

**EXTRAORDINARY PETITION PROCESS
LIMITED INVESTIGATION – OPTION C**

Condition

When the Board determines that a decision to fund an application depends upon clarification, validation, or acquisition of critical scientific information vetted by scientific experts, the Board may conditionally deny funding for the application, subject to a limited examination of the issue in question. The option to call for a limited investigation should be reserved only for those circumstances in which the Board is unable to reach an informed decision at the meeting at which the application is presented.

Programmatic issues, such as whether the agency's portfolio is well-balanced among diseases, should not be a justification for a limited investigation, nor should clear errors in the review of an application that have been identified by staff and fully presented to the Board. The scope of the investigation will be limited to the specific fact(s) or information in question and will not under any circumstance result in a full scientific re-review of the application.

Procedure

To invoke this procedure, the Board would adopt a motion that (1) identifies the issue(s) to be investigated, and (2) denies funding of the application, subject to reconsideration.

The limited scientific investigation related to an application shall be managed by the CIRM Review Office, which will investigate and solicit expert advice as needed from Grants Working Group scientific reviewers or additional experts in order to clarify, validate or acquire information that will resolve the issue in question.

Alternative 1

The Board's inquiry, gathered facts, and expert opinions will be reported to the scientific members of the Grants Working Group (without a conflict of interest) who participated as primary and secondary reviewers of the application. Each member will determine if the information and/or expert opinion would have altered their scientific score and their recommendation to the GWG.

If a majority of the scientific members indicate that their score would have increased and altered their recommendation to the ICOC, the new recommendation and results of the investigation will be reported to the Board for consideration of whether or not to modify its decision on funding the application.

If the recommendation by the scientific members is not changed, then the Board's original determination not to fund the application shall remain in effect. Any Board member may still request that consideration of the application be included in the agenda for the Board meeting that follows. Unless such a request and subsequent motion to

reconsider funding the application is made and adopted by a Board member, the conditional rejection will be final.

Alternative 2

The gathered facts, and expert opinions will be reported to the CIRM President and the Executive Director of Scientific Activities who will prepare a recommendation to the ICOC based on the information obtained through the investigation. The staff recommendation and report of the investigation results will be distributed to all Board members. Any Board member may request that consideration of the application be included in the agenda for the Board meeting that follows. Unless such a request and subsequent motion to reconsider funding the application is made and adopted by a Board member, the conditional rejection will be final.