

1 Amend 17 Cal. Code of Regs. section 100080 to read:

2 **§ 100080. Acceptable Research Materials.**

3 All covered stem cell lines used in CIRM-funded research must be “acceptably derived.”

4 (a) To be “acceptably derived,” the stem cell line must meet one of the following three
5 criteria:

6 (1) The stem cell line is recognized by an authorized authority. To be recognized by
7 an authorized authority the stem cell line must:

8 (A) Be approved by the National Institutes of Health; or

9 (B) Be deposited in the United Kingdom Stem Cell Bank; or

10 (C) Be derived by, or approved for use by, a licensee of the United Kingdom
11 Human Fertilization and Embryology Authority; or

12 (D) Be derived in accordance with the Canadian Institutes of Health Research
13 Guidelines for Human Pluripotent Stem Cell Research under an application
14 approved by the National Stem Cell Oversight Committee; or

15 (E) Be derived in accordance with the Japanese Guidelines for Derivation and
16 Utilization of Human Embryonic Stem Cells; or

17 (F) Be derived in accordance with California Code of Regulations title 17,
18 section 100090.

19 (2) The stem cell line is derived from human gametes, embryos, somatic cells, or
20 tissue under the following conditions:

1 (A) Donors of human gametes, embryos, somatic cells or tissue gave voluntary
2 and informed consent; and

3 (B) Donors of human gametes, embryos, somatic cells or tissue did not receive
4 valuable consideration. This provision does not prohibit reimbursement for
5 permissible expenses as determined by an IRB; and

6 (C) Donation of human gametes, embryos, somatic cells or tissue was overseen
7 by an IRB (or, in the case of foreign sources, an IRB-equivalent); and

8 (D) Individuals who consented to donate stored human gametes, embryos,
9 somatic cells or tissue were not reimbursed for the cost of storage prior to
10 donation.

11 (3) The stem cell line must be derived from non-identifiable human somatic cells
12 under the following conditions:

13 (A) The derivation did not result from the transfer of a somatic cell nucleus into a
14 human oocyte (SCNT) or the creation or use of a human embryo; and

15 (B) The somatic cells have no associated codes or links maintained by anyone
16 that would identify to the investigator(s) the donor of the specimens, or, if
17 such codes or links exist, that the identity of the donor is not readily
18 ascertainable because, for example:

19 (i) the key to decipher the code or link is destroyed before the research begins;

20 (ii) an agreement prohibits release of the key to the investigators under any
21 circumstances;

- 1 (iii) IRB-approved written policies and operating procedures for a repository
2 or data management center prohibit releasing the key under any
3 circumstances; or
4 (iv) the release of the key to the investigators is forbidden by law.

5 (b) In addition to the requirements of subdivision (a) of this chapter, the following
6 requirements apply to the derivation and use of all covered stem cell lines.

7 (1) Any covered stem cell line derived from any intact human embryo, any product of
8 SCNT, parthenogenesis or androgenesis after 12 days in culture may not be used
9 unless prior approval is obtained from the Independent Citizens Oversight
10 Committee, constituted under Health & Safety Code, section 125290.15. Use of
11 any covered stem cell line derived from any intact human embryo, any product of
12 SCNT, parthenogenesis or androgenesis after 14 days or until the formation of the
13 primitive streak begins is prohibited. The 12-14 day limit does not include any
14 time during which the cells have been frozen.

15 (2) Any payments for the purchase of covered stem cell lines, somatic cells, or human
16 tissue to persons other than the original donors shall be limited to those costs
17 identified in Health & Safety Code, section 125290.35, subdivision (b)(5). Any
18 payment for gametes and embryos, to persons other than the original donors, shall
19 be limited to necessary and reasonable costs directly incurred as a result of
20 providing materials for research, which include but are not limited to expenditures
21 associated with processing, quality control, storage, or transportation.

- 1 Note: Authority cited: Article XXXV, California Constitution; Section 125290.40(j), Health and
- 2 Safety Code. Reference: Sections 125290.35, 125290.40, 125290.55, 125300, Health and Safety
- 3 Code.

1 Amend 17 Cal. Code of Regs. section 100085 to read:

2 **§ 100085. Use of Fetal Tissue.**

3 Fetal tissue shall be procured in accordance with 17 Cal. Code Regs. section 100080,
4 subdivision (a)(2). In addition, research involving human fetal tissue will adhere to the
5 following provisions:

6 (a) The woman who donates the fetal tissue must sign a statement declaring:

7 (1) That the donation is being made for research purposes, and

8 (2) The donation is made without any restriction regarding who may be the recipient(s) of
9 materials derived from the tissue; and

10 (b) The attending physician must:

11 (1) Sign a statement that he or she has obtained the tissue in accordance with the donor's
12 signed statement. In the case of tissue obtained pursuant to an induced abortion, the physician
13 must sign a statement stating that he or she:

14 (A) Obtained the woman's consent for the abortion before requesting or obtaining
15 consent for the tissue to be used for research;

16 (B) Did not alter the timing, method, or procedures used to terminate the pregnancy
17 solely for the purpose of obtaining the tissue for research; and

18 (C) Performed the abortion in accordance with applicable law.

19 (2) Disclose to the donor any financial interest that the attending physician has in the
20 research to be conducted with the tissue.

1 (3) Disclose any known medical risks to the donor or risks to her privacy that might be
2 associated with the donation of the tissue and that are in addition to risks of such type that are
3 associated with the woman's medical care.

4 (c) The principal investigator of the research project must sign a statement certifying that
5 he or she:

6 (1) Is aware that the tissue is human fetal tissue obtained in a spontaneous or induced
7 abortion or pursuant to a stillbirth;

8 (2) Is aware that the tissue was donated for research purposes;

9 (3) Had no part in any decisions as to the timing, method, or procedures used to terminate
10 the pregnancy; and

11 (4) Is not the donor's attending physician.

12 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),
13 Health and Safety Code.

14 Reference: Sections 125290.35, 125290.40, 125290.55, 125300, Health and Safety Code.

1 Amend 17 Cal. Code of Regs. section 100090 to read:

2 **§ 100090. Additional Requirements for CIRM-Funded Derivation.**

3 (a) Where CIRM funds are to be used for research intended to derive a covered stem cell
4 line from human gametes, embryos, somatic cells or tissue, the SCRO committee
5 must determine the requirements of Code of California Regulations, title 17, section
6 100080, subdivision (a)(2), have been met. For CIRM-funded derivation occurring
7 after November 22, 2006, the SCRO committee must also confirm that donors
8 provided voluntary and informed consent in accordance with Code of California
9 Regulations, title 17, section 100100, subdivision (b).

10 (b) California Code of Regulations title 17, section 100090(a), does not apply to CIRM-
11 funded research intended to derive a covered stem cell line from somatic cells when
12 the SCRO committee has determined the requirements of California Code of
13 Regulations title 17, section 100080, subdivisions (a)(3)(A) and (a)(3)(B), have been
14 met.

15 (c) The modification of an acceptably derived stem cell line shall not be considered a
16 CIRM-funded derivation.

17 Note: Authority cited: Article XXXV, California Constitution; Section 125290.40(j), Health and
18 Safety Code. Reference: Sections 125290.35, 125290.40, 125290.55, Health and Safety Code.

1 Amend 17 Cal. Code of Regs. section 100100 to read:

2 **§ 100100. Informed Consent Requirements.**

3 (a) All CIRM-funded human subjects research shall be performed in accordance with
4 Title 45 Code of Federal Regulations, Part 46 (Protection of Human Subjects), revised June 23,
5 2005, and California Health and Safety Code section 24173. In accordance with existing law,
6 California Health and Safety Code section 24173 does not apply to a person who is conducting
7 research as an investigator within an institution that holds an assurance with the United States
8 Department of Health and Human Services pursuant to Title 45 Code of Federal Regulations Part
9 46, revised June 23, 2005, and who obtains informed consent in the method and manner required
10 by those regulations.

11 (b) In addition to the requirements of Code of California Regulations, title 17, section
12 100080, subdivision (a)(2), the following provisions apply when CIRM funded research involves
13 donation of human gametes, embryos, somatic cells or tissue for derivation of new covered stem
14 cell lines:

15 (1) CIRM-funds may not be used for research that violates the documented preferences
16 of donors with regard to the use of donated materials. The SCRO committee or IRB must
17 confirm that donors have given voluntary and informed consent in accordance with this section.
18 To ensure that donors are fully informed of the potential uses of donated materials in addition to
19 the general requirements for obtaining informed consent identified in subdivision (a) of this
20 regulation, researchers shall disclose all of the following, unless a specific item has been
21 determined by the SCRO committee or IRB to be inapplicable:

1 (A) Derived cells or cell products may be kept for many years.

2 (B) Whether or not the identity(ies) of the donor will be ascertainable by those
3 who work with the resulting cells or cell products. If the identity of the donor is to remain
4 associated with the cells or cell products, then the investigator must inform the donor of
5 any plan for recontact whether for the purpose of providing information about research
6 findings to donors, or for the purpose of requesting additional health information. After
7 donation, an investigator may recontact a donor only if the donor consents at the time of
8 donation.

9 (C) Cell lines may be used in future studies which are not now foreseeable.

10 (D) Derived cells or cell products may be used in research involving genetic
11 manipulation.

12 (E) Derived cells or cell products may be transplanted into humans or animals.

13 (F) Derived cells or cell products are not intended to provide direct medical
14 benefit to the donor, except in the case of autologous donation.

15 (G) The donation is being made without restriction on the recipient of
16 transplanted cells, except in the case where donation is intended for autologous
17 transplantation.

18 (H) Neither consent nor refusal to donate materials for research will affect the
19 quality of any care provided to a potential donor.

1 (I) Although the results of research including donated materials may be
2 patentable or have commercial value, the donor will have no legal or financial interest in
3 any commercial development resulting from the research.

4 (2) A donor must be given the opportunity to impose restrictions on future uses of
5 donated materials. Researchers may choose to use materials only from donors who agree to all
6 future uses without restriction.

7 (3) For CIRM-funded research involving the donation of oocytes, an IRB finding that
8 potential risks of donation are reasonable even if there is no anticipated benefit to the donor shall
9 be documented and made available to the donor, SCRO and the CIRM. In addition, the
10 following requirements apply:

11 (A) The description of foreseeable risk required in subdivision (a) of this
12 regulation shall include but not be limited to information regarding the risks of ovarian
13 hyperstimulation syndrome, bleeding, infection, anesthesia and pregnancy.

14 (B) Any relationship between the attending physician and the research or
15 researcher(s) must be disclosed to an egg donor.

16 (C) Prospective donors shall be informed of their option to deliberate before
17 deciding whether or not to give consent. If a deliberation period is chosen, the donor
18 shall be informed of her right to determine the method of recontact. The donor must be
19 informed that she has the option to initiate recontact. Investigators shall not initiate
20 recontact unless the donor has consented, and this consent is documented in the research
21 record.

1 (D) The researcher shall ascertain that the donor understands the essential aspects
2 of the research involving donated materials, following a process approved by the
3 designated IRB or SCRO committee. Understanding the essential aspects of the research
4 includes understanding at least that:

5 (i) Eggs will not be used for reproductive purposes.

6 (ii) There are medical risks in oocyte donation, including the risks of ovarian
7 hyperstimulation syndrome, bleeding, infection, anesthesia, and pregnancy.

8 (iii) The research is not intended to directly benefit the donor or any other
9 individual.

10 (iv) Whether stem cell lines will be derived from her oocytes through
11 fertilization, SCNT, parthenogenesis, or some other method.

12 (v) Stem cell lines developed from her oocytes will be grown in the lab and
13 shared with other researchers for studies in the future.

14 (vi) If stem cells derived from her donation are to be transplanted into patients,
15 researchers might recontact the donor to get additional health information.

16 (vii) Donors receive no payment beyond reimbursement for permissible
17 expenses.

18 (viii) Stem cell lines derived as a result of her oocyte donation may be patented
19 or commercialized, but donors will not share in patent rights or in any revenue or profit
20 from the patents.

1 (4) For funded research involving the donation and destruction of human embryos for
2 stem cell research, the informed consent process shall include a disclosure that embryos will be
3 destroyed in the process of deriving embryonic stem cells.

4 (5) Research that uses human umbilical cord, cord blood or placenta, consent shall be
5 obtained from the birth mother.

6 (6) For research involving the donation of somatic cells for SCNT, the informed consent
7 process shall include disclosure as to whether the donated cells may be available for autologous
8 treatment in the future.

9 Note: Authority cited: Article XXXV, California Constitution; Section 125290.40(j), Health and
10 Safety Code. Reference: Sections 24173, 125290.35, 125290.40, 125290.55, 125315, Health
11 and Safety Code.

1 Adopt 17 Cal. Code of Regs. section 100120 to read:

2 **§ 100120. Record Keeping.**

3 (a) In addition to any other reporting or record retention obligations required by the
4 CIRM, each grantee's institution shall also maintain records documenting:

5 (1) Review or notification requirements as described in Title 17, California Code of
6 Regulations, section 100070;

7 (2) The final disposition of gametes, embryos and, somatic cells donated for CIRM-
8 funded research or products of SCNT. For donated materials used to derive a covered stem cell
9 line this record must demonstrate compliance with section 100080, subdivision (a)

10 (b) Such records shall be made available at CIRM's request.

11 Note: Authority cited: California Constitution, article XXXV; Section 125290.40, subd.(j),
12 Health and Safety Code.

13 Reference: Sections 125290.35, 125290.40, 124290.55, Health and Safety Code.