	Y	Y	Y	Y	Y
Art Torres	P	Y	Y	Y	Y	Y	N
Diane Winokur	P	Y	Y	Y	Y	Y	Y
	15 P	14 Y	11 Y	15 Y	15 Y	14 Y	9 Y
	1 NP	1 N	2 N	0 N	0 N	0 N	3 N
		0 A	0 A	0 A	0 A	0 A	0 A
		0 C	3 C	0 C	0 C	4 C	0 C
		Approved by vote of 14-1	Approved by vote of 11-2	Approved by vote of 15-0	Approved by vote of 15-0	Approved by vote of 14-0	Approved by vote of 9-3

LEGEND
Attendance
NP – Not Present
P – Present
Votes
A – Abstain
C – Conflict
N – No
VV – Voice Vote
Y – Yes
Y/C – Yes, except for those which I have a conflict