

## CIRM TRANSLATIONAL PORTFOLIO

| <b>CANCER: HEMATOLOGIC MALIGNANCY</b> |                      |              |                       |  |
|---------------------------------------|----------------------|--------------|-----------------------|--|
| <b>AWARD #</b>                        | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b> | <b>APPROACH</b>  |
| DR1-01430                             | Disease Team I       | IND          | AML, CML, ALL, CLL    | Existing candidate molecules (3 small molecule, 3 MAb) targeting leukemic stem cells (LSC) by blocking survival and self-renewal pathways that function preferentially in human LSC compared to normal HSC |
| DR1-01485                             | Disease Team I       | IND          | AML                   | Monoclonal antibody against CD47 – “Don’t eat me” antigen that is expressed on leukemia stem cells and inhibits their phagocytosis by macrophages  |
| TR2-01789                             | Early Translation II | DC           | CML                   | Small molecule pan BCL-2 inhibitor targeting cancer stem cells   |
| TR2-01816                             | Early Translation II | DC           | AML, ALL              | Small molecule inhibitor of BCL6 targeting cancer stem cells   |

| <b>CANCER: SOLID TUMORS</b> |                      |              |                                      |   |
|-----------------------------|----------------------|--------------|--------------------------------------|---|
| <b>AWARD #</b>              | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                | <b>APPROACH</b>   |
| DR1-01477                   | Disease Team I       | IND          | Colon, ovarian cancers, glioblastoma | Small molecules specific for each of two drug targets in cancer stem cells  |
| DR1-01421                   | Disease Team I       | IND          | Glioblastoma                         | Allogeneic hNSC line to target tumor, engineered ex vivo to deliver carboxylesterase to locally convert CPT-11 to more potent SN-38                                     |
| DR1-01426                   | Disease Team I       | IND          | Glioblastoma                         | Allogeneic hNSC, either of two lines, or hMSC to target tumor, engineered ex vivo to deliver a tumorcidal gene product, TRAIL or cytosine deaminase, and a suicide gene |
| TR2-01791                   | Early Translation II | DC           | Glioblastoma                         | Tumor homing by hMSC genetically engineered to produce replication competent retrovirus encoding a suicide gene   |

| <b>NEUROLOGIC DISORDERS: INJURY</b> |                                     |              |   |   |
|-------------------------------------|-------------------------------------|--------------|---|---|
| <b>AWARD #</b>                      | <b>PROGRAM</b>                      | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                                     | <b>APPROACH</b>   |
| CT1-05168                           | Targeted<br>Clinical<br>Development | Ph I         | Spinal Cord Injury<br>(thoracic, cervical)                | hESC-derived oligodendrocyte progenitor cells                           |
| TR2-01785                           | Early<br>Translation II             | DCF          | Spinal Cord Injury<br>(conus medullaris,<br>cauda equina) | hESC-derived motor and autonomic precursor<br>neurons                   |
| TR2-01767                           | Early<br>Translation II             | DCF          | Traumatic brain<br>Injury                                 | Allogeneic hESC-derived NSC   |
| DR1-01480                           | Disease Team I                      | IND          | Stroke  | Allogeneic hESC-derived NSC line alone or in<br>combination with matrix |

**NEUROLOGIC DISORDERS: NEURODEGENERATIVE DISEASE**

| <b>AWARD #</b> | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b> | <b>APPROACH</b>  |
|----------------|----------------------|--------------|-----------------------|--|
| DR1-01471      | Disease Team I       | IND          | ALS                   | Allogeneic hESC-derived astrocyte precursors delivered into spinal cord (delivery device)  |
| TR1-01245      | Early Translation I  | DC           | Alzheimer's Disease   | Allogeneic hESC-derived NSC or hESC-derived NSC genetically modified with a beta-amyloid degrading enzyme or a transcription factor that promotes neuronal differentiation for transplantation |
| TR1-01257      | Early Translation I  | DC           | Huntington's Disease  | Allogeneic hMSC engineered ex vivo to express siRNA targeting mutant huntingtin mRNA. Injected intracranially  |
| TR2-01841      | Early Translation II | DC           | Huntington's Disease  | Allogeneic hESC-derived neural stem or progenitor cells for transplantation  |
| TR1-01267      | Early Translation I  | DC           | Parkinson's Disease   | The best of either hNSC derived from tissue, ESC, or iPSC or hVM (ventral mesencephalon) precursors derived from ESC, NSC or tissue  |
| TR2-01856      | Early Translation II | DC           | Parkinson's Disease   | Allogeneic hPSC-derived dopaminergic neurons   |
| TR2-01778      | Early Translation II | DCF          | Parkinson's Disease   | Small molecule modulator of neuroinflammation identified by screening on astrocytes/microglial from patient derived iPSC   |

**NEUROLOGIC DISORDERS: NEURODEGENERATIVE DISEASE, PEDIATRIC**

| <b>AWARD #</b> | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>   | <b>APPROACH</b>  |
|----------------|----------------------|--------------|-------------------------|--|
| TR2-01832      | Early Translation II | DCF          | Canavan Disease         | Autologous iPSC-derived neural or oligodendrocyte progenitors, genetically modified to correct mutant aspartoacylase (ASPA) gene |
| TR2-01844      | Early Translation II | DC           | Spinal Muscular Atrophy | Small molecule that increases SMN1 gene product in patient iPSC-derived motor neurons  |

| <b>NEUROLOGIC DISORDERS</b> |                      |              |   |  |
|-----------------------------|----------------------|--------------|---|--|
| <b>AWARD #</b>              | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                       | <b>APPROACH</b>  |
| TR2-01814                   | Early Translation II | DCF          | Autism Spectrum Disorder (ASD)              | Neurons from ASD (and control) iPSC for phenotype screening, assay development and validation, drug screening and biomarker identification |
| TR2-01749                   | Early Translation II | DCF          | Refractory epilepsy                         | hESC-derived progenitors of GABAergic inhibitory neurons analogous to those in medial ganglionic eminence                                  |
| <b>EYE DISEASE</b>          |                      |              |   |  |
| <b>AWARD #</b>              | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                       | <b>APPROACH</b>  |
| DR1-01444                   | Disease Team I       | IND          | Age-related macular degeneration (dry form) | Allogeneic functionally polarized hESC-derived RPE monolayers on synthetic substrate implanted sub-retinally                               |
| TR1-01219                   | Early Translation I  | DC           | Age-related macular degeneration (dry form) | Autologous iPSC-derived RPE (generated without integrating vectors)  |
| TR1-01272                   | Early Translation I  | DC           | Age-related macular degeneration (dry form) | Autologous adult SC (CMZ) or iPSC-derived RPE +/- ex vivo engineering to express negative regulators of complement cascade                 |
| TR2-01794                   | Early Translation II | DC           | Retinitis Pigmentosa                        | Allogenic retinal progenitor cells   |
| TR2-01768                   | Early Translation II | DCF          | Corneal Injury                              | Ex vivo expansion of corneal epithelial stem/progenitor cells, also known as limbal stem cells   |

| <b>HIV/AIDS</b>                     |                      |              |                              |  |
|-------------------------------------|----------------------|--------------|------------------------------|--|
| <b>AWARD #</b>                      | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>        | <b>APPROACH</b>  |
| DR1-01431                           | Disease Team I       | IND          | AIDS Lymphoma                | Autologous HSC transduced ex vivo with a lentiviral vector engineered to express an shRNA against CCR5 & a fusion inhibitor. IV administration after myeloablation                                   |
| DR1-01490                           | Disease Team I       | IND          | AIDS Lymphoma                | Autologous HSC transduced ex vivo with non-integrating vector engineered to express a zinc finger nuclease targeting CCR5. IV administration after myeloablation                                     |
| TR2-01771                           | Early Translation II | DC           | AIDS Lymphoma                | Autologous HSC genetically modified with multiple anti-HIV resistance genes and a drug resistance gene   |
| <b>DIABETES &amp; COMPLICATIONS</b> |                      |              |                              |  |
| <b>AWARD #</b>                      | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>        | <b>APPROACH</b>  |
| DR1-01423                           | Disease Team I       | IND          | Diabetes: Type 1             | Allogeneic hESC-derived pancreatic cell progenitors in a device implanted subcutaneously that matures in vivo to beta cells that secrete insulin in response to glucose. Transient immunosuppression |
| TR2-01787                           | Early Translation II | DC           | Chronic Diabetic foot ulcers | Allogenic hMSC on a dermal regeneration scaffold   |

| <b>BLOOD DISORDERS</b>     |                      |              |  |   |
|----------------------------|----------------------|--------------|--|---|
| <b>AWARD #</b>             | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                                | <b>APPROACH</b>   |
| DR1-01452                  | Disease Team I       | IND          | Sickle Cell Disease                                  | Autologous HSC, genetically corrected ex vivo by lentiviral vector mediated addition of a hemoglobin gene that blocks sickling. IV administration after myeloablation |
| TR1-01273                  | Early Translation I  | DC           | Fanconi Anemia, XSCID                                | Autologous iPSC-derived HSC genetically corrected by homologous recombination   |
| <b>BONE DISORDERS</b>      |                      |              |  |   |
| <b>AWARD #</b>             | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                                | <b>APPROACH</b>   |
| TR2-01821                  | Early Translation II | DC           | Spinal fusion  | Autologous adult perivascular stem cells and an osteoinductive protein on a FDA-approved acellular scaffold   |
| TR2-01780                  | Early Translation II | DCF          | Osteoporosis-related vertebral compression fractures | MSC in combination with PTH (parathyroid hormone)   |
| <b>CARTILAGE DISORDERS</b> |                      |              |  |   |
| <b>AWARD #</b>             | <b>PROGRAM</b>       | <b>GOAL*</b> | <b>DISEASE/INJURY</b>                                | <b>APPROACH</b>   |
| TR1-01216                  | Early Translation I  | DC           | Focal cartilage defect, osteoarthritis               | iPSC- or ESC-derived chondrocyte progenitors implanted into chondral defect or injected into OA joint   |
| TR2-01829                  | Early Translation II | DC           | Osteoarthritis                                       | Optimized small molecule of lead molecule PRO1 that induces chondrocyte differentiation of resident hMSC  |

| <b>OTHER DISORDERS</b> |                         |              |   |  |
|------------------------|-------------------------|--------------|---|--|
| <b>AWARD #</b>         | <b>PROGRAM</b>          | <b>GOAL*</b> | <b>DISEASE/INJURY</b>   | <b>APPROACH</b>  |
| DR1-01461              | Disease Team I          | IND          | Heart Disease:<br>Advanced ischemic<br>cardiomyopathy   | Autologous cardiac derived cells, 'cardiospheres',<br>expanded and delivered by direct catheter<br>injection into heart muscle |
| DR1-01454              | Disease Team I          | IND          | Skin Disease:<br>Epidermolysis bullosa  | Epidermal sheets from expanded autologous<br>genetically corrected (to express wild type<br>COL7A1) iPSC-derived keratinocytes |
| TR1-01249              | Early<br>Translation I  | DC           | Multiple: Bone<br>fractures, wound<br>healing, heart<br>disease, stroke                       | Recombinant Wnt in a sustained release<br>formulation to stimulate endogenous stem cells to<br>repair tissue                   |
| TR2-01857              | Early<br>Translation II | DC           | Liver Disease (acute<br>liver failure and as a<br>bridge following large<br>liver resections) | Allogeneic genetically modified hESC-derived<br>hepatocytes  |
| TR2-01756              | Early<br>Translation II | DCF          | Skeletal Muscle<br>Disorders: Duchenne<br>muscular dystrophy                                  | Autologous skeletal muscle precursor cells derived<br>from human iPSC genetically modified to correct<br>the dystrophin gene   |

\* The Project Goal is:

IND - file an approvable IND with the FDA;

DC - achieve a development candidate ready for IND-enabling preclinical development

DCF - show feasibility of a potential development candidate by achieving initial proof of concept