



**AGENDA ITEM # 8 B ii**  
**8/2/06 ICOC Meeting**

*Document 1: Final Compiled Proposed CIRM MES Regulations*

1 Adopt 17 Cal. Code of Regs. section 100010 to read:

2 **§ 100010. Scope of Chapter 2 – Stem Cell Research.**

3 The standards set forth in this chapter apply to all institutions, as defined by Title 17,  
4 California Code of Regulations, section 100020, subdivision (g), performing research, as defined  
5 in Title 17, California Code of Regulations, section 100020, subdivision (d), funded by the  
6 California Institute for Regenerative Medicine (CIRM) as authorized by Article XXXV of the  
7 California Constitution.

8 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
9 Health and Safety Code.

10 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

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1 Adopt 17 Cal. Code of Regs. section 100020 to read:

2 **§ 100020. Definitions.**

3 As used in this chapter:

4 (a) “Acceptably derived” means derived in accordance with the requirements of 17 Cal.

5 Code Regs, sections 100080 and 100090.

6 (b) “CIRM” means the California Institute for Regenerative Medicine.

7 (c) “Covered stem cell line” means a culture-derived, human pluripotent stem cell  
8 population that is capable of: 1) sustained propagation in culture; and (2) self-renewal to produce  
9 daughter cells with equivalent developmental potential. This definition includes both embryonic  
10 and non-embryonic human stem cell lines regardless of the tissue of origin. “Pluripotent” means  
11 capable of differentiation into mesoderm, ectoderm, and endoderm.

12 (d) “Funded research” means research supported in whole or part by funds authorized by  
13 article XXXV of the California Constitution. For the purpose of this chapter, training activities  
14 supported by such funds shall be considered funded research.

15 (e) “Human subject” means a living individual about whom an investigator (whether  
16 professional or student) conducting research obtains:

17 (1) Data through intervention or interaction with the individual, or

18 (2) Identifiable private information.

19 (f) “Institution” means any public or private entity or agency (including federal, state,  
20 local or other agencies).

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1 (g) “Institutional Review Board” (“IRB”) is an entity established in accordance with

2 Title 45, Code of Federal Regulations, section 46.107, revised June 23, 2005.

3 (h) “Permissible Expenses” means necessary and reasonable costs directly incurred as a  
4 result of donation or participation in research activities. Permissible expenses may include but  
5 are not limited to costs associated with travel, housing, child care, medical care, health insurance  
6 and actual lost wages.

7 (i) “Research” means a systematic investigation, including research development, testing  
8 and evaluation, designed to develop or contribute to generalizable knowledge. Activities which  
9 meet this definition constitute research for purposes of these regulations, whether or not they are  
10 conducted or supported under a program which is considered research for other purposes.

11 (j) “Somatic Cell Nuclear Transfer” (“SCNT”) means the transfer of a somatic cell  
12 nucleus into an oocyte.

13 (k) “Stem Cell Research Oversight Committee” (SCRO committee) means a committee  
14 established in accordance with 17 Cal. Code Regs. section 100060.

15 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
16 Health and Safety Code.

17 Reference: Sections 125290.35, 125290.40, 124290.55, 125292.10, subds. (p)(q), Health and  
18 Safety Code.

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1 Adopt 17 Cal. Code of Regs. section 100030 to read:

2 **§ 100030. Activities Not Eligible for CIRM Funding.**

3 The following activities are not eligible for CIRM funding:

4 (a) Human reproductive cloning, as defined in California Health and Safety Code

5 Section 125292.10. subdivision (k), or reproductive uses of SCNT prohibited by article XXXV

6 section 3 of the California Constitution.

7 (b) The culture in vitro of (i) any intact human embryo or (ii) any product of SCNT,

8 parthenogenesis or androgenesis, after the appearance of the primitive streak or after 12 days

9 whichever is earlier. The 12 day prohibition does not count any time during which the embryos

10 and/or cells have been stored frozen.

11 (c) The introduction of stem cells from a covered stem cell line into nonhuman primate

12 embryos.

13 (d) The introduction of any stem cells, whether human or nonhuman, into human

14 embryos.

15 (e) Breeding any animal into which stem cells from a covered stem cell line have been

16 introduced.

17 (f) The transfer to a uterus of a genetically modified human embryo.

18 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),

19 Health and Safety Code.

20 Reference: Sections 125290.35, 125290.40, 124290.55, 125292.10, Health and Safety Code.

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1 Adopt 17 Cal. Code of Regs. section 100040 to read:

2 **§ 100040. Institutional Assurance of Compliance.**

3 (a) All research institutions shall be responsible for providing written assurance  
4 satisfactory to CIRM that CIRM-funded research complies with the requirements set forth in this  
5 chapter.

6 (b) Each institution shall:

7 (1) Ensure that the chancellor, chief executive officer or person with plenary  
8 authority designates an institutional official responsible for oversight of and  
9 documentation of compliance for CIRM-funded research;

10 (2) Designate one or more SCRO committee(s) established in accordance with  
11 the requirements of 17 Cal. Code Regs section 100060;

12 (3) Designate one or more IRB(s);

13 (4) Ensure that clinical personnel who have a conscientious objection not be  
14 required to participate in providing donor information or securing donor consent for research use  
15 of gametes or embryos. That privilege shall not extend to the care of a donor or recipient.

16 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j).  
17 Health and Safety Code.

18 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

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1 Adopt 17 Cal. Code of Regs. section 100050 to read:

2 **§ 100050. Compliance.**

3 Grantees must report promptly to CIRM any failure to comply with the terms and  
4 conditions of an award. Depending on the severity and duration of the non-compliance, CIRM  
5 actions may include, but are not limited to, the following:

6 (a) Temporary withholding of payment;

7 (b) Placing special conditions on awards;

8 (c) Conversion to a reimbursement payment method;

9 (d) Precluding the grantee (principal investigator (PI) or grantee organization, as  
10 appropriate) from obtaining future awards for a specified period;

11 (e) Debarment from receipt of further CIRM funds;

12 (f) Recovery of previously awarded funds;

13 (g) Civil action, including referring the matter to the Office of the Attorney General of  
14 the State of California for investigation and enforcement;

15 (h) Other available legal remedies.

16 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j).

17 Health and Safety Code.

18 Reference: Sections 125290.40, 124290.55, Health and Safety Code.

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1 Adopt 17 Cal. Code of Regs. section 100060 to read:

2 **§ 100060. SCRO Committee Membership and Function.**

3 (a) A SCRO committee shall be comprised of persons with expertise in, including but  
4 not limited to, developmental biology, stem cell research, molecular biology, assisted  
5 reproduction, and ethical issues in stem cell research. A SCRO committee shall include at least  
6 one non-scientist member of the public who is not employed by, or appointed to, or remunerated  
7 by the relevant research institution and who is not part of the immediate family of a person who  
8 is affiliated with the institution. In addition, a SCRO committee shall include at least one patient  
9 advocate. Any member of a SCRO committee member may be reimbursed for reasonable out-  
10 of-pocket expenses for attending the meeting, not including lost wages. No SCRO committee  
11 may have a member participate in the SCRO committee's initial or continuing review of any  
12 project in which the member has a a conflicting interest, except to provide information to the  
13 SCRO committee.

14 (b) The designated SCRO committee shall provide scientific and ethical review of  
15 CIRM-funded research consistent with the requirements of Section 100070 and other applicable  
16 CIRM requirements.

17 (c) The SCRO committee shall facilitate education of investigators with applicable  
18 requirements of this chapter.

19 (d) A SCRO committee may provide oversight for two or more funded research  
20 institutions, provided the SCRO committee has oversight authority consistent with the  
21 requirements of this chapter.



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- 1        (e) A SCRO committee may be convened by an institution, a group of institutions, the
- 2        CIRM or other state agency.
- 3        Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),
- 4        Health and Safety Code.
- 5        Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code

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1 **§ 100070. SCRO Committee Review and Notification.**

2 (a) CIRM-funded research involving the procurement or use of human oocytes may not  
3 commence without SCRO committee review and approval in writing. For such SCRO  
4 committee review and approval, a member of the committee with expertise in assisted  
5 reproduction shall be present. The designated SCRO committee may require that modification be  
6 made to proposed research or documentation of compliance with the requirements of subdivision  
7 (a)(3) of this regulation as a condition of granting its approval. At a minimum, the SCRO  
8 committee shall require the investigator to:

9 (1) Provide an acceptable scientific rationale for the need to use oocytes  
10 including a justification for the number needed. If SCNT is proposed a justification for  
11 SCNT shall be provided.

12 (2) Demonstrate experience, expertise or training in derivation or culture of  
13 human or nonhuman stem cell lines.

14 (3) Provide documentation of compliance with any required review of the  
15 proposed research by an IRB, Institutional Animal Care and Use Committee (IACUC),  
16 Institutional Bioethics Committee (IBC), or other mandated review.

17 (b) CIRM-funded research involving use of human embryos may not commence without  
18 SCRO committee review and approval in writing. The designated SCRO committee may  
19 require that modification be made to proposed research or documentation of compliance with the  
20 requirements of subdivision (b)(3) of this regulation as a condition of granting its approval. At a  
21 minimum, the SCRO committee shall require the investigator to:

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1           (1) Provide an acceptable scientific rationale for the need to use embryos

2           including a justification for the number needed.

3           (2) Demonstrate experience, expertise or training in derivation or culture of

4           human or nonhuman stem cell lines.

5           (3) Provide documentation of compliance with any required review of the

6           proposed research by an IRB, Institutional Animal Care and Use Committee (IACUC),

7           Institutional Bioethics Committee (IBC), or other mandated review.

8           (c) CIRM-funded research with the aim to derive or create a covered stem cell line may  
9           not commence without SCRO committee review and approval in writing. The designated SCRO

10           committee may require that modification be made to proposed research or documentation of  
11           compliance with the requirements of subdivision (c)(4) of this regulation as a condition of

12           granting its approval. At a minimum, the SCRO committee shall require the investigator to:

13           (1) Provide an acceptable scientific rationale for the need to derive a covered

14           stem cell line.

15           (2) If SCNT is proposed as a route to generating human stem cell lines, a

16           justification for SCNT shall be provided.

17           (3) Demonstrate experience, expertise or training in derivation or culture of

18           human or nonhuman stem cell lines.

19           (4) Provide documentation of compliance with any required review of the

20           proposed research by an IRB, Institutional Bioethics Committee (IBC), or other

21           mandated review.

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1           (5) Document how stem cell lines will be characterized, validated, stored, and distributed  
2 to ensure that the confidentiality of the donor(s) is protected.

3           (d) CIRM-funded purely in vitro research utilizing covered stem cell lines may not  
4 commence without written notification to the designated SCRO committee. At a minimum, the  
5 notification shall:

6                   (1) Provide assurance that all covered stem cell lines have been acceptably  
7 derived.

8                   (2) Provide documentation of compliance with any required review of the  
9 proposed research by an IRB, IACUC, IBC, or other mandated review.

10           (e) CIRM-funded research introducing covered stem cell lines into non-human animals  
11 or introducing neural-progenitor cells into the brain of non-human animals at any state of  
12 embryonic, fetal, or postnatal development may not commence without SCRO committee review  
13 and approval in writing. The designated SCRO committee may require that modification be  
14 made to proposed research or documentation of compliance with the requirements of subdivision  
15 (e)(3) of this regulation as a condition of granting its approval. The SCRO committee may  
16 establish guidelines and procedures for expedited review of animal research so that review by the  
17 entire SCRO committee is not required. At a minimum, the SCRO committee shall require the  
18 investigator to:

19                   (1) Provide an acceptable scientific for rationale for introducing stem cells into  
20 non-human animals.

21                   (2) Provide assurance that all covered stem cell lines have been acceptably

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1           derived.

2                   (3) Evaluate the probable pattern and effects of differentiation and integration of  
3           the human cells into the nonhuman animal tissues.

4                   (4) Provide documentation of compliance with any required review of the  
5           proposed research by an IRB, IACUC, IBC, or other mandated review.

6           (f) CIRM-funded research introducing stem cells from covered stem cell lines into a live  
7           born human may not commence without SCRO committee review and approval in writing. The  
8           designated SCRO committee may require that modification be made to proposed research or  
9           documentation of compliance with the requirements of subdivision (f)(4) of this regulation as a  
10           condition of granting its approval. At a minimum, the SCRO committee shall require the  
11           investigator to:

12                   (1) Provide an acceptable scientific for rationale introducing stem cells into  
13           humans.

14                   (2) Provide assurance that all covered stem cell lines have been acceptably  
15           derived.

16                   (3) Evaluate the probable pattern and effects of differentiation and integration of  
17           the human cells into the human tissues.

18                   (4) Provide documentation of compliance with any required review of the  
19           proposed research by an IRB, IACUC, IBC, or other mandated review.

20           (g) In cases where SCRO committee approval is required, a SCRO committee shall  
21           notify investigators in writing of its decision to approve or disapprove the proposed research

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1 activity, or of modifications required to secure SCRO committee approval of the research  
2 activity. If the SCRO committee decides to disapprove a research activity, it shall include in its  
3 written notification a statement of the reasons for its decision and give the investigator an  
4 opportunity to respond in person or in writing.

5 (h) SCRO committee approvals shall be reviewed no less frequently than once per year.

6 The renewal review shall confirm compliance with all applicable rules and regulations. The  
7 SCRO committee may establish guidelines and procedures for expedited review of renewals so  
8 that review by the entire SCRO committee is not required.

9 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),

10 Health and Safety Code.

11 Reference: Sections 125290.40, 124290.55, Health and Safety Code.

12 Adopt 17 Cal. Code of Regs. section 100070 to read:

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1 **§ 100080. Acceptable Research Materials.**

2 All covered stem cell lines used in CIRM-funded research must be “acceptably derived.”

3 To be “acceptably derived,” the stem cell line must:

4 (a) Have been approved by the National Institutes of Health, or

5 (b) Been deposited in the United Kingdom Stem Cell Bank, or

6 (c) Been derived by, or approved for use by, a licensee of the United Kingdom Human  
7 Fertilization and Embryology Authority, or

8 (d) Been derived in accordance with the Canadian Institutes of Health Research  
9 Guidelines for Human Pluripotent Stem Cell Research under an application approved by the  
10 National Stem Cell Oversight Committee, or

11 (e) Have been derived under the following conditions:

12 (1) Donors of gametes, embryos, somatic cells or human tissue gave voluntary  
13 and informed consent.

14 (2) Donors of gametes, embryos, somatic cells or human tissue did not receive  
15 valuable consideration. This provision does not prohibit reimbursement for permissible  
16 expenses as determined by an IRB;

17 (3) A person may not knowingly, for valuable consideration, purchase or sell  
18 gametes, embryos, somatic cells, or human tissue for research purposes pursuant to this  
19 chapter,. This provision does not prohibit reimbursement for permissible expenditures as  
20 approved by a SCRO committee or IRB, or permissible expenses as determined by an

21 IRB. “Permissible expenditures” means necessary and reasonable costs directly incurred

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1       as a result of persons, not including human subjects or donors, providing gametes,  
2       embryos, somatic cells, or human tissue for research purposes. Permissible expenditures  
3       may include but are not limited to costs associated with processing, quality control,  
4       storage, or transportation of materials.

5               (4) Donation of gametes, embryos, somatic cells or human tissue was overseen  
6       by an IRB (or, in the case of foreign sources, an IRB-equivalent);

7               (5) Individuals who consented to donate stored gametes, embryos, somatic cells  
8       or human tissue were not reimbursed for the cost of storage prior to the decision to  
9       donate.

10   Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
11   Health and Safety Code.

12   Reference: Sections 125290.35, 125290.40, 124290.55, 125300, Health and Safety Code.



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1 Adopt 17 Cal. Code of Regs. section 100090 to read:

2 **§ 100090. Additional Requirements for CIRM-Funded Derivation.**

3 Where CIRM funds are to be used to derive new human stem cell lines after the effective  
4 date of this Chapter, in addition to the requirements of 17 California Code of Regulations section  
5 100080, subdivision (e), the SCRO committee must confirm that donors of gametes, embryos,  
6 somatic cells or human tissue have given voluntary and informed consent in accordance with  
7 Title 17 California Code of Regulations section 100100.

8 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
9 Health and Safety Code.

10 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

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1 **§ 100095. Additional Requirements for CIRM-Funded Research Involving Oocytes.**

2 When procurement of oocytes are required for derivation CIRM-funded research, the  
3 SCRO committee must confirm the following conditions have been met:

4 (a) The clinic performing oocyte retrieval is a member of the Society for Assisted  
5 Reproductive Technology.

6 (b) The procurement and disposition for research purposes of oocytes initially provided  
7 for reproductive uses, either for use by the donor or another woman, shall not knowingly  
8 compromise the optimal reproductive success of the woman in infertility treatment. Pursuant to  
9 this requirement, the SCRO shall confirm the following:

10 **Option 1:**

11 (1) The infertility treatment protocol is established prior to requesting or obtaining  
12 consent for a donation for research purposes and that the prospect of donation for research does  
13 not alter the timing, method, or procedures selected for clinical care.

14 (2) The woman in infertility treatment makes the determination that she does not want or  
15 need the oocytes for her own reproductive success.

16 (3) The donation of oocytes for research is done without valuable consideration either  
17 directly or indirectly.

18 (4) If the procurement of oocytes involves a donor providing oocytes for another  
19 woman's reproductive use, then the donation to research must be expressly permitted by the  
20 original donor.

21 **Option 2:**

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1              (1) The infertility treatment protocol is established prior to requesting or obtaining  
2 consent for a donation for research purposes and that the prospect of donation for research does  
3 not alter the timing, method, or procedures selected for clinical care.

4           (2) The woman in infertility treatment makes the determination that she does not want or  
5 need the oocytes for her own reproductive success.

6           (3) The donation of oocytes for research is done without valuable consideration either  
7 directly or indirectly.

8           (4) If the procurement of oocytes involves a donor providing oocytes for another  
9 woman's reproductive use, then the donation to research must be expressly permitted by the  
10 original donor.

11          (5) If the procurement of oocytes involves use of materials donated for reproductive use  
12 by another woman and with valuable consideration in excess of reimbursement for permissible  
13 expenses for the oocyte donor, then the oocytes may not be used for CIRM-funded research  
14 except when all the following apply:

15               (A) The oocytes fail to fertilize or otherwise are biologically unusable for  
16 reproductive purposes.

17               (B) The clinician determining that the oocytes are unusable for reproductive  
18 purposes does not know whether to donor has consented to donation to research at the time of  
19 making such a determination.

20               (C) The clinician has no conflict of interest.

21       **Option 3:**

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1       (1) The infertility treatment protocol is established prior to requesting or obtaining  
2 consent for a donation for research purposes and that the prospect of donation for research does  
3 not alter the timing, method, or procedures selected for clinical care.

4           (2) The woman in infertility treatment makes the determination that she does not want or  
5 need the oocytes for her own reproductive success.

6           (3) The donation of oocytes for research is done without valuable consideration either  
7 directly or indirectly.

8           (4) If the procurement of oocytes involves a donor providing oocytes for another  
9 woman's reproductive use, then the donation to research must be expressly permitted by the  
10 original donor.

11          (5) If the procurement of oocytes involves use of materials donated for reproductive use  
12 by another woman and with valuable consideration in excess of reimbursement for permissible  
13 expenses for the oocyte donor, then oocytes may not be used for CIRM-funded research.

14          (c) The CIRM-funded institution shall develop procedures to ensure that an individual  
15 who donates oocytes for CIRM-funded research has access to medical care at no cost to the  
16 donor that is required as a direct and proximate result of that donation. If a donor is medically  
17 insured, the donor shall not be required to claim any treatment costs through her own insurance  
18 policy.

19          (d) The physician attending to any donor and the principal investigator shall not be the  
20 same person unless exceptional circumstances exist and an IRB has approved an exemption from  
21 this requirement.

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- 1        (e) The physician performing oocyte retrieval shall not have a financial interest in the
- 2        outcome of the research.
- 3        Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),
- 4        Health and Safety Code.
- 5        Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

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1 Adopt 17 Cal. Code of Regs. section 100100 to read:

2 **§ 100100. Informed Consent Requirements.**

3 (a) All CIRM-funded human subjects research shall be performed in accordance with  
4 Title 45 Code of Federal Regulations, Part 46 (Protection of Human Subjects), revised June 23,  
5 2005, and California Health and Safety Code section 24173. In accordance with existing law,  
6 California Health and Safety Code section 24173 does not apply to a person who is conducting  
7 research as an investigator within an institution that holds an assurance with the United States  
8 Department of Health and Human Services pursuant to Title 45 Code of Federal Regulations Part  
9 46, revised June 23, 2005, and who obtains informed consent in the method and manner required  
10 by those regulations.

11 (b) In addition to the requirements of 17 California Code of Regulations Section 100080,  
12 the following provisions apply when CIRM funded research involves donation of gametes,  
13 embryos, somatic cells or human tissue or derivation of new covered stem cell lines which  
14 donation or derivation occurs after the effective date of this Chapter:

15 (1) CIRM-funds may not be used for research that violates the documented preferences  
16 of donors with regard to the use of their donated materials. The SCRO committee or IRB must  
17 confirm that donors of gametes, embryos, somatic cells or human tissue to be used to derive stem  
18 cell lines have given voluntary and informed consent in accordance with this section. To ensure  
19 donors are fully informed of the potential uses of donated materials, researchers shall disclose, in  
20 addition to the general requirements for obtaining informed consent identified in subdivision (a)

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1 of this regulation, all of the following, unless a specific item has been determined by the SCRO  
2 committee or IRB to be inapplicable:

3 (A) Derived cells or cell products may be kept for many years.

4 (B) Whether the identity(ies) of the donor(s) will be ascertainable to those who  
5 work with the resulting cells or cell products. If the identity(ies) of the donor(s) are  
6 retained (even coded), CIRM-funded researchers must discuss any plans for recontact of  
7 donors of materials used to derive cell lines and obtain consent for recontact. This  
8 requirement includes both recontacting donors to provide information about research  
9 findings and to ask for additional health information. Recontact may only occur if the  
10 donor consents at the time of donation.

11 (C) Researchers may use cell lines for future studies, some of which may not be  
12 predictable at this time.

13 (D) Derived cells or cell products may be used in research involving genetic  
14 manipulation.

15 (E) Derived cells or cell products may be transplanted into humans or animals.

16 (F) Derived cells or cell products are not intended to provide direct medical  
17 benefit to the donor(s), except in the case of autologous donation.

18 (G) The donation is being made without restriction regarding who may be the  
19 recipient of transplanted cells, except in the case of autologous donations.

20 (H) That neither consenting nor refusing to donate materials for research will  
21 affect the quality of any future care provided to potential donors.

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1           (1) That the results of research may be patentable or have commercial potential,  
2           and that the donor will not receive patent rights and will not receive financial or any  
3           other benefits from future commercial development.

4           (2) Researchers shall offer donors an opportunity to document their preferences  
5           regarding future uses of their donated materials. Researchers may choose to use materials only  
6           from donors who agree to all future uses.

7           (3) For CIRM-funded research involving the donation of oocytes, the IRB finding that  
8           risks are reasonable even if there is no anticipated benefit to the donor shall be documented and  
9           made available to the donor, SCRO and the CIRM. In addition, the following requirements  
10          apply:

11           (A) The description of foreseeable risk required in subdivision (a) of this  
12           regulation shall include but not be limited to information regarding the risks of ovarian  
13           hyperstimulation syndrome, bleeding, infection, anesthesia and pregnancy.

14           (B) The physician must disclose his or her relationship to the research or  
15           researcher(s) to the egg donor.

16           (C) Prospective donors shall be informed of their option to deliberate before  
17           deciding whether or not to give consent. If a deliberation period is chosen, the donor  
18           shall be informed of their right to determine the method of recontact. The donor must be  
19           informed that they have the option to initiate recontact. The investigators shall not  
20           initiate recontact unless the donor has consented, and this consent is documented in the  
21           research record.



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*Document I: Final Compiled Proposed CIRM MES Regulations*

1           (D) The researcher shall ascertain that the donor has understood the essential  
2           aspects of the research, following a process approved by the designated IRB or SCRO  
3           committee. Understanding the essential aspects of the research includes understanding at  
4           least that:

5                   (i) Their eggs will not be used for reproductive purposes.

6                   (ii) There are medical risks in oocyte donation, including the risks of ovarian  
7                   hyperstimulation syndrome, bleeding, infection, anesthesia, and pregnancy.

8                   (iii) The research will not benefit them or any other individuals directly at this  
9                   time.

10                  (iv) Whether stem cell lines will be derived from their oocytes through  
11                  fertilization, SCNT, parthenogenesis, or some other method.

12                  (v) Stem cell lines developed from their oocytes will be grown in the lab and  
13                  shared with other researchers for studies in the future.

14                  (vi) If stem cells are to be transplanted into patients, researchers might recontact  
15                  the donor to get additional health information.

16                  (vii) Donors receive no payment beyond reimbursement for permissible  
17                  expenses.

18                  (viii) Stem cell lines derived as a result of their oocyte donation may be patented  
19                  or commercialized, but donors will not share in patent rights or in any revenue or profit  
20                  from the patents.

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*Document 1: Final Compiled Proposed CIRM MES Regulations*

1           (5) For CIRM-funded research involving the donation and destruction of embryos for  
2 stem cell research, the informed consent process shall include a statement that embryos will be  
3 destroyed in the process of deriving embryonic stem cells.

4           (6) For CIRM-funded research that uses the umbilical cord, cord blood or the placenta,  
5 consent shall be obtained from the birth mother.

6           (7) For CIRM-funded research involving the donation of somatic cells for SCNT,  
7 informed consent shall include a statement as to whether the donated cells may be available for  
8 autologous treatment in the future.

9 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
10 Health and Safety Code.

11 Reference: Sections 24173, 125290.35, 125290.40, 124290.55, 125315, Health and Safety Code.

**AGENDA ITEM # 8 B ii**  
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*Document 1: Final Compiled Proposed CIRM MES Regulations*

1 Adopt 17 Cal. Code of Regs. section 100110 to read:

2 **§ 100110. Fairness and Diversity in Research.**

3 CIRM grantees shall comply with the California Health Research Fairness Act, California Health  
4 and Safety Code, Sections 439.900-439.906, and Inclusion of Women and Minorities in Clinical  
5 Research Act, Health and Safety Code, Sections 100237-100239.

6 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
7 Health and Safety Code.

8 Reference: Sections 439.900-439.906, 100237-100239, 125290.40, 124290.55, Health and  
9 Safety Code.

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*Document 1: Final Compiled Proposed CIRM MES Regulations*

1 Adopt 17 Cal. Code of Regs. section 100120 to read:

2 **§ 100120. Record Keeping.**

3 Each grantee's institution shall maintain records of all CIRM-funded research activities.

4 At a minimum, the institution shall maintain a research registry that includes, but is not limited

5 to, documentation of:

6 (a) CIRM-funded stem cell research conducted by the institution;

7 (b) Any required review or notification requirements as described in 17 Cal. Code of

8 Reg.s section 100070;

9 (c) The methods utilized to characterize and screen the materials for safety;

10 (d) The conditions under which the materials have been maintained and stored;

11 (e) Any additional requirements set forth in any other regulations under this title;

12 (f) Every gamete, somatic cell, embryo donation or product of SCNT that has been donated,

13 created or used. This record should be sufficient to determine the provenance and disposition of

14 such materials.

15 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),

16 Health and Safety Code.

17 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.

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*Document 1: Final Compiled Proposed CIRM MES Regulations*

1 Adopt 17 Cal. Code of Regs. section 100130 to read:

2 **§ 100130. Materials Sharing.**

3 Stem cell lines and biomedical materials developed with CIRM funding at academic, commercial  
4 research and development organizations shall be broadly disseminated. CIRM-funded research  
5 institutions shall comply with any CIRM-Intellectual Property regulations intended to ensure  
6 data and materials sharing.

7 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),  
8 Health and Safety Code.

9 Reference: Sections 125290.30, subd.(h), 125290.40, 124290.55, Health and Safety Code.