

BEFORE THE  
EVALUATION SUBCOMMITTEE OF THE  
INDEPENDENT CITIZENS' OVERSIGHT COMMITTEE  
TO THE  
CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE  
ORGANIZED PURSUANT TO THE  
CALIFORNIA STEM CELL RESEARCH AND CURES ACT  
REGULAR MEETING

LOCATION: AS INDICATED ON THE AGENDA

DATE: OCTOBER 10, 2012  
2 P.M.

REPORTER: BETH C. DRAIN, CSR  
CSR. NO. 7152

BRS FILE NO.: 93226

## BARRISTERS' REPORTING SERVICE

### I N D E X

ITEM DESCRIPTION	PAGE NO.
CALL TO ORDER	3
ROLL CALL	3
CLOSED SESSION:	
DISCUSSION OF PERSONNEL PURSUANT TO GOVERNMENT CODE SECTION 11126(A) AND HEALTH AND SAFETY CODE SECTION 125290.30(F)(3)(D)	
PUBLIC COMMENT	NONE

**BARRISTERS' REPORTING SERVICE**

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WEDNESDAY, OCTOBER 10, 2012; 2 P.M.

CHAIRMAN PRIETO: ALL RIGHT. I THINK WE'RE GOING TO GO AHEAD AND GET STARTED. MARCY SHOULD BE JOINING US SHORTLY.

THIS IS THE REGULAR TELECONFERENCE MEETING OF THE EVALUATION SUBCOMMITTEE OF THE ICOC. IF MARIA WOULD CALL THE ROLL.

MS. BONNEVILLE: IF YOU COULD JUST LET ME KNOW IF THERE ARE ANY MEMBERS OF THE PUBLIC AT YOUR LOCATION, THAT WOULD BE GREAT.

MARCY FEIT. MICHAEL GOLDBERG.

MR. GOLDBERG: HERE.

MS. BONNEVILLE: STEVE JUELSGAARD.

DR. JUELSGAARD: HERE.

MS. BONNEVILLE: SHERRY LANSING.  
FRANCISCO PRIETO.

CHAIRMAN PRIETO: HERE.

MS. BONNEVILLE: CLAIRE POMEROY.

DR. POMEROY: HERE.

MS. BONNEVILLE: DUANE ROTH.

MR. ROTH: HERE.

MS. BONNEVILLE: JEFF SHEEHY.

MR. SHEEHY: HERE.

MS. BONNEVILLE: JONATHAN THOMAS.

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1 CHAIRMAN THOMAS: HERE.

2 MS. BONNEVILLE: ART TORRES.

3 MR. TORRES: HERE.

4 CHAIRMAN PRIETO: OKAY. WE'RE GOING TO  
5 BEGIN IN OPEN SESSION. AND THEN AS YOU ALL KNOW, WE  
6 WILL BE MOVING TO CLOSED SESSION FOR PERSONNEL  
7 DISCUSSIONS. I'VE ASKED JAMES HARRISON TO DISCUSS  
8 OUR EVALUATION PROCESS AGAIN AND REVIEW IT FOR US  
9 HERE TODAY.

10 MR. HARRISON: THANKS, FRANCISCO. WE  
11 THOUGHT IT MIGHT BE HELPFUL JUST AS BACKGROUND,  
12 SINCE IT'S BEEN AWHILE SINCE THE BOARD ADOPTED THE  
13 EVALUATION PROCESS AND COMPLETED ITS LAST REVIEW, TO  
14 REFRESH YOUR RECOLLECTION ABOUT THE PROCESS THAT WAS  
15 PUT IN PLACE.

16 AS YOU RECALL, AS PART OF THE LAST  
17 EVALUATION, THE BOARD CONDUCTED AN IN-DEPTH  
18 PERFORMANCE REVIEW OF THE CHAIR AND THE PRESIDENT.  
19 AND THAT ENTAILED THE DISTRIBUTION OF PERFORMANCE  
20 EVALUATION FORMS TO MORE THAN 70 INDIVIDUALS, BOTH  
21 INTERNAL AT CIRM AND EXTERNAL, INCLUDING GRANTEE  
22 INSTITUTIONS, ELECTED OFFICIALS, AND OTHER  
23 STAKEHOLDERS. THE EVALUATION SUBCOMMITTEE ALSO  
24 CONDUCTED INTERVIEWS OF NUMEROUS MEMBERS OF CIRM  
25 STAFF TO GATHER ADDITIONAL INFORMATION AND MET ON

## BARRISTERS' REPORTING SERVICE

1 MULTIPLE OCCASIONS TO DISCUSS THE PERFORMANCE OF  
2 BOTH THE CHAIR AND THE PRESIDENT.

3 ULTIMATELY THAT PROCESS TOOK MORE THAN SIX  
4 MONTHS TO COMPLETE. AND AT THE TIME THAT THE BOARD  
5 ADOPTED THE PROCESS, IT CONTEMPLATED THAT THE  
6 IN-DEPTH REVIEW WOULD BE CONDUCTED ON A PERIODIC  
7 BASIS RATHER THAN ON AN ANNUAL BASIS. ON AN ANNUAL  
8 BASIS WHAT THE BOARD PUT IN PLACE WAS A SYSTEM  
9 WHEREBY THE INCUMBENTS WOULD SUBMIT THEIR GOALS FOR  
10 THE UPCOMING YEAR, THE BOARD THROUGH THE EVALUATION  
11 SUBCOMMITTEE AND ITS RECOMMENDATIONS WOULD ESTABLISH  
12 PERFORMANCE OBJECTIVES FOR THE INCUMBENTS, AND THEN  
13 THE SUBCOMMITTEE WOULD ELICIT INPUT FROM MEMBERS OF  
14 THE BOARD REGARDING THE INCUMBENTS' PERFORMANCE AS  
15 WELL AS FROM MEMBERS OF CIRM STAFF.

16 IT WAS ALSO CONTEMPLATED THAT THE  
17 EVALUATION SUBCOMMITTEE WOULD CONDUCT INTERVIEWS OF  
18 CIRM STAFF TO THE EXTENT THAT INDIVIDUALS WOULD  
19 PREFER TO PROVIDE THEIR INPUT ORALLY RATHER THAN IN  
20 WRITING, OF COURSE, UNDERSTANDING THAT THIS IS ALL A  
21 CONFIDENTIAL PROCESS.

22 CHAIRMAN PRIETO: MARCY, DID YOU JUST  
23 JOIN?

24 MS. FEIT: YES, I DID.

25 CHAIRMAN PRIETO: HI. WELCOME. WE'RE

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1 JUST GETTING STARTED. JAMES IS REVIEWING THE  
2 EVALUATION PROCESS FOR US.

3 MS. FEIT: THANK YOU.

4 MR. HARRISON: SO FOR THE PURPOSES OF THE  
5 CURRENT REVIEW, WE ENVISION THE FOLLOWING: THE  
6 EVALUATION SUBCOMMITTEE WILL HAVE AN OPPORTUNITY TO  
7 CONSIDER THE PRESIDENT'S PERFORMANCE AGAINST BOTH  
8 THE GOALS THAT HE ARTICULATED FOR 2010-2011 AS WELL  
9 AS THE PERFORMANCE GOALS ESTABLISHED BY THE BOARD AT  
10 THE CONCLUSION OF HIS LAST EVALUATION. THE  
11 EVALUATION SUBCOMMITTEE WILL ALSO HAVE AN  
12 OPPORTUNITY TO DISCUSS WITH THE PRESIDENT AND OFFER  
13 INPUT REGARDING THE GOALS HE'S ARTICULATED FOR  
14 2012-2013. AND THE EVALUATION SUBCOMMITTEE WILL  
15 HAVE AN OPPORTUNITY TO MAKE RECOMMENDATIONS TO THE  
16 BOARD, WHICH WILL ALSO HAVE AN OPPORTUNITY TO MEET  
17 WITH THE PRESIDENT.

18 SEPARATELY WE WILL ESTABLISH A TIME FOR A  
19 MEETING FOR THE EVALUATION SUBCOMMITTEE TO MEET WITH  
20 THE CHAIR AND THE TWO VICE CHAIRS TO DISCUSS THEIR  
21 GOALS FOR 2012-2013 AND TO DISCUSS ANY PERFORMANCE  
22 OBJECTIVES THAT THE EVALUATION SUBCOMMITTEE WISHES  
23 TO RECOMMEND TO THE BOARD. AND AGAIN, THE BOARD  
24 WILL HAVE AN OPPORTUNITY TO MEET WITH THE INCUMBENTS  
25 TO HEAR FROM THEM DIRECTLY AND TO CONSIDER THEIR

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1 GOALS AND PERFORMANCE OBJECTIVES FOR THAT YEAR.

2 IN 2013 WE CONTEMPLATE, AS I SAID, SEEKING  
3 INPUT THROUGH A PERFORMANCE EVALUATION FORM AND  
4 INTERVIEWS REGARDING THE PERFORMANCE OF THE  
5 INCUMBENTS, WHICH WILL THEN BE FOLLOWED BY MEETINGS  
6 WITH THEM AND AN OPPORTUNITY FOR THEM TO RESPOND TO  
7 THE REPORT AND RECOMMENDATIONS MADE BY THE  
8 EVALUATION SUBCOMMITTEE TO THE BOARD.

9 SO THAT'S THE PROCESS. BE HAPPY TO ANSWER  
10 ANY QUESTIONS THAT ANY OF YOU HAVE.

11 CHAIRMAN PRIETO: OKAY. NOT HEARING ANY  
12 QUESTIONS, WE ARE IN OPEN SESSION. AND IF THERE ARE  
13 MEMBERS OF THE PUBLIC AT ANY OF YOUR SITES, WE DO  
14 NOT ANTICIPATE TAKING ANY ACTION IN TODAY'S MEETING.  
15 SO WE EXPECT THAT WE WILL RETURN TO OPEN SESSION  
16 ONLY TO ADJOURN. IF THERE ARE ANY PUBLIC MEMBERS  
17 WISHING TO MAKE A COMMENT, THIS WOULD BE A GOOD TIME  
18 TO DO THAT.

19 OKAY. NOT HEARING ANY, JAMES, CAN YOU  
20 GIVE US THE STATUTORY BASIS FOR GOING INTO CLOSED  
21 SESSION.

22 MR. HARRISON: YES. THE EVALUATION  
23 SUBCOMMITTEE WILL NOW CONVENE IN CLOSED SESSION  
24 PURSUANT TO GOVERNMENT CODE SECTION 11126 AND HEALTH  
25 AND SAFETY CODE SECTION 125290.30(F)(3)(D).

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1                   CHAIRMAN PRIETO: OKAY. WE'LL REJOIN YOU  
2 ALL IN A MINUTE.

3                   (THE SUBCOMMITTEE THEN WENT INTO CLOSED  
4 SESSION, NOT REPORTED NOR HEREIN TRANSCRIBED. THE  
5 FOLLOWING WAS THEN HEARD IN OPEN SESSION:)

6                   CHAIRMAN PRIETO: SO WE ARE ADJOURNED.  
7 ALL IN FAVOR. WE'RE ADJOURNED. THANK YOU ALL VERY  
8 MUCH.

9                   (THE MEETING WAS THEN ADJOURNED.)

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### REPORTER'S CERTIFICATE

I, BETH C. DRAIN, A CERTIFIED SHORTHAND REPORTER IN AND FOR THE STATE OF CALIFORNIA, HEREBY CERTIFY THAT THE FOREGOING TRANSCRIPT OF THE TELEPHONIC PROCEEDINGS BEFORE THE INDEPENDENT CITIZEN'S OVERSIGHT COMMITTEE OF THE CALIFORNIA INSTITUTE FOR REGENERATIVE MEDICINE IN THE MATTER OF ITS REGULAR MEETING HELD ON OCTOBER 10, 2012, WAS HELD AS HEREIN APPEARS AND THAT THIS IS THE ORIGINAL TRANSCRIPT THEREOF AND THAT THE STATEMENTS THAT APPEAR IN THIS TRANSCRIPT WERE REPORTED STENOGRAPHICALLY BY ME AND TRANSCRIBED BY ME. I ALSO CERTIFY THAT THIS TRANSCRIPT IS A TRUE AND ACCURATE RECORD OF THE PROCEEDING.

BETH C. DRAIN, CSR 7152  
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